Dynamic nanoscale architecture of synaptic vesicle fusion in mouse hippocampal neurons

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28 Summary

- 29 During neurotransmission, presynaptic action potentials trigger synaptic vesicle fusion with the
- 30 plasma membrane within milliseconds. To visualize membrane dynamics before, during, and
- 31 right after vesicle fusion at central synapses under near-native conditions, we developed an
- 32 experimental strategy for time-resolved in situ cryo-electron tomography with millisecond
- temporal resolution. We coupled optogenetic stimulation with cryofixation and confirmed the
- 34 stimulation-induced release of neurotransmitters via cryo-confocal microscopy of a fluorescent
- 35 glutamate sensor. Our morphometric analysis of tomograms from stimulated and control
- 36 synapses allowed us to characterize five states of vesicle fusion intermediates ranging from

stalk formation to the formation, opening, and collapsing of a fusion pore. Based on these 37 38 measurements, we generated a coarse-grained simulation of a synaptic vesicle approaching 39 the active zone membrane. Both, our morphofunctional and computational analyses, support 40 a model in which calcium-triggered fusion is initiated from synaptic vesicles in close proximity 41 to the active zone membrane, whereby neither tight docking nor an induction of membrane 42 curvature at the active zone are favorable. Numbers of filamentous tethers closely correlated 43 to the distance between vesicle and membrane, but not to their respective fusion readiness, 44 indicating that the formation of multiple tethers is required for synaptic vesicle recruitment 45 preceding fusion.

46

47 **Main**

48 Neuronal exocytosis is initiated by the recruitment of neurotransmitter-filled synaptic vesicles 49 (SVs) to release sites at the active zone (AZ), where they are coupled to voltage-gated calcium channels and primed for fusion^{1,2}. During SV fusion, the hydrophobic lipid bilayers of the SV 50 51 and the AZ membrane are brought into close proximity and perturbed to enable the integration 52 of the SV membrane into the cell membrane³. The synaptic fusion machinery, consisting of 53 soluble N-ethylmaleimide-sensitive factor attachment protein receptors (SNAREs), regulatory 54 proteins like Munc13 and Munc18, and the calcium sensor synaptotagmin-1, catalyzes the 55 fusion reaction, helping to overcome energy barriers imposed by repulsive forces during SV-56 AZ membrane apposition and lipid reorganization^{1,3,4}. Munc13 is not only required for bridging 57 the cellular and vesicular membranes^{5,6} but also, together with Munc18, aids in the formation of SNARE complexes⁷. An SV has reached a primed state when trans-SNARE complexes 58 59 have formed and closely interact with synaptotagmin-1 and complexin^{8,9}. Calcium influx 60 triggers membrane binding of synaptotagmin-1, accompanied by a rearrangement of the synaptotagmin-1-SNARE complex interface that in turn initiates fast neurotransmitter release 61 by exerting work on the plasma membrane domains of SNARE proteins¹⁰⁻¹². 62

63 Recent advances in electron microscopy (EM) fixation techniques have allowed for the 64 visualization of SV fusion at small nerve terminals of central synapses. Using optogenetic or 65 electrical stimulation and high-pressure freezing, followed by freeze substitution and EM 66 ("flash-and-freeze" and "zap-and-freeze", respectively), the activity-induced rearrangement of the coarse synaptic ultrastructure has been described¹³⁻¹⁸. Most fusion events, identified by 67 68 small invaginations or pits at the AZ membrane, were observed 5-8 ms after action potential 69 induction¹³, going along with a decreased number of morphologically docked SVs^{13,14,16,17}. In 70 addition to these and other studies using freeze-substituted and resin embedded EM samples, 71 the synaptic architecture of isolated synaptosomes, cultured neurons, and even brain slices

has recently been characterized using *in situ* cryo-electron tomography (cryo-ET), which allows for a much higher structurally interpretable resolution and near-native sample preservation¹⁹⁻ ²³. These cryo-ET studies showed that most SVs are linked to each other via pleomorphic interconnectors and connected to the AZ membrane via filamentous tethers^{19,24-27}, whereby the formation of multiple tethers was suggested to form a prerequisite for SV priming and fusion^{25,26}. Not only Munc13^{19,24,27}, but also SNARE proteins are suggested to be involved in the (dynamic) formation of these tethers^{19,26-28}.

79 While in situ cryo-ET enables a molecular-level visualization of cellular landscapes, adding 80 millisecond (ms) temporal resolution has so far been challenging²⁹. Although a small number 81 of SV fusion intermediates have been captured using a spraying technique for synaptic stimulation recently²⁶, a systematic characterization of SV fusion *in situ* is still lacking. Our 82 83 current understanding of membrane dynamics during SV fusion is therefore essentially built on *in vitro*³⁰⁻³² and *in silico*^{11,33-36} studies of membrane fusion events. However, it is hard to 84 predict how well the experimentally or computationally designed conditions of these studies 85 86 reflect the actual cellular environment, including not only the interactome of proteins and lipids, 87 but also biophysical properties like membrane tension, lipid rafts or phase separation.

88 In this study, we developed a time-resolved in situ cryo-ET workflow to enable a 89 comprehensive reexamination of SV fusion under near-physiological conditions and within the 90 native cellular environment. We coupled optogenetic stimulation with plunge freezing of 91 cultured hippocampal neurons and confirmed successful stimulation using cryo-confocal microscopy of the glutamate sensor iGluSnFR3. We characterized the dynamic nanoscale 92 93 architecture of SV fusion intermediates and used this structural information for a coarse-94 grained simulation of SV fusion initiation. We further morphometrically analyzed the distribution 95 and tethering of membrane-near SVs, revealing a stimulation-induced reduction of SVs within 96 a distance of 6 nm to the AZ membrane and a correlation between SV distances and tether numbers. 97

98 Coupled optogenetic stimulation and cryofixation of mouse

99 hippocampal neurons

100 To characterize the synaptic nanoscale architecture during and shortly after neurotransmitter 101 release, we developed a workflow combining optogenetics and *in situ* cryo-ET (Fig. 1a). For 102 the channelrhodopsin-2 (ChR2) variant optogenetic stimulation, we expressed 103 ChR2(E123T/T159C) in murine hippocampal neurons, which was shown to induce action potentials in a particularly fast and robust manner^{37,38}. In addition to the established 104 105 ChR2(E123T/T159C) version, which includes YFP for cellular localization (Fig. 1b), we 106 created additional constructs harboring a Cerulean or mScarlet to avoid potential spectral

107 overlap with fluorescent sensors in subsequent experiments (Suppl. Fig. 1a). We performed 108 electrophysiological recordings of neurons infected with ChR2(E123T/T159C)-YFP or one of 109 the two new constructs ChR2(E123T/T159C)-Cerulean and ChR2(E123T/T159C)-mScarlet to 110 assess their efficacy to induce action potentials. Onset of a light pulse resulted reliably in an 111 action potential with an average delay of 4.6-4.8 ms, a similar value as described for ChR2(E123T/T159C)-YFP in a similar experimental setting¹⁸ (**Suppl. Fig. 1b**), and a robust 112 113 response to light stimuli up to a frequency of 40 Hz for all three tested constructs (Suppl. Fig. 114 1c).



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116 Fig. 1: Workflow combining optogenetic stimulation of neurons, iGluSnFR cryo-117 confocal microscopy, and *in situ* cryo-ET. (a) Mouse hippocampal neurons are cultured on 118 EM grids and infected with viruses for ChR2(ET/TC) and iGluSnFR3 expression. (b) Light 119 pulses induce action potentials 100 ms (stim1) and 2-3 ms (stim2) before cryofixation of 120 neurons via plunge freezing. Right panels: Cryo-fluorescence microscopy of ChR2(ET/TC)-121 YFP in plunge frozen neurons. (c). The upper right panel shows an overview of several 122 neurons on an EM grid, scale bar 50 µm, the lower panels are zoom-ins to individual neurites 123 containing synapses, scale bar 10 µm. (d) Cryo-ET tilt series were acquired from stimulated 124 and control EM grids. Left: overview of a grid mesh with neurites and synaptic boutons, scale 125 bar 5 µm. Right: Synapse within a hole of a holey carbon grid, scale bar 200 nm. (e)

Tomograms are reconstructed from tilt series and used for segmentation and data analysis.
The tomogram slice and segmentation show a stimulated synapse with ongoing SV fusion
(pink arrowhead). Scale bars 200 nm. Schematic illustrations in panel a were generated with
BioRender.

130 Neurons cultured on EM grids (for details of our cell culture setup, see Suppl. Fig. 2) were 131 plunge frozen at DIV16-18 using a modified Vitrobot Mark IV (Thermo Fisher Scientific) plunge 132 freezer equipped with an LED connected to optical fibers inside and below the chamber (Fig. 133 1b and Suppl. Fig. 3a). Optogenetic stimulation (2 pulses at 10 Hz) was performed at ~37°C 134 and an elevated extracellular calcium concentration of 4 mM to increase the vesicular release 135 probability. The first stimulus was applied within the chamber at a maximum of 100 ms before 136 vitrification and the second stimulus while the sample grid traveled towards the cooled ethane. 137 The second stimulus started approximately 7 ms before vitrification, inducing an action 138 potential 2-5 ms before the grid was dipped into cooled ethane (additional cooling time of the 139 sample grid to 0°C < 1 ms³⁹). The exact timing of the LED pulses and freezing were monitored 140 using a high-speed camera (Suppl. Fig. 3b). Considering that most action potentials were 141 induced 3-6 ms after light onset in our electrophysiological experiments and that the delay 142 between action potential generation at the presynapse and synchronous neurotransmitter release is typically 1 ms or shorter⁴⁰, our setup was well suited for cryofixing neurons shortly 143 144 before, during, and directly after neurotransmitter release.

145 **Confirmation of neurotransmitter release using the glutamate sensor**

146 iGluSnFR3 in cryofixed neurons

147 After plunge freezing, we aimed to validate successful stimulation using a fluorescent 148 biosensor for synaptic activity. For this purpose, we first characterized the kinetics and 149 fluorescence intensity changes of the calcium sensor, SynGCaMP6f⁴¹, and different variants 150 of the glutamate sensor, iGluSnFR, via live imaging of neurons cultured on coverslips (Fig. 2a 151 and Suppl. Fig. 4a). Of all tested constructs, the fluorescent glutamate sensor 152 iGluSnFR3.v857.GPI containing a GPI anchor for postsynaptic enrichment (42, from now 153 iGluSnFR3) yielded the best-fitting properties with a maximum fluorescence intensity of 0.4 \pm 154 0.03 Δ F/F₀, (**Suppl. Fig. 4b**), an increase to half-maximum of T_{50%} = 22.6 ± 3 ms (**Suppl. Fig.** 4d), and an increase to maximal intensity of τ_{max} = 64.7 ± 6.2 ms (Suppl. Fig. 4c). To examine 155 156 the cellular localization of iGluSnFR3 signals, we performed a post hoc immunofluorescence 157 staining of synaptic proteins on samples used for live imaging (Suppl. Fig. 4f). With this 158 correlation, we could verify that action potential-induced iGluSnFR3 signals overlap primarily 159 with the postsynaptic marker Homer1.



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Fig. 2: Confirmation of synaptic glutamate release in stimulated, cryofixed neurons. (a) 161 Electrical field stimulation and live imaging of iGluSnFR3 at near-physiological temperature. 162 163 Left panels: before stimulation, right panels: after stimulation. Scale bars: overviews 20 µm, 164 zoom-ins 10 µm. (b) Maximum intensity projections of cryo-confocal stacks from unstimulated and optogenetically stimulated hippocampal neurons without and with TTX treatment. Scale 165 166 bars upper panels: 20 µm, lower panels: 5 µm. (c-e) Cumulated fluorescence intensity 167 histograms (c), mean fluorescence intensity (d) and fractions of pixels with a high fluorescence 168 intensity (>70 AU) measured in areas containing individual neurites. unstimulated: N = 77 169 confocal stacks from 9 grids and 4 independent cultures; stimulated: N = 80 stacks from 13 170 grids and 4 cultures; stimulated TTX: N = 30 stacks from 3 grids and 2 cultures. Dashed lines 171 in the violin plots indicate the median, dotted lines the 25% and 75% percentile. *** p < 0.001. 172 (f) Correlative iGluSnFR3 cryo-confocal microscopy and cryo-TEM of a stimulated grid. Left 173 panel: overview of four grid meshes, scale bar 50 µm. Right panel: zoom into a region 174 containing synaptic boutons, scale bar 500 nm. (f') Correlation of iGluSnFR3 fluorescence and

a reconstructed tomogram slice of a synapse, scale bar 200 nm. (g-g'') Tomogram slice (g),
overlay (g'), and segmentation (g'') of a putative closed fusion pore (pink arrowhead) from the
correlated synapse in (f). Scale bars 100 nm. The schematic illustration in f was generated with
BioRender.

179 Assuming that the glutamate-bound, highly-fluorescent conformation of iGluSnFR3 can be 180 preserved under cryogenic conditions, we acquired cryo-confocal stacks of optogenetically 181 stimulated and plunge frozen neurons expressing iGluSnFR3. We compared fluorescence 182 intensities in neurites of unstimulated neurons, optogenetically stimulated neurons, and 183 optogenetically stimulated and tetrodotoxin-treated (TTX, pharmacologically blocks sodium 184 channels required for action potential induction) neurons of four independent cultures (two for 185 TTX, Fig. 2b). In all four cultures, of which two were infected with ChR2(E123T/T159C)-YFP, 186 one with ChR2(E123T/T159C)-mScarlet, and one culture with both, the mean fluorescence 187 intensity of the stimulated samples was consistently higher than of the unstimulated samples. 188 indicating that our setup combining optogenetic stimulation and plunge freezing works reliably.

189 We therefore pooled the measurements of the individual cultures and calculated fluorescence 190 intensity histograms for all three conditions (Fig. 2c). In the stimulated samples, the mean 191 fluorescence intensity of 36.3 ± 1.3 AU was significantly higher than in the two control 192 conditions (unstimulated: 30.0 ± 1.1 AU, TTX-treated: 31.0 ± 1.5 AU, Kruskal-Wallis- test p < 193 0.001, Fig. 2d). Likewise, the fraction of pixels with a high fluorescence intensity (> 70 AU), 194 likely reflecting glutamate-bound iGluSnFR3, was significantly increased after stimulation (20.6 195 ± 0.9% vs. 13.0 ± 0.9% and 11.9 ± 1.2% without stimulation and after TTX treatment, 196 respectively, Kruskal-Wallis- test p < 0.001, Fig. 2e). Of note, the difference in mean 197 fluorescence intensities of optogenetically stimulated and control conditions was less 198 pronounced under cryogenic conditions than during live imaging, likely because low-199 expressing and non-responding neurons were excluded during live imaging, whereas cryoconfocal stacks were acquired from regions selected blindly. 200

201 To test if high iGluSnFR3 fluorescence intensity can be used as a marker for SV fusion events, 202 we performed correlative cryo-confocal microscopy and cryo-EM (cryo-CLEM) on a stimulated 203 EM grid (Fig. 2f and Suppl. Fig. 5). As visible in the overview of four grid meshes, the overall 204 morphology of neurons was preserved in our on-grid cell culture system. For tilt series 205 acquisition, we selected regions containing a high density of neurites but no cell somata (yellow 206 box in the right panel of Fig. 2f). Correlating fluorescence and transmission electron 207 microscopy (TEM), we observed the highest fluorescence intensity around large boutons likely 208 resembling synapses (yellow arrowheads and box in Suppl. Fig. 5). The correlation of iGluSnFR3 fluorescence and tomogram slice (Fig. 2f') revealed that the highest fluorescence 209

210 intensity was visible at the synaptic cleft between a presynapse and a postsynaptic bouton. At

the presynaptic AZ membrane, a putative forming fusion pore was observed (Fig. 2g).

212 In situ cryo-ET of SV fusion intermediates

213 Having confirmed that neurons cultured on EM grids were optogenetically stimulated before 214 being subsequently plunge frozen, we acquired cryo-ET data from those grids to 215 morphometrically and biophysically characterize SV fusion. Although we could show that the 216 iGluSnFR3 fluorescence signal is per se suited to select regions of interest for cryo-ET, we 217 acquired tilt series without correlation of each position to avoid ice contaminations, which form 218 during prolonged cryo-confocal microscopy, and to avoid the thinning of our samples via 219 focused ion beam (FIB)-milling, going along with a lower sample throughput. We therefore 220 acquired tilt series from regions with good cell and ice quality after confirming successful 221 stimulation via cryo-fluorescence microscopy at only a few positions of the grids. From the 222 acquired tilt series, we reconstructed 312 tomograms from stimulated and 95 tomograms from 223 control (TTX-treated) samples. We screened each tomogram manually for synapses with a 224 visible, cross-sectioned AZ. This resulted in 75 synapses in the stimulated and 28 synapses in 225 the TTX-treated samples that were used for further analysis. Each AZ was then examined in 226 more detail for membrane rearrangements that may be attributed to SV fusion (see Suppl. 227 Fig. 6 for examples of excluded structures). Based on all observed events, and in accordance 228 with previously described SV fusion intermediates^{3,25,26}, we defined seven categories: 229 invagination of the AZ membrane (1), stalk formation (2), closed fusion pore (3), open fusion 230 pore (4), dilating fusion pore (5), collapsing fusion pore (6), and small bumps (7) (Fig. 3a, b, 231 Suppl. Fig. 7, see Suppl. Table 1 for morphological criteria of each category). In addition to 232 exemplary tomogram slices (Fig. 3b), we visualized 3D volumes of each category using UCSF 233 ChimeraX⁴³ (**Fig. 3c**). We further performed subtomogram averaging (StA) of selected fusion 234 events from most categories using Dynamo⁴⁴ (Suppl. Fig. 7a) and applied C61 235 symmetry (Suppl. Fig. 7b) to visualize the general membrane shape and bending. We were 236 not able to generate an StA of open fusion pores because this category was particularly 237 heterogeneous with open pore widths ranging from 2 to 18 nm.

238 SVs of category 1 (n = 10 SVs in stimulated synapses) were spherical and in a distance of 6.4 239 \pm 0.4 nm to the AZ membrane (**Fig. 3a**), the AZ membrane below the center of the SV was 240 slightly invaginated (Suppl. Fig. 7c). In category 2 (stalk formation, n = 22), SVs were droplet-241 shaped with an evagination at the SV bottom, while the AZ membrane was almost flat or slightly 242 invaginated. At closed fusion pores belonging to category 3 (n = 6), the SV and AZ membrane 243 had already fused, resulting in a continuous membrane. In comparison to category 2, closed 244 fusion pores were slightly taller, the invagination of the AZ membrane was more pronounced. 245 Open fusion pores (category 4, n = 7) were smaller than closed fusion pores, the width of the 246 open pores was between 2.3 and 18.3 nm. Based on our definition, open pores contained 247 outward (positive) and inward (negative) membrane curvature at the pore neck, whereas 248 dilating pores (category 5, n = 8) only showed inward curvature at the junction of SV and cell membrane. The side walls of dilating pores were vertical or angular. Compared to dilating 249 250 pores, collapsing fusion pores (category 6, n = 12) were lower and wider. Interestingly, the top 251 membrane of collapsing fusion pores appeared thickened in relation to the surrounding AZ membrane by a factor of 1.6 (Fig. 3a and Suppl. Fig. 7c). Small bumps (category 7, n = 21) 252 253 were again lower than collapsing fusion pores and varied in size and shape.



Fig. 3: Cryo-ET of synaptic vesicle fusion states. (a-c) Schematic illustrations with details about size measurements (a), exemplary cryo-ET slices (b) and isosurfaces (c) of 7 categories of membrane rearrangements observed at synaptic active zones of stimulated neurons. Scare bars: 20 nm. (d) Fractions of synapses with or without membrane rearrangements in stimulated and stimulated, TTX-treated samples. (e) Fractions of synapses without membrane

260 rearrangements, ongoing fusion, or bumps in stimulated and stimulated, TTX-treated samples. 261 Synapses were counted as "ongoing fusion" if at least one stalk formation, closed, open, 262 dilated, or collapsing fusion pore was observed. (f) Fractions of individual fusion states in 263 stimulated synapses. Stimulated sample: N = 75 synapses from 3 grids and 2 independent 264 freezings, TTX sample: N = 28 synapses from 1 grid. (g) Snapshot of a coarse-grained simulation of an SV approaching the active zone membrane, with particle-based 265 266 representation of recruiting tethers, SNARE proteins and varying copy numbers of proteins 267 inducing membrane curvature at the active zone below the SV. (h) Time evolution of the vertical 268 distance between SV and active zone membrane, depending on the copy number of 269 membrane curvature-inducing proteins.

270 Compared to TTX-treated samples, we observed higher fractions of events in categories 1-6 271 in stimulated neurons (Fig. 3d), whereas the fraction of small bumps (7) was alike under both conditions. Closed and open fusion pores were only present in the stimulated sample without 272 273 TTX treatment. Therefore, we defined membrane rearrangements of categories 2-6 as ongoing 274 SV fusion and cell membrane invaginations below tethered, round SVs (category 1) as events 275 likely preceding SV fusion. Based on this definition, we observed ongoing SV fusion in 52% 276 (39/75 synapses), bumps in 14.7% (11/75), and no membrane rearrangements in 33.3% 277 (25/75) of all stimulated synapses. In the TTX-treated group, we found fusion events in 10.7% 278 (3/28 synapses), bumps in 17.9% (5/28), and no membrane rearrangements in 71.4% (20/28) 279 of all synapses (Fig. 3e).

280 Since some synapses contained more than one fusion event, we further counted each fusion 281 event individually (**Fig. 3f**). Of all fusion events observed in the stimulated samples (N = 55), 282 the majority was stalk formation (40%), followed by collapsing fusion pores (21.8%). Closed 283 (10.9%), open (12.7%), and dilating (14.5%) fusion pores were less prevalent. Presuming that 284 more transient and volatile conditions are stochastically less likely to be captured during plunge 285 freezing, our observed numbers of events per fusion state may serve as a morphological 286 readout for their speed. Based on this assumption, fusing SVs may remain in state 2 (stalk 287 formation) for comparatively longer, likely because energy barriers need to be overcome when 288 the membranes of SV and AZ are approached and perturbed³. Alternatively or in addition, 289 some of the formed stalks may not lead to SV fusion but instead get stuck or disassemble again^{45,46}. 290

291 Initiation of SV fusion via stalk formation

In previous studies, not only stalk formation but alternatively also (tight) docking has been suggested as prefusion state^{3,31}. During tight docking, the SV approaches the AZ until the membranes are in direct and broad contact; the lipids of SV and AZ membrane are supposed 295 to intermix until they reach a hemifusion (diaphragm) state. In our examples of stalk formation, 296 the SVs were droplet-shaped and the average distance between SV and membrane was 4 \pm 297 0.3 nm (smallest measured distance: 2.3 nm). This space between SV and AZ membrane 298 appeared partially blurry in some of our examples (Suppl. Fig. 7c), which has previously been 299 attributed to starting lipid intermixing²⁶. In contrast, we observed one morphologically tightly docked SV at an AZ (Suppl. Fig. 7d), as well as one tightly docked SV (Suppl. Fig. 7e) and a 300 301 putative hemifusion diaphragm (**Suppl. Fig. 7f**), both not in an AZ. Together, our observations 302 indicate that a transition from tethering to stalk and fusion pore formation without (tight) docking 303 is likely the predominant fusion mechanism.

304 Furthermore, a slight invagination of the AZ membrane (state 1) was present below 6.5% of all 305 tethered SVs within a distance of 4-8 nm from the AZ membrane (10/155 SVs). We observed 306 these invaginations at stimulated synapses with and without additional fusion events. To test 307 whether an invagination of the AZ membrane is beneficial for SV fusion initiation and may thus 308 precede stalk formation, we generated a coarse-grained simulation of an SV approaching the 309 AZ membrane (Fig. 3g). In this model, proteins (e.g. resembling synaptotagmin-1) actively 310 induce membrane curvature as soon as the SV has reached a distance of 6 nm to the AZ and 311 also interact with SNAREs. We thereby incorporated size and distance measurements of our 312 morphometric analyses (Fig. 3a, Fig. 4c-g, Suppl. Fig. 9). With this model, we tested the 313 effects of different concentrations of membrane curvature-inducing proteins on SV 314 approximation and SNARE complex formation. Interestingly, higher copy numbers of these 315 proteins did not facilitate but rather impeded the recruitment of the SV, likely because SNARE 316 complexes could not be formed efficiently anymore (Fig. 3h, Suppl. Fig. 8). Instead, 0 or 10 317 copies resulted in a fast SV approximation (in our model, SV fusion was not enabled). This 318 means that although a slight invagination of the AZ membrane, as observed by us and in a 319 previous study²⁶, may precede SV fusion, it is unlikely induced by proteins like synaptotagmin-320 1 but rather a consequence of SNARE zippering^{10,12}.

321 **Depletion of membrane-proximal SVs in stimulated synapses**

322 In addition to ongoing SV fusion events, we analyzed the distribution of tethered SVs within a 323 distance of 24 nm from the AZ membrane (Fig. 4a, 4b). In previous EM studies, changes in 324 the abundance of membrane-near SVs have been used as confirmation for successful action 325 potential induction and subsequent release¹³⁻¹⁸. To test whether we could reproduce these 326 findings with our workflow, we first compared all stimulated synapses (n = 515 SVs from 54 327 synapses) to TTX-treated synapses (n = 162 SVs, 19 synapses), whereby synapses with only 328 bumps (state 7) were not included. While the total number of SVs within a distance of 24 nm 329 was not significantly different between the two groups (Mann-Whitney test p = 0.477, Fig. 4c), 330 we counted on average 1.4 ± 0.6 fewer SVs per AZ within a maximum distance of 6 nm (Mann-

- Whitney test p = 0.035, proximal SVs, Fig. 4d, 4e) in stimulated synapses. Between 6 and 12
 nm (intermediate SVs), we observed slightly more SVs in stimulated synapses (Suppl. Fig.
- 333 9c). A comparable redistribution of SVs was reported using "zap-and-freeze" and freeze
- 334 substitution, however, the effects were more drastic¹³.



Fig. 4 Stimulation-induced changes in the distribution and tethering of membrane-near 336 SVs. (a) Exemplary cryo-ET slices of synapses without and with ongoing fusion in stimulated 337 338 and stimulated, TTX-treated neurons. Scale bars 100 nm. (b) Manual segmentations of active 339 zones and membrane-near SVs. Scale bars 20 nm. (c, d) Numbers of SVs per synapse with 340 a max. distance of 24 nm (c) or 6 nm (proximal SVs, d). stim: N = 54 synapses, TTX: N = 19 341 synapses, * p < 0.05. (e) Distribution of membrane-near SVs in stimulated and stimulated, 342 TTX-treated neurons. (f) Distribution of membrane-near SVs in synapses of stimulated 343 neurons with ongoing fusion and without membrane rearrangements. No membrane 344 rearrangement: N = 25 synapses, ongoing fusion: N = 29 synapses. (g) Numbers of tethers 345 for membrane-proximal, intermediate (6-12 nm) and distal (12-24 nm) SVs of stimulated

346 neurons. No membrane rearrangement: N = 145 SVs from 15 synapses, ongoing fusion: N = 347 114 SVs from 18 synapses, *** p < 0.001. (h) Exemplary tomogram slice of a multi-tethered 348 SV. The pink arrowhead indicates one of the tethers. Scale bar 20 nm. (i) Isosurface of the 349 same tethered SV. Scale bar 20 nm. (i) Atomic models of syntaxin/Munc18 (left, PDB: 4JEU) 350 and an assembled SNARE complex with synaptotagmin-1 and complexin (right, PDB: 5W5D) 351 in comparison to the tether indicated in (h) for size estimation, scale bar 10 nm. Light blue: 352 Munc18, pink: syntaxin-1, green: SNAP-25, dark blue: synaptobrevin-2, yellow: complexin, 353 orange: synaptotagmin-1.

354 In addition, we analyzed SV distributions of stimulated synapses with ongoing SV fusion (n = 355 29) and without membrane rearrangements (n = 25) individually. In the membrane-proximal 356 SV pool (0-6 nm distance), we observed 0.6 ± 0.4 fewer SVs per AZ in stimulated synapses 357 containing at least one fusion event (Fig. 4f). Consequently, also the subgroup of stimulated synapses without observed ongoing SV fusion had on average less membrane-proximal SVs 358 359 than TTX-treated synapses (counted difference 1.1 ± 0.7 SVs, Suppl. Fig. 9b, 9e). It is likely 360 that this subgroup of stimulated synapses without membrane rearrangements consists of 361 synapses postfusion (neurotransmitter release has already taken place) and synapses without 362 neurotransmitter release (non-releasing synapses). Assuming that the distribution of 363 membrane-proximal SVs in postfusion synapses is comparable to synapses with ongoing 364 fusion, whereas non-releasing synapses assumingly resemble TTX-treated synapses, we 365 calculated the theoretical fraction of non-releasing synapses (see **Supplementary Methods**): 366 Within the group of synapses without membrane rearrangements, the fraction of non-releasing 367 synapses would be 17%. Of all stimulated synapses, the fraction of non-releasing synapses 368 would be 8%, resulting in a theoretical synaptic release probability of 92% with our workflow. 369 The release probability of excitatory hippocampal synapses at a calcium concentration of 4 370 mM and near-physiological temperature was reported to be ~85%⁴⁷. Beyond that, it is 371 conceivable that SV replenishment starts already less than 11 ms after fusion¹³, potentially 372 resulting in slightly more membrane-proximal SVs in the postfusion state than during fusion. 373 In other words, we observed on average fewer membrane-proximal SVs in the subgroup of 374 stimulated synapses without ongoing fusion than expected. Kusick and colleagues¹³ came to 375 the same conclusion and attributed the low SV number to transient SV undocking during or shortly after fusion. 376

Previous work has shown that not only the distribution of SVs, but also the number of tethers dynamically changes during synaptic activity, whereby the formation of three or more tethers connecting SV and AZ membrane was suggested to be a morphological correlate of priming and a prerequisite for SV fusion^{25,26}. Although our tomograms of synapses were comparatively thick, going along with a potentially worse signal-to-noise ratio than FIB-milled samples or

purified synaptosomes, we were able to quantify tethers in our stimulated samples. Overall, 382 383 we manually quantified tethers at 114 SVs from 18 synapses with ongoing fusion and 145 SVs 384 from 15 synapses without membrane rearrangements. In both groups, we observed a linear 385 correlation of tether number and distance between SV and AZ membrane (Suppl. Fig. 9h), 386 whereby membrane-proximal SVs had the highest average number of tethers $(4.6 \pm 0.1 \text{ and})$ 4.4 ± 0.2 for synapses without and with fusion event, respectively) and distal SVs the lowest 387 388 (**Fig. 4g**). The number of tethers below SVs with slight membrane invagination was 4.2 ± 0.3 389 and did thus not differ from the other SVs within the same distance to the cell membrane. Of 390 note, we observed short, vertical tethers predominantly below SVs (Fig. 4h, Suppl. Fig. 10) 391 and longer, angular and curved tethers predominantly at the sides of membrane-proximal SVs 392 (Suppl. Fig. 10h). For size estimation, we positioned atomic models of different exocytic 393 proteins/complexes next to a tethered SV (Fig. 4j). For comparison with recent cryo-ET studies of FIB-milled synapses or synaptosomes^{19,27}, we fitted these atomic models and corresponding 394 395 density maps into 3D volumes of tethers connecting an SV to the AZ membrane (Suppl. Fig. 396 **10c-f**). Based on these fits, SNARE proteins may be involved in the formation of the short 397 tethers observed here, as suggested previously^{19,26,27}. However, not only assembled SNARE 398 complexes together with synaptotagmin-1 and complexin (PDB: 5W5D⁹), which would indicate 399 a primed state of the SV, are likely candidates. Syntaxin-1 and Munc18 (PDB: 4JEU⁴⁸) or 400 syntaxin-1, synaptobrevin-2 and Munc18 (PDB: 7UDB⁴⁹), both representing states preceding 401 SV priming, would fit equally well. Size-wise, Munc13 (PDB: 7T7V or 7T7X⁵⁰) could be involved 402 in the formation of longer angled tethers (Suppl. Fig. 10h), however, we cannot rule out that 403 Munc13 is also part of short vertical tethers (Suppl. Fig. 10f). Of note, we also observed 404 filaments connected to SV fusion intermediates, e.g. around the space between SV and AZ 405 membrane during stalk formation (Suppl. Fig. 7c). Whether these filaments resemble parts of 406 the SV fusion machinery, e.g. assembled SNARE complexes, needs to be investigated further.

407 Multivesicular release and release site refilling

In 23% of all stimulated synapses with ongoing SV fusion (9/39 synapses), we observed
multiple fusion events (Fig. 5a, 5c), whereby most of these synapses contained two (Fig. 5d).
Likewise, we observed multiple small bumps per synapse in 55% of synapses containing
bumps (6/11 synapses, Fig. 5b, 5e). At the synapses with MVR, the individual fusion events
did not preferentially fall into the same category.



413

414 Fig. 5: Multivesicular release and filamentous structures mediating SV resupply. (a) 415 Exemplary tomogram slice of multivesicular release (MVR). The asterisks indicate two stalks 416 and a dilated fusion pore at one active zone. Scale bar 20 nm. (b) Exemplary tomogram slice 417 of multiple bumps. Scale bar 20 nm. (c) Fractions of synapses with universicular release (UVR) and MVR in stimulated synapses. Synapses additionally containing bumps are indicated as 418 419 shaded areas. (d) Numbers of fusion events per synapse in the stimulated sample. (e) 420 Fractions of single and multiple bumps in stimulated and stimulated, TTX-treated neurons. 421 Stimulated sample: N = 75 synapses, TTX sample: N = 28 synapses. (f) Examples for SVs 422 connected to fusion events. i: tomogram slice and zoom-in (lower panel), i': isosurface of a 423 fusion stalk with two additional SVs. Asterisks label fusion events, numbers display connected 424 SVs, arrowheads display connecting filaments. Scale bars 20 nm. ii-iv: Exemplary tomogram 425 slices of dilating (left panel) and collapsing (middle and right panels) fusion pores connected 426 to SVs. Scale bars 20 nm. (g) Exemplary tomogram slices of stimulated synapses with 427 prominent presynaptic filaments likely resembling actin. The measured distance between 428 horizontal filament and active zone membrane was 17 nm (lower panel). Pink boxes indicate 429 positions of zoom-ins with SVs connected to these filaments (right panels). Blue arrowheads 430 indicate actin-resembling filaments, pink arrowheads indicate filaments connecting them to 431 SVs. Scale bars left panels: 100 nm, right panels: 20 nm.

432 Particularly during fast and sustained neurotransmitter release, release sites need to be refilled 433 with SVs. In our synapses, we observed structural features that may contribute to different 434 modes of release site refilling. Firstly, we noticed that SVs were not only connected to each 435 other via pleomorphic interconnectors, but also partially connected to fusion intermediates of 436 different categories (Fig. 5f, also see^{25,26}). Considering that filamentous connections between 437 membrane-near SVs were shown to persist or even increase during SV fusion^{25,26}, it is likely 438 that also the linkers between SVs and fusion events are stable and/or strengthened during 439 action potential-induced calcium influx. This way, fusing SVs may directly recruit new SVs for 440 very fast release site refilling. However, we observed such connections only at a small fraction 441 of fusion events. Secondly, we observed filamentous connections between SVs and 442 cytoskeletal filaments like actin (Fig. 5g). In stimulated neurons with and without ongoing 443 fusion, we occasionally observed horizontal, single stranded filaments in short distance (17-36 444 nm) to the cell membrane and preferentially at the borders of the AZ (Fig. 5g, lower panel). 445 We further observed vertical and angular filaments spanning around and also within the 446 cytosolic SV pool above the AZ (Fig. 5g, upper and lower panel), which were recently 447 described as actin corral and actin rails, respectively⁵¹. Although we could not correlate the 448 prevalence of these cytoskeletal filaments to the strength of the individual synapses, it is 449 conceivable that actin spans around synaptic AZs to help organizing the enrichment and 450 distribution of SVs for fast and sustained neurotransmitter release, as suggested recently^{51,52}.

451 **Discussion**

452 In summary, we have developed a workflow combining optogenetic stimulation of neurons with 453 plunge freezing and cryo-ET to achieve a combination of highest possible temporal and 454 structural resolution, paired with near-native cellular preservation and near-physiological 455 stimulation. Although optogenetic plunge freezers have been developed before⁵³⁻⁵⁵, we could 456 now verify the suitability of such a setup for in situ applications. In comparison to other 457 approaches for time-resolved cryo-EM, typically involving the mixing and spraying of reactants 458 onto EM grids³⁹, we achieved a similar temporal resolution with optogenetics. The coupling of 459 light pulse and cryofixation may thus not only be of interest for *in situ* experiments like ours but 460 also for in vitro applications.

461 Our time-resolved cryo-ET workflow formed the basis for an in depth characterization of SV 462 fusion *in situ*. While previous cryo-ET studies using chemical stimulation already showed 463 examples of SV fusion intermediates in synaptosomes^{25,26}, we were now able to categorize 464 and quantitatively assess SV fusion states from fusion initiation to membrane integration. 465 Overall, our observed fusion intermediates closely resembled fusion states recently described 466 in all atomic MD simulations¹¹ and will likely be of help for setting up future simulations and 467 models. While there is a general consensus about the opening and collapsing of fusion pores, 468 the mechanisms behind fusion initiation are still under debate and may vary between different 469 cellular processes³. Our observations favor a model in which SV fusion in neuronal synapses 470 is initiated by stalk formation, whereby the AZ membrane is only slightly invaginated when the 471 spherical SV converts into a droplet shape. Although we and others²⁶ observed AZ membrane 472 invaginations below tethered SVs, we do not have experimental evidence that they directly 473 lead to SV fusion. MD simulations described membrane invaginations preceding SV fusion 474 beforehand and attributed them to membrane curvature-inducing functions of proteins such as 475 synaptotagmin-1^{56,57}. However, a direct role of synaptotagmin-1 in inducing membrane 476 curvature has been questioned recently^{10,12}. Our coarse-grained simulation likewise indicated 477 that the induction of membrane curvature through proteins like synaptotagmin-1 may not be 478 beneficial for fusion initiation. Instead, the observed slight membrane invaginations may 479 originate from the zippering of the SNARE complex, as recently shown in an MD simulation of 480 SNARE-mediated SV fusion without synaptotagmins⁵⁸.

Beyond mediating membrane fusion, exocytic proteins were shown to be involved in the 481 482 recruitment and the priming of SVs^{6,40}. Overall, our findings support the idea that multiple 483 tethers are preferentially formed between the AZ membrane and SVs in close membrane 484 proximity²⁵⁻²⁷. Yet, we neither found differences in tether numbers between synapses with and 485 without ongoing SV fusion nor between SVs with and without membrane invaginations. 486 Considering that we observed fewer SVs in stimulated synapses primarily within a distance of 487 6 nm from the AZ membrane and membrane invaginations below SVs with an average 488 distance of 6.4 nm, it is likely that most functionally primed SVs are also located here. 489 Consequently, not the number of tethers but rather their molecular composition may correlate 490 to the priming state of SVs². Indeed, based on their size, not only assembled SNARE 491 complexes together with synaptotagmin-1 and complexin, reflecting a protein interaction during 492 priming, would fit into densities observed below membrane-near SVs^{19,27,28}. At least in our 493 example, Munc18 interacting with syntaxin-1, which reflects a pre-priming state, would fit 494 equally well. Importantly, these observations need to be interpreted with caution: Due to the 495 limited resolution of *in situ* studies like ours, the required structural resolution is missing to 496 reliably fit the one or the other protein complex into the observed densities.

We further noticed that the number of membrane-proximal SVs in the subgroup of synapses without ongoing fusion was lower than expected. A possible explanation for this observation is that synapses with very fast responses (too fast to be captured with our setup) also showed higher fractions of MVR, leading to a stronger depletion of the membrane-proximal SV pool. Indeed, our observed probability for MVR was lower than described previously for comparable stimulation conditions⁴⁷. However, also a transient dissociation of proximal SVs from the AZ membrane is conceivable, as previously suggested¹³.

504 Material and methods

505 Mass culture of mouse primary hippocampal neurons

506 Astrocyte feeder culture

507 All experimental procedures involving the use of mice were approved by the Animal Welfare 508 Committee of the Charité-Universitätsmedizin Berlin and the Berlin State Government. 509 Astrocytic and neuronal mass cultures were prepared from P0-P2 C57/BL6/N mice of either 510 sex. To prepare astrocyte feeder layers, mice were decapitated and cortices were isolated in 511 cold HBSS-HEPES. The tissue was digested in 0,05% trypsin-EDTA for 15-20 min at 37°C 512 followed by manual trituration. The isolated astrocytes were transferred to T75 flasks and 513 cultured for two weeks in Dulbecco's modified Eagle medium supplemented with 10% fetal calf 514 serum (FCS), 10,000 U/ml penicillin and 10,000 µg/ml streptomycin (DMEM) at 37°C and 5% 515 CO₂. Confluent astrocytes were trypsinated (0,05% trypsin-EDTA) and seeded on 516 collagen/poly-D-lysine coated coverslips (for RT experiments) or coated wells (for cryo 517 experiments and banker cultures) in a density of 75,000 cells/well (six-well plates). The 518 astrocytes were cultured for an additional week before FUDR (8.1 mM 5-fuoro-2-deoxyuridine 519 and 20.4 mM uridine in DMEM) was added to arrest glia proliferation.

520 Neuronal culture on coverslips

521 For live imaging, RT confocal imaging and electrophysiological recordings, primary 522 hippocampal neurons were used as co-cultures with astrocytes or separated from astrocyte 523 feeder layers as banker cultures. Since we did not find differences in the responsiveness of 524 neurons in the two different culture systems, we pooled data from mass cultures and banker 525 cultures. To isolate neurons, hippocampi were dissected in cold HBSS-HEPES and digested 526 using 20 U/ml papain for 45 min at 37°C, followed by manual trituration. For co-cultures, 527 isolated neurons were seeded directly on 1-2 weeks old astrocyte feeder layers in a density of 528 3.10^4 - 5.10^4 neurons/well. For banker cultures, neurons were seeded on coverslips coated 529 with collagen/poly-D-lysine and ornithine (5.10⁴ neurons/well), and the coverslips were 530 transferred to well plates containing astrocyte feeder layers after neurons were allowed to 531 adhere to the coverslips for 1 h. Neurons were cultured for 14-18 days at 37°C in neurobasal-532 A medium containing 2% B-27, 1% Glutamax, 10⁵ U/ml penicillin and 10⁵ µg/ml streptomycin 533 (NBA), lentiviruses and AAVs were added on DIV2-3.

534 Neuronal culture on EM grids

535 For culturing neurons on EM grids, mesh pedestals were 3D printed and placed on top of 536 astrocyte feeder layers. DMEM medium was replaced by NBA medium. Quantifoil R3.5/1 AU 537 holey carbon grids (400 mesh, 200 mesh and 200 mesh finder grids) were cleaned with

538 chloroform and acetone, followed by glow-discharging. Directly afterwards, the grids were 539 placed on droplets of collagen/poly-D-lysine coating solution and incubated for 20 min under 540 UV light and 1-2 hours at 37°C. The grids were washed in PBS overnight and then transferred 541 to the well plates containing the astrocyte feeder layers and pedestals, 3 grids per well. Primary 542 hippocampal neurons were seeded in a density of $1.5 \cdot 10^5 - 2 \cdot 10^5$ cells/well, viruses were 543 applied on DIV2-3.

544 Live imaging of biosensors

545 Live imaging of neurons expressing the glutamate sensors iGluSnFR, iGluSnFR3-PDGFR, or 546 iGluSnFR3-GPI was performed at elevated temperature (~32-34°C) using an inverted 547 microscope (Olympus IX51) with a custom-built in-line heating system and a 60x water 548 immersion objective with a heated-collar (Warner Instruments). Cells were perfused with high-549 calcium extracellular solution containing the following: 140 mM NaCl, 2.4 mM KCl, 10 mM 550 HEPES, 10 mM glucose, 4 mM CaCl₂, and 1 mM MgCl₂ (300 mOsm; pH 7.4). 6 µM NBQX, 30 551 µM bicuculline, and 10 µM AP-5 were added to block neuronal network activity of the mass 552 culture. Action potentials (2 ms depolarization) were induced using a field stimulation chamber 553 (Warner Instruments), Multiclamp 700B amplifier, and an Axon Digidata 1550B digitizer 554 controlled by Clampex 10 software (all Molecular Devices). To assess the suitability of the 555 biosensors for our cryo-ET workflow, two action potentials with an inter-stimulus interval of 25 556 ms were induced, which corresponds to the minimal time between first and second stimulus of 557 the optogenetic plunge freezer (limitation due to the bottom of the incubation chamber of the 558 Vitrobot). Samples were illuminated by a 490 nm LED system (CoolLED) with an exposure 559 time of 10 ms, images were captured with an andor iXon Life 897 camera (Oxford instruments) 560 at a frame rate of 40 fps.

561 **Optogenetic stimulation and vitrification**

562 For optogenetic stimulation of neurons, a plunge freezer (Vitrobot Mark IV, Thermo Fisher 563 Scientific) was equipped with a high intensity LED (Schott LLS3, wavelength 470 nm), from 564 which one PMMA optical fiber with PVC insulation (inner diameter 3 mm) reached inside and 565 one below the chamber of the Vitrobot. The end points of the optical fibers were installed with 566 a distance of max. 5 mm to the path of the plunge frozen EM grids and illuminated the grids 567 entirely. The LED was controlled by an infrared sensor that detected the downward motion of 568 the tweezer holding the EM grid. The grid was illuminated twice for 5 ms: the first light pulse 569 was applied within the chamber, max. 100 ms before freezing, and the second light pulse was 570 started approximately 7 ms before the sample reached the liquid ethane. The correct timing 571 and illumination were confirmed using the super-slow-motion mode of a Samsung Galaxy S20 572 camera with a frame rate of 960 fps.

573 At DIV16-18, each EM grid containing neurons was briefly washed in a high-calcium solution 574 containing 140 mM NaCl, 2.4 mM KCl, 10 mM HEPES, 10 mM glucose, 4 mM CaCl₂, 1 mM 575 MgCl₂, 3 µM NBQX, and 30 µM bicuculline (~300mOsm; pH7.4) pre-warmed to 37°C and 576 directly transferred to the plunge freezer. 4 µl of high-calcium solution supplemented with 10 577 nm BSA-gold (Aurion, OD~2) were applied on the grid prior to blotting for 12-16 s (backside blotting, blot force 10) at 37°C and a relative humidity of 80%. The grids were plunge-frozen in 578 579 liquid ethane and stored in liquid nitrogen until further use. For TTX-treatment, grids were 580 incubated in high-calcium solution containing 1 μ M TTX for 1-2 min prior freezing.

581 Cryo-confocal microscopy

582 Data acquisition

583 After plunge freezing, EM grids were clipped into autogrids and transferred to a TCS SP8 cryo-584 confocal microscope equipped with a 50x CLEM cryo-objective, NA 0.9 (Leica Microsystems). 585 Overviews of each grid were acquired in brightfield and fluorescence mode; the reflective mode 586 was used on a subset of grids to estimate the ice thickness. The iGluSnFR fluorescence signal 587 was used to confirm overall successful grid stimulation. For the comparison of iGluSnFR fluorescence intensities without and with stimulation, only the fluorescence signal of the 588 589 fluorophore attached to ChR2 (YFP or mScarlet) was used to select regions of interest for 590 subsequent cryo-confocal microscopy to avoid bias. Cryo-confocal stacks (z-steps 0.5 µm, 591 pixel size 0.11 µm) were acquired with optimized filter settings of the HyD detector to minimize 592 YFP or mScarlet crosstalk with the GFP signal. From grids intended for correlative confocal 593 and electron microscopy, only few confocal stacks were acquired and the overall acquisition 594 time per grid was limited to 30 min to avoid strong ice contaminations.

595 Analysis of cryo-confocal microscopy and correlation with cryo-electron tomography

596 Fluorescence intensities in cryo-confocal stacks of unstimulated, stimulated, and TTX-597 treated plunge-frozen neurons were compared using fiji software⁵⁹. Maximum intensity z-598 projections of each confocal stack were generated and 300x300 pixel regions of interest (ROIs) 599 containing individual neurites were extracted. The mean fluorescence intensity (Fig. 2d) was 600 measured per ROI and averaged per confocal stack. To compare the distribution of 601 fluorescence intensities (Fig. 2c), fluorescence intensity histograms were generated for each 602 ROI. A threshold of 15 was applied for background subtraction and the pixel counts per 603 intensity were normalized to the total pixel number per ROI. These relative intensity histograms 604 of ROIs were averaged per confocal stack. The threshold of 70 for high-intensity pixels was 605 visually assessed in stimulated samples. The fraction of pixels >70 (Fig. 2e) was calculated 606 per ROI and averaged per confocal stack. The correlation of fluorescence and TEM (Fig. 2f) 607 was performed manually using the navigator of the Leica lasx software and fiji.

608 Cryo-electron tomography

609 Data acquisition

610 Cryo-ET data collection of optogenetically stimulated neurons cultured on EM grids was 611 performed on a Titan Krios G3i electron microscope (Thermo Fisher Scientific) equipped with 612 a K3 direct electron detector with BioQuantum energy filter (Gatan) and operated at 300 kV. 613 Tilt series were typically acquired with 10 frames per tilt at a magnification of 15,000x and a 614 pixel size of 3.2 Å in superresolution mode using PACE-tomo⁶⁰. Tilt angles ranged from -50° to 615 +50° and 2° angular increment in a dose-symmetric⁶¹ tilt-scheme. The defocus values ranged 616 from -3 to -6 µm and the total electron dose was 106-125 e⁻/ Å².

617 *Tomogram reconstruction*

Tomograms used for analysis were reconstructed semi-automatically using the tomoBEAR⁶² pipeline: Aligned frames were motion-corrected using MotionCor2⁶³. The tilt series alignment was performed by DynamoTSA⁴⁴ and manually refined using 10 nm gold fiducial markers in IMOD^{64,65}. For each projection, defocus values were measured by Gctf⁶⁶, and CTF correction was performed using the IMOD command ctfphaseflip⁶⁷. Four-times binned 3D reconstructions (final pixel size 12.28 Å) from CTF-corrected, aligned stacks were obtained by weighted back projection in IMOD.

625 In total, 312 tilt series of stimulated neuronal samples and 95 tilt series of TTX-treated neuronal 626 samples were reconstructed with tomoBEAR and manually screened for synapses, which were 627 only recognizable after reconstruction. We visually identified synapses as presynaptic boutons 628 filled with SVs and a synaptic AZ, a synaptic cleft of 10-30 nm width, and a postsynaptic bouton 629 with visible postsynaptic density. Based on these criteria, we identified 75 synapses in the 630 stimulated samples and 28 synapses in the TTX-treated sample that were used for further 631 analysis. For cryo-ET analyses of stimulated synapses, we did not analyze each freezing/grid 632 individually but pooled synapses of three grids/two freezings because we did not note any 633 significant differences in numbers of SVs or putative fusion events between them.

634 Segmentation and analysis of cryo-electron tomography data

635 Segmentation

Automated segmentations of synapses (**Fig. 1** and **2g**) were performed with MemBrain v2⁶⁸: Tomograms were denoised and corrected for the "missing wedge" effect using IsoNet⁶⁹, 4times binned and lowpass filtered using IMOD. Membranes (intracellular organelles and plasma membranes) were segmented automatically with MemBrain v2 and corrected manually using Amira (Thermo Fisher). The segmentations were re-colored and aligned with tomogram

slices using ChimeraX. Manual segmentations of AZs (Fig. 4a) were made with IMOD using
4-times binned, IsoNet-corrected tomograms.

643 Morphometric characterization of membrane rearrangements

644 All synapses were screened for membrane rearrangements potentially resembling fusion 645 events. From these ROIs, 4-times binned subtomograms with a box size of 200x200x200 646 pixels (pixel size 12.28 Å) were generated using dynamo catalogue⁷⁰. As a quality control and 647 to avoid bias, the original tomograms were screened and ROIs were preselected by the first 648 author. The second author double-checked all positions independently and generated the 649 subtomograms. Initial ROIs were excluded if the putative fusion event was not located at an 650 AZ with recognizable postsynaptic density, if a halo resembling a clathrin coat around the pore 651 was visible, or if the resolution of the tomogram was poor (see Suppl. Fig. 6 for examples of 652 excluded ROIs). The subtomograms were denoised and corrected for missing wedge effects 653 using IsoNet. These denoised subtomograms were used for the classification of putative fusion 654 states. In addition, we double-checked the correct classification for a subset of ROIs using the 655 original (un-denoised) dataset. Based on our observations, we defined 7 categories of 656 membrane rearrangements at the AZ (opposing the postsynaptic density) and two additional 657 states of SVs in membrane proximity (see Suppl. Table 1 for morphological characteristics of 658 each category).

659 Center slices of putative fusion events were exported from IMOD as tiff files. Size 660 measurements (Fig. 3a) were performed in fiji: the horizontal diameter of tethered SVs with 661 membrane invagination, stalk formation, closed, and open fusion pores was measured at the 662 widest region of the respective SV/fusion event between the outer borders of the lipid bilayers. 663 The width of dilating and collapsing fusion pores, as well as bumps was measured between 664 the two positions of the AZ membrane where inward curvature was observed. For the distance 665 of tethered SVs and SVs during stalk formation, the space between lipid bilayers of SV and 666 membrane was measured, whereby membrane in- and evaginations were interpolated. For 667 the height of stalks, closed, open, dilated, and collapsing fusion pores, the distance between 668 AZ membrane outer (upper) border and the outer border of the fusion event membrane at the 669 highest position was measured, whereby invaginations of the AZ membrane (stalk formation, 670 closed fusion pore) were interpolated. The height of the neck of the closed fusion pore was 671 defined as the region in which both walls of the pore (in 2D) were in direct contact. The pore 672 width of the open fusion pore was defined as the space between lipid bilayers of the pore walls 673 at the narrowest position of the neck. The thickness of membranes at collapsing fusion pores 674 was measured at the top of the pore and next to the pore base.

675 Quantification of fusion events, multivesicular release and bumps

676 Based on our definition of membrane rearrangements, we quantified numbers of observations 677 per category. We first quantified synapses containing at least one of these events (Fig. 3d). If 678 synapses contained more than one event, only the event closest to category 4 (as center) was 679 used to define the overall state. Since stimulated synapses contained more events of 680 categories 2-6 than TTX-treated synapses, we defined these states as "ongoing SV fusion". 681 Based on this definition, we had three groups of synapses: synapses with ongoing fusion, 682 synapses with bump(s), and synapses without membrane rearrangements (Fig. 3d-e). 683 Synapses containing at least two fusion events (categories 2-6) were counted as MVR 684 synapses (Fig. 5c,d). Additionally, we counted each fusion event individually (Fig. 3f).

685 Quantification of SV distances and tethers

The guantification of distances and filamentous tethers connecting SVs and the AZ membrane 686 687 (Fig. 4d-4g) was performed in IMOD using IsoNet-corrected tomograms. To make sure that 688 the observed filaments were not a denoising artifact, we double-checked our annotations in a 689 subset of ROIs using the original (un-denoised) dataset. For distance measurements, we first 690 labeled all membrane-near SVs of each synapse above the AZ up to a distance of 24 nm at 691 lower zoom and then measured their exact distances at higher zoom using the IMOD 692 measuring tool. The distance between SV and AZ membrane was measured at the center slice 693 of the SV and defined as the space between lipid bilayers of SV and membrane. We analyzed 694 distributions of membrane-near SVs per synapse, whereby synapses containing no or only 695 one SV were excluded. For this, we binned distances in 2 nm steps. Based on this distribution, 696 previous reports^{13,50} and our observation that membrane invaginations were visible below SVs 697 with an average distance of 6.4 nm, we further defined three subpools of SVs: membraneproximal SVs with a max. distance of 6 nm, intermediate SVs with a distance of 6-12 nm, and 698 699 distal SVs with a distance of 12-24 nm.

Only synapses with very good structural resolution (high signal-to-noise ratio, clearly visible membrane bilayers, etc.) were used for tether analysis. Tethers were defined as vertical or angular filamentous connections between SV and AZ membrane. We quantified tethers per SV manually, whereby we went back and forth in z direction several times and at different zooms. The slicer window was additionally used to rotate SVs around the x and y axis. Only if a filament was visible on multiple z slices, it was counted.

706 Simulation

The coarse-grained model of the SV and the AZ includes particle-based representations of bilayer membranes, curvature-inducing proteins, and bead and spring models of tether and SNARE proteins. For the membranes, we used the membrane model developed by Sadeghi and Noé⁷¹. This two-particle-per-thickness coarse-grained model is parameterized to mimic the mechanics of a fluid membrane with specified bending rigidity, has tunable in-plane
viscosity, and is coupled with a hydrodynamics model that reproduces the out-of-plane kinetics
of membranes in contact with solvents of prescribed viscosity^{72,73}.

To parameterize the membrane model, we used reported values of the elastic response of SVs to indentation forces in atomic force microscopy (AFM) measurements⁷⁴. We used these values in conjunction with a theoretical model, initially developed for the AFM indentation of influenza virus envelopes⁷⁵, that relates the overall stiffness (or spring constant) of a spherical vesicle to the bending rigidity of its membrane. We found a mean membrane bending rigidity of 0.8×10⁻¹⁹ J, which is well within range of values obtained for lipid bilayers⁷⁶.

We modeled the SV in the initial state as a sphere with the outer diameter of 42.5 nm, to reflect the mean values obtained from tomograms (**Fig. 3a**), and added a harmonic volumepreserving potential to its outer leaflet particles. This potential acts against any changes in the enclosed volume, while allowing for otherwise arbitrary deformations. The plasma membrane underneath the vesicle is modeled as a planar square membrane patch of 180 nm in side length. The simulation box is coupled in-plane to a stochastic barostat that controls the lateral pressure components around zero.

We modeled the curvature-inducing proteins in the AZ (**Fig. 3g**) via a force field masking mechanism that allows for tagged particles to locally modify the interparticle interactions using Monte Carlo moves, while letting these particles freely diffuse within the membrane. The modified force field reflects a preferred signed curvature (upward/downward) around these particles⁷⁷. We used reported values of membrane curvatures upon binding cyclic peptides derived from synaptotagmin-1 C2B domain to assign preferred local curvatures to these particles⁷⁸.

The tether proteins (**Fig. 3g**) are included as fixed-length elements initially formed between particles on the vesicle to anchor particles on the plasma membrane. The position of these tethers are decided randomly at the initial state. We incorporated a harmonic angle-bending potential that, when activated, exerts a torque to rotate the tethers about their anchor point on the plasma membrane, in effect pulling the SV toward the AZ.

We included chain-like representations of two sets of SNARE proteins, namely v-SNARE on the SV and t-SNARES in the AZ. The sizes of the chains roughly match the overall structure of SNARE proteins synaptobrevin, syntaxin, and SNAP-25 in the zippered complex. We included selective short-range attractive pairwise interactions between beads that form v-SNARE and t-SNARE chains such that they prefer to match one-to-one in the correct zippered configuration. Spatial exclusion, modeled via soft harmonic repulsions, energetically prohibits other conformations. We chose the copy number of SNARE proteins based on the reported

proteomics data for SVs⁷⁹, and calibrated the strength of attractive interactions to match the
 data on zipping/unzipping forces measured with magnetic tweezers⁸⁰.

To test the effect of curvature-inducing proteins in the AZ, we developed models with 0, 10, 20, and 30 copies of these proteins. For each model, we started 5 simulation replicas, each with different random distributions of tether, SNARE, and membrane-curving proteins. We used anisotropic Brownian dynamics with a timestep of 0.1 ns to simulate the motion of all the particles in the system, and assigned a cytosolic viscosity of 2.21 cP⁸¹ to calculate particle mobilities in our hydrodynamic coupling method⁷³.

- 754 At the start of each simulation, the system is allowed to relax for 5 µs, with the torque on the 755 anchor points of tether proteins disabled, effectively having the SV floating at constant distance 756 with the AZ (Suppl. Fig. 8a). Afterwards, the tethers are activated, pulling the vesicle toward 757 the AZ. The gap between the two membranes in their closest approach is continuously 758 monitored. When the gap falls below 6 nm, we initiate the force field masking mechanism for 759 curvature-inducing proteins, which results in curvature being developed in the AZ membrane. 760 Each simulation thus continues for another 100 µs to follow the docking dynamics (Suppl. 761 Fig. 8).
- Trajectories are obtained by sampling the positions of all the particles at 100 ns intervals. All the subsequent trajectory post-processing, data analysis, and plotting is done through Python scripts, using Numpy⁸² and Matplotlib⁸³ software packages. The 3D visualization is done via the software package Visual Molecular Dynamics (VMD)⁸⁴.

766 Statistics and data representation

767 Fluorescence microscopy data were analyzed using fiji (fluorescence intensity means and histograms) and python, cryo-ET data were quantified using IMOD and fiji. Graphpad prism 768 769 was used for statistical tests. P-values were defined as follows: * p<0.05, ** p<0.01, *** 770 p<0.005. Graphs were generated using the python packages Seaborn⁸⁵ and Matplotlib⁸³ and 771 optimized for visualization using Affinity Designer 2. Isosurfaces of bandpass-filtered 772 tomograms and segmentations were visualized using ChimeraX, whereby the "hide dust" 773 function was used. Only for subtomogram averages, the erase tool was used to remove 774 artifacts that were not in direct contact with the particle and introduced/ amplified by C61 775 symmetry. Density maps of atomic models with a resolution of 10 Å were generated with the 776 ChimeraX function molmap and manually fitted into cryo-ET densities. If not stated differently, 777 data are represented as mean ± standard error of the mean (sem). In violin plots and bar 778 graphs, the center line depicts the median, the upper and lower lines/borders of the box display 779 the 25% and 75% percentiles. Whiskers in box plots indicate the 10-90% percentile range in

all graphs except Suppl. Fig. 8 (here min. to max.). XY plots show lines connecting means andthe semitransparent areas indicate the sem.

782 **Contributions**

783 JK and CR designed the study, CR supervised the project. JK and MaS conceived the setup 784 for optogenetic plunge freezing. CAD designed and built the optogenetic freezing device. JK 785 developed a protocol to culture neurons on EM grids. JK and MaS performed plunge freezings. 786 JK and TS acquired cryo-ET data. UK processed cryo-ET data. JK and UK analyzed cryo-ET 787 data and segmented tomograms. MoS generated the computational model, performed the 788 corresponding simulations and analyses. JK acquired and analyzed cryo-confocal microscopy 789 and CLEM data. LI and JK acquired live imaging and RT confocal microscopy data. JK and LI 790 analyzed live imaging data. ML acquired and analyzed electrophysiology data. JK designed 791 figures and prepared the manuscript. All authors reviewed the manuscript.

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