Distinct roles of cortical layer 5 subtypes in associative 1 learning 2 3 Sara Moberg^{1,2}, Michele Garibbo^{3,4}, Camille Mazo⁵, Ariel Gilad⁶, Dietmar Schmitz^{1,7,8,9,10,11}, Rui 4 Ponte Costa³, Matthew E. Larkum^{2†}, and Naoya Takahashi^{5†} 5 6 7 ¹Charité-Universitätsmedizin Berlin, corporate member of Freie Universität Berlin and Humboldt 8 Universität zu Berlin, Einstein Center for Neurosciences Berlin, 10117 Berlin, Germany 9 ²Institute for Biology, Humboldt University of Berlin, 10117 Berlin, Germany 10 ³Centre for Neural Circuits and Behaviour, Department of Physiology, Anatomy and Genetics, 11 University of Oxford, Oxford, United Kingdom ⁴Bristol Computational Neuroscience Unit, Intelligent Systems Lab, Faculty of Engineering, 12 University of Bristol, Bristol, BS8 1TH, United Kingdom 13 14 ⁵University of Bordeaux, CNRS, Interdisciplinary Institute for Neuroscience, IINS, UMR 5297, 15 33000 Bordeaux, France 16 ⁶Department of Medical Neurobiology, Faculty of Medicine, Institute for Medical Research Israel-17 Canada (IMRIC), The Hebrew University of Jerusalem, Jerusalem, Israel 18 ⁷Charité-Universitätsmedizin Berlin, corporate member of Freie Universität Berlin and Humboldt 19 Universität zu Berlin, Neuroscience Research Center, 10117 Berlin, Germany 20 8 Charité-Universitätsmedizin Berlin, corporate member of Freie Universität Berlin and Humboldt 21 Universität zu Berlin, NeuroCure Cluster of Excellence, 10117 Berlin, Germany. 22 ⁹Bernstein Center for Computational Neuroscience Berlin, Berlin 10115, Germany

- 1 ¹⁰German Center for Neurodegenerative Diseases (DZNE) Berlin, Berlin 10117, Germany
- 2 ¹¹Max-Delbrück Center for Molecular Medicine in the Helmholtz Association, Berlin 13125,
- 3 *Germany*
- 4
- 5 †Correspondence: Naoya Takahashi (naoya.takahashi@u-bordeaux.fr) and Matthew E. Larkum
- 6 (matthew.larkum@hu-berlin.de)
- 7
- 8 Keywords
- 9 L5 pyramidal neurons, projection types, somatosensory cortex, associative learning
- 10

1 SUMMARY

2 Adaptive behavior is critically dependent on associative learning, where environmental 3 cues are linked with subsequent positive or negative outcomes. In mammals, primary neocortical 4 sensory areas serve as pivotal nodes in this process, processing stimuli and distributing information 5 to cortical and subcortical networks. Layer 5 (L5) of the cortex comprises two types of pyramidal 6 projection neurons-intratelencephalic (IT) and extratelencephalic (ET) neurons-each with 7 distinct downstream targets. Despite the crucial function of L5 as a main output node of the cortex, 8 the specific contributions of these L5 neuronal subtypes to associative learning remain poorly 9 understood. In the present study, by leveraging transgenic mouse lines, we distinguished IT and 10 ET neurons in the primary somatosensory cortex and examined their roles in a whisker-based 11 frequency-discrimination learning task. Longitudinal two-photon calcium imaging revealed 12 distinct response characteristics between IT and ET neurons throughout learning. Interestingly, the 13 activity of IT neurons hardly changed over the five days of learning, while the activity of ET 14 neurons developed robustly. Furthermore, IT neurons appeared to show stimuli encoding from the 15 beginning, whereas the ET neurons became increasingly responsive to stimuli associated with 16 reward. Chemogenetic silencing of either IT or ET neurons both impaired learning, but in 17 strikingly distinct ways, each associated with a different phase of learning. By modeling the 18 response characteristics of IT and ET neurons using a reinforcement learning framework, we show 19 that IT neurons primarily encode sensory stimuli, and their representations are critical for forming 20 stimulus-reward associations. ET neurons instead represent the value of the stimulus, used for 21 refining behavior. Thus, our results delineate the distinct roles of L5 IT and ET neurons, 22 underscoring their integral and complementary contributions to associative learning.

1 INTRODUCTION

2 Associative learning is essential for selecting appropriate behaviors by linking stimuli to different outcomes. The learning process consists of multiple components, including acquisition 3 4 and refinement, and involves diverse neural circuits across the brain that function in concert^{1,2}. 5 Primary sensory cortical areas are crucial for first processing and analyzing sensory stimuli, but 6 then also for linking these stimuli to behaviorally relevant outcomes, such as rewards or threats³⁻ 7 ⁶. During stimulus-reward associative learning, neurons in these areas alter their response patterns to stimuli based on their relevance to rewards^{3,7-9}. These learning-associated changes in neuronal 8 9 activity are thought to then be transmitted to other brain regions outside the sensory cortices. While 10 recent studies have begun to elucidate the contribution to learning of cortical neurons projecting 11 to distant brain regions⁵, little is known about the activity changes these projection neurons 12 undergo during learning and their functional roles throughout the learning process.

13 Layer 5 (L5) serves as the main output layer of sensory cortices and consists of two major 14 groups of outward projecting pyramidal neurons: intratelencephalic (IT) and extratelencephalic 15 (ET) neurons. IT neurons project bilaterally to other cortical areas and the striatum, while ET 16 neurons project predominantly to subcortical areas in the ipsilateral hemisphere, including the striatum, higher-order thalamic nuclei, midbrain and pons¹⁰⁻¹². Recent advances in transgenic¹³ and 17 retrograde-viral targeting¹⁴ technology have begun to shed light on the distinct contribution of each 18 19 projection neuronal subtype to cortical function and information processing, such as sensory detection and discrimination^{4,5,15,16}, decision making¹⁷, and movement control¹⁸⁻²¹. But as yet, the 20 21 question still remains as to how IT and ET neurons contribute to learning.

The primary somatosensory cortex (S1) has been shown to engage in vibrotactile
 discrimination in humans²², primates²³, and rodents²⁴. In the present study, we sought to determine

1 how the activity patterns of S1 L5 projection neuronal subtypes evolve during learning of 2 vibrotactile discrimination, specifically in the context of stimulus-reward associations. To study the learning process, we designed an appetitive Pavlovian conditioning task, where head-restrained 3 4 mice were trained to distinguish between two vibratory stimuli delivered to their whiskers with 5 different frequencies, with one stimulus paired with a water reward and the other not. Utilizing 6 transgenic mouse lines, we selectively targeted and tracked the activity of either L5 IT or ET 7 neuronal populations in S1 during the learning process. Using *in vivo* two-photon imaging, we 8 measured calcium activity in the apical dendritic trunks, which highly correlates with somatic activity of L5 pyramidal neurons^{25,26}, and found distinct response patterns between IT and ET 9 10 neurons to stimuli and rewards, along with changes in these patterns during learning. By 11 chemogenetically silencing selective L5 subtypes, we found that both IT and ET neurons are 12 critical for learning, with each subtype contributing to distinct aspects of the learning process. To 13 further elucidate the specific roles of IT and ET neurons, we developed a theoretical model inspired 14 by classical reinforcement learning frameworks and based on their distinctive response properties. 15 The model suggests that IT neurons encode an 'unsupervised' model of the sensory world, which 16 can then be used to initiate the formation of associations between stimuli and rewards. In contrast, 17 the model suggests that ET neurons are pivotal in the subsequent refinement of learned responses, 18 thereby enhancing the discriminability between rewarding and non-rewarding stimuli.

1 **RESULTS**

2 Whisker stimulus-reward associative learning depends on S1

3 To study reward-based associative learning in mice, we developed a Pavlovian trace 4 conditioning task in which head-restrained mice were trained to associate one of two stimuli, i.e. 5 a conditioned stimulus (CS+) vs. a non-conditioned stimulus (CS-), with water reward (Fig. 1a). 6 The CS+ consisted of C2-whisker stimulation at 10 Hz for 1s, followed by a short delay (trace 7 interval; 0.5 s) before the delivery of a reward. For CS- trials, the same whisker was stimulated at 8 5 Hz with no reward. Over multiple daily sessions of training, the mice gradually learned to 9 discriminate between the two stimuli, as shown by a selective increase in the frequency of 10 anticipatory licks to CS+ (i.e., licks from stimulus onset to the time of reward delivery) (Fig. 1b). 11 The task performance, i.e., CS discriminability, was quantified as the area under a receiver 12 operating characteristic curve (auROC) calculated on anticipatory licks in CS+ vs. CS- trials for 13 each session (Fig. 1c)^{27,28}. Within five days, 18 out of 20 mice reached a proficient level (auROC 14 > 0.7) to discriminate the stimuli (Fig. 1d). Learning was not contingent on the specific frequencies 15 of conditioned stimuli used as mice could successfully learn the task where 5 Hz stimulation was 16 set as CS+ and 10 Hz stimulation as CS- (Fig. S1).

A recent report suggests that learning a simple tactile detection task may not require S1²⁹. To test whether our learning paradigm depends on S1, we pharmacologically inactivated it during training. Prior to each training session, muscimol, a GABA_A-receptor agonist, was locally injected into the C2 barrel column of S1, contralaterally to the stimulated C2 whisker (**Fig. 1e**). Silencing S1 disrupted learning compared to mice with intact S1 or S1 injected with saline (**Fig. 1f**). In contrast, silencing S1 in expert mice did not affect the behavioral performance (**Fig. 1g**).

Collectively, these results demonstrate that S1 activity facilitates learning of the task, but once
 learned, S1 is not necessary for the expression of conditioned responses.

3

4 IT neurons respond primarily to whisker stimuli while ET neurons are sensitive to reward-

5 related signals

To investigate the responses of S1 neurons to stimuli and rewards, and how they are modulated during learning, we used *in vivo* two-photon calcium imaging to monitor neuronal activity over time before, during and after learning. We focused on the two main long-range projection neurons in L5 of S1, IT and ET neurons. Two Cre-driver transgenic mouse lines, Tlx3-Cre and Sim1-Cre, were used to distinguish between IT and ET neurons, respectively (**Fig. 2a**)¹³. A Cre-dependent viral expression strategy yielded selective labeling of L5 IT neurons in Tlx3-Cre mice and ET neurons in Sim1-Cre mice, as previously reported (**Fig. 2b**)^{13,16,19,20}.

13 Using these mouse lines, we expressed a genetically-encoded calcium indicator, 14 jGCaMP8m³⁰, in IT and ET neurons. To facilitate stable longitudinal recordings, we imaged apical 15 dendritic trunks as a proxy for global activity as activities in apical trunks and somata have been shown to be highly correlated^{25,26}. The same field of view (FOV) in the C2 barrel column was 16 17 imaged for seven consecutive days; five days during the course of learning as well as one imaging 18 session before and one imaging session after learning to record the neurons' response profiles to 19 stimulus alone and reward alone (Fig. 2c). Tlx3-Cre and Sim1-Cre transgenic mice learned the 20 task equally well over the course of five days (Fig. 2d). On average, the FOV $(178.3 \times 178.3 \,\mu\text{m}^2)$ 21 contained 56 IT neurons (34–83 neurons, n = 5 mice; Fig. 2e) or 28 ET neurons (11–45 neurons, 22 n = 6 mice; Fig. 2i).

When comparing the responses of IT and ET neurons in passive mice, where stimuli and rewards were presented separately in different trial blocks (**Fig. 2f, j**), we observed striking differences between the two subtypes. A larger fraction of IT neurons responded to whisker stimuli compared to ET neurons (pre-learning: 56.41% vs. 35.85%, *n* = 273 IT neurons vs. 159 ET neurons; *p* = 3.7 × 10⁻⁵, χ² test = 17.00; **Fig. 2g, k**). Subpopulations of IT neurons exhibited CS selectivity; however, a notable fraction of neurons responded to both CS+ and CS- (**Fig. 2g**). Regardless of subtype, responsiveness to stimuli tended to decrease after learning.

8 In contrast, a greater proportion of ET neurons responded to rewards compared to IT neurons (pre-learning: 16.79% vs. 25.48%, n = 262 IT neurons vs.157 ET neurons; p = 0.032, χ^2 9 10 test = 4.62; Fig. 2g, k). Similar to their stimulus responsiveness, ET neurons' reward 11 responsiveness also declined after learning. Interestingly, ET, but not IT, neurons that initially 12 responded to stimuli showed increased responsiveness to rewards after learning (Fig. 2h, l). This 13 suggests a learning-induced shift in the response properties of ET neurons, where stimulus-14 responding ET neurons preferentially develop increased sensitivity to rewards through the learning 15 process.

16 Collectively, our results show that IT neurons primarily responded to stimuli, while ET17 neurons were more responsive to rewards.

18

19 Learning reshapes ET neuronal responses, with little change in IT neurons

To assess how IT and ET neurons change their activity patterns during learning, we recorded from the same mice over successive daily training sessions. The response patterns of IT neurons remained stable throughout the learning period (**Fig. 3a, b**). In contrast to outside the training (**Fig. 2**), where we observed a decrease in stimuli-responsive neurons, the fraction of

1 stimulus-responding IT neurons did not change throughout learning (Fig. 3c, left), nor did their 2 response amplitudes (Fig. 3c, right). Similarly to naive mice (Fig. 2g), the IT neurons responding 3 to CS+ and CS- largely overlapped (green; Fig. 3c, left), with only a subset of neurons showing 4 stimulus-specific responses (blue and yellow; Fig. 3c, left). ET neurons, on the other hand, showed 5 a robust response to rewards on Day 1. Their response patterns gradually changed over the training 6 period, parallel to the evolution of anticipatory lick patterns in response to the stimuli (Fig. 3e, f). 7 Learning altered the proportion of engaged ET neurons as well as their response amplitudes (Fig. 8 **3g**). The response amplitudes for both stimuli significantly increased from the beginning to the 9 end of training (Fig. 3g, right). Importantly, ET neuronal activity emerged with anticipatory 10 licking but did not merely reflect lick-related motor activity (Fig. S2). Instead, it represented a 11 response to reward-predicting stimuli. Together, these results suggest stable, sensory 12 representations in IT neurons and learning-induced increase in ET neuron activity.

13 Since mice improved their performance in discriminating between CS+ and CS- through 14 learning, we next sought to investigate how the activity of each neuronal subtype developed during 15 learning. To investigate this, we performed a population-decoding analysis using a linear support 16 vector machine (SVM) decoder to classify stimulus types on a trial-by-trial basis. Stimulus type 17 was decoded throughout the trial using time-binned population activity (bin = 0.5 s) for Days 1– 18 5. There was a consistent sharp rise in the decoding accuracy of IT neurons after the stimulus 19 presentation and the accuracy remained high throughout the trial (Fig. 3d). These results reveal a 20 robust populational representation of individual stimuli in IT neurons that change little during 21 learning. In contrast, ET neurons showed high CS discriminability in the reward time window at 22 the beginning of learning, while a second peak gradually appeared during the stimulus presentation 23 time window over the training period (Fig. 3h). These results align with the observation that many

ET neurons were responsive to reward before and at the early phase of learning (Day 1), and that
an increase in activity associated with reward expectation emerged as learning progressed (Fig.
3 h).

4 Given the distinct activity patterns of IT and ET neuronal populations, we wondered how 5 individual neuronal activities evolve during learning. To assess this, we next analyzed neurons 6 consistently identifiable across the five-day training period and tracked daily changes in their 7 activity (Fig. 4a, c). Individual IT neurons exhibited stable response patterns throughout the 8 learning period. A largely consistent neuronal population was activated by each stimulus from day 9 to day (Fig. 4a). To further assess the response stability, we calculated the Pearson correlation of 10 session-averaged activity traces for each neuron across different learning days and averaged these 11 correlations across the population. This analysis confirmed that IT neuronal responses remained 12 highly stable across days for both trial types (Fig. 4b). In contrast, ET neurons displayed more 13 variable response patterns, with their activity changing from day to day (Fig. 4c), resulting in lower 14 inter-day correlations (Fig. 4d). However, these correlations tended to gradually increase over 15 time, suggesting that ET neuronal responses became more consistent. Together, these results 16 suggest that individual IT neurons maintain stable activity that is unaffected by learning, while 17 individual ET neurons undergo dynamic changes, with their activity patterns becoming more 18 consistent as learning progresses.

19

20 IT and ET neurons contribute to different aspects of learning

The differences in responsiveness between IT and ET neurons during learning raise the question of how these two neuronal subtypes uniquely contribute to behavioral learning. We therefore examined the specific functional roles of IT and ET neuronal populations during the

1 associative learning task. We silenced each neuronal subtype in the C2 barrel by injecting a viral 2 vector conditionally expressing an inhibitory designer receptor exclusively activated by designer 3 drug (DREADD), hM4Di, into Tlx3-Cre or Sim1-Cre mice (Fig. 5a-c)³¹. Prior to each training 4 session, a DREADD ligand, clozapine-N-oxide (CNO), was systemically injected into the mice, 5 thus selectively inhibiting IT or ET neurons. Silencing either neuronal subtype led to a significant 6 impairment in learning compared to control mice with intact S1 (Fig. 5d). The disruption of 7 learning was not due to CNO injections alone as the learning remained intact when injecting CNO 8 in mice without hM4Di expression (Fig. S3).

9 Interestingly, the progression of overall anticipatory lick rates significantly differed 10 between IT and ET neuron silencing (Fig. 5e). Under closer observation, during learning in control 11 mice, anticipatory lick rates for CS+ increased as learning progressed, while lick rates for CS-12 increased during the first two days and then trended to decline (Fig. 5f). Inactivating IT neurons 13 caused a general reduction in anticipatory lick rates for both CS+ and CS-, with a more pronounced 14 decrease for CS+ (Fig. S4a), ultimately eliminating the difference between the two (Fig. 5g). 15 Conversely, ET neuron inactivation resulted in a sharp increase in anticipatory lick rates for both 16 CS+ and CS- on Days 1 and 2, which remained elevated throughout learning (Fig. 5h). Notably, 17 the anticipatory lick rate for CS- was significantly higher than in control mice (Fig. S4b). 18 Furthermore, similar to S1 inactivation using muscimol, silencing either IT or ET neurons had no 19 effect on the behavioral performance of expert mice (Fig. S5). These results suggest distinct 20 functional roles for IT and ET neurons in shaping stimulus-reward associative learning, where IT 21 neurons are critical for the association to be formed, and ET neurons are critical for CS 22 discrimination to be refined.

1 A reinforcement learning model reflecting L5 subnetworks captures learning dynamics

2 The differences in response properties in IT and ET neurons and their impact on learning imply distinct computational roles in learning stimulus-reward associations. To explore this 3 4 further, we employed mathematical modeling to decipher their specific contributions. The 5 Rescorla-Wagner (RW) model is a simple yet widely used reinforcement learning framework for 6 understanding classical Pavlovian conditioning³². The basic principle of the RW model is to update 7 the value function (i.e., association strength) for each conditioned stimulus by calculating the 8 reward prediction error (RPE) through learning. We implemented an RW-type model within a 9 neural network framework, in which the neural network was trained to predict the value of each 10 stimulus type (CS+ vs. CS-) using an RW update rule, here referred to as 'value-encoding network' 11 (Fig. 6a). Next, we extended this model to incorporate three key features observed in our 12 experimental results. First, IT neurons, which exhibited stable representations of stimuli before 13 learning (Figs. 2, 3), were modeled as a neural network that was pre-trained in an unsupervised 14 learning task to reconstruct (see Methods) and remained unchanged during stimulus-reward 15 training. After unsupervised training, the IT neural network developed representations that help 16 differentiate between stimuli, thus helping the value-encoding network in predicting the reward 17 values (Fig. 6a, left). Second, based on our experimental results showing that reward-predicting 18 responses to stimuli evolve in ET neurons over learning (Fig. 3), we modeled ET neurons as 19 relaying reward prediction signals generated by the value-encoding network to an RPE calculation 20 circuit (Fig. 6a, right). These signals are then compared with actual rewards, generating RPEs that 21 update the value-encoding network during reward-based training. Lastly, since expert mice were 22 able to perform the task without S1 (Fig. 1g), the learned associations (i.e., stimulus values) must 23 therefore be stored in brain regions outside of S1. These regions should therefore also receive

sensory input and are capable of executing learned responses independently of S1 during expertlevel performance. To model this, the value-encoding network includes two input channels: 'IT' and 'non-S1' (**Fig. 6a, left**). Both channels independently transmit sensory information to predict stimulus values. This component of the model predicts that the IT channel is responsible for conveying richer stimulus representations coming from the IT network, while the non-S1 channel only conveys coarse stimulus representations (see Methods).

7 The model was trained to associate two distinct classes of artificial stimuli, representing 8 CS+ and CS-, with the correct reward outcome. The predicted anticipatory lick rate was derived 9 by linearly scaling the association strength, or value, of each stimulus. Comparison with 10 experimental data showed that the model accurately reproduced the learning dynamics across 11 conditions. Specifically, in the control condition, the model closely tracks the tendency in the 12 experimental data for the initial rise in lick rates for both stimuli, followed by a divergence where 13 CS+ licks continued to increase, and CS- licks declined (Fig. 6b). Analysis of the IT neural 14 network in the model revealed that this early general increase could be attributed to the proximity 15 of CS+ and CS- stimuli in the encoding space (Fig. 6c), suggesting that overlapping stimulus 16 representations in IT neurons contribute to the general increase observed in the experiments (Fig. 17 5f). The model also captured the distinct learning dynamics observed when IT or ET neurons were 18 blocked. Because in the model IT neurons are responsible for rich sensory encoding, blocking 19 them resulted in a general slower learning for both stimuli (Fig. 6d). On the other hand, because 20 ET neurons mediate the transmission of value for RPE computations blocking highlights the fast 21 sensory learning mediated by IT neurons, but inability to correctly assign values to specific stimuli 22 (Fig. 6e). Therefore, our model shows that comparing ET neuronal output against the actual reward 23 outcome to generate a RPE is consistent with our data (Fig. 5h). Furthermore, it indicates the

potential involvement of an RPE calculation circuit downstream of ET neurons. Finally, as expected, our model exhibits learning transfer, so that as learning progresses, the non-S1 input channel in the value-encoding network increasingly builds stimulus-reward associations, leading to a reduced reliance on the IT input channel. This aligns with our experimental observation that, at the expert level, neither IT nor ET neurons in S1 are required for task execution (**Fig. 6f**). In conclusion, the model effectively captured the distinct contributions of IT and ET neurons to stimulus-reward learning and accurately mirrored experimental findings. These results

highlight the complementary computational roles of IT and ET neurons, which fit within a classical
associative learning model, where IT neurons provide representations of sensory stimuli to
estimate stimulus value, and ET neurons relay this value to the prediction error regions for updating
the value function.

1 DISCUSSION

2 Recent studies have begun to reveal distinct roles for L5 IT and ET neurons in cortical sensory areas in decision-making, perception, and cognitive processes^{4,5,16,17,21}. Our study extends 3 4 the understanding of these roles by demonstrating the unique contributions of each L5 subtype to 5 stimulus-reward associative learning. Specifically, we found that IT neurons have stable and robust 6 responses to the stimuli from the beginning which are maintained throughout the learning process, 7 regardless of the associated stimulus value. This indicates their critical role in reliably encoding 8 sensory information. In contrast, ET neurons showed dynamic changes, with their activity patterns 9 evolving as learning progressed. Their responses to the stimuli became more pronounced, 10 coinciding with the emergence of anticipatory licking, suggesting that ET neurons encode the 11 value and behavioral relevance of a stimulus, rather than merely responding to sensory input.

Our chemogenetic inactivation experiments corroborated these distinctions: silencing IT neurons severely impaired the development of anticipatory licking for both CS+ and CS-, underscoring their essential involvement in the association of cue with reward. On the other hand, silencing ET neurons did not affect the overall increase in anticipatory licking but specifically prevented the suppression of licking to CS-, demonstrating their role in refining and differentiating learned responses.

Moreover, these findings align seamlessly with the classical reinforcement learning framework. Our modeling results suggest that IT neurons encode sensory stimuli based on a preacquired world model, facilitating value estimation that is crucial for learning. In contrast, ET neurons are engaged in transmitting this estimated value to compute prediction errors, a process fundamental to refining associations. Together, we conclude that L5 IT and ET neuronal

subpopulations in the sensory cortex contribute in distinct yet complementary ways to stimulus reward associative learning.

3

4 Involvement of S1 in stimulus-reward learning

Pharmacological inactivation of S1 severely impaired learning (Fig. 1f), while it did not
disrupt the performance in expert mice (Fig. 1g). This observation aligns with previous studies,
indicating that sensory cortex is critical during learning but not necessarily required for the
performance of well-established, habitual responses, particularly for simple sensory tasks^{5,29,33}.
Importantly, our results imply that learned stimulus-reward associations are maintained outside of
S1.

11 The striatum, a central region involved in reward-based learning³⁴, emerges as a likely 12 candidate for the retention of learned associations, as lesions in this area have been shown to abolish conditioned responses even in fully trained animals²⁹. Both IT and ET neurons in the 13 14 sensory cortex project to the striatum. Previous studies have shown that stimulus-reward learning 15 induces synaptic strengthening in cortico-striatal projections³ and blocking these pathways disrupts learning⁵, but until this study the specific roles of IT and ET neurons in this process had 16 17 not been investigated. Given the results of this study, including the fact that IT and ET neuronal 18 output are quite dissimilar, we hypothesize that they each exert different effects on striatal activity 19 during learning, particularly in the context of value estimation and updating of learned 20 associations.

1

2 Contribution of IT neuronal activity to stimulus-reward learning

3 What are the implications of the clearly distinct response patterns and changes seen in IT 4 vs. ET neurons? A large proportion of the IT neurons responded to the stimuli and were able to 5 distinguish the stimulus types with high accuracy, and their response patterns remained largely 6 unchanged during learning. Extrapolating from these observations, we hypothesize that the IT 7 population forms a pre-trained network that encodes various features with high precision. 8 Nevertheless, it was also notable that the majority of stimulus-responding IT neurons responded 9 to both CS+ and CS- (Fig. 3c). Our model suggests that this overlap in representations contribute 10 to the generalized stimulus-reward associations that mice initially acquire during learning (Fig. 11 **6c**). The representational overlap between similar stimuli could promote generalized learning. In 12 some cases of learning, it may reflect a biological strategy to quickly establish a generalized 13 association between stimulus and outcome per se, and then to take a longer time to establish 14 stimulus-specific differences that more closely predict outcome.

15

16 Contribution of ET neuronal activity to stimulus-reward learning

In contrast to IT neurons, ET neurons showed strong responses to the reward itself or to the stimuli that predict reward, with their response patterns dynamically changing as learning progressed. A recent report has highlighted the reward responsiveness of the apical dendrites of L5 neurons in the sensory cortex³⁵, and our ET neuron results are consistent with this. Notably, during learning, ET neurons gradually developed reward prediction activity in response to both CS+ and CS-, coinciding with an increase in anticipatory licks. This raises the question of whether ET neuronal activity represents the motor responses accompanying licking. Further analysis of our

calcium activity data in ET neurons separating delayed from early lick trials, showed that this
activity is at least partially independent of the motor response (Fig. S2). This is consistent with a
previous study showing that dendrites of L5 neurons do not respond to spontaneous licks unrelated
to rewards³⁵, supporting the idea that the ET neurons convey reward prediction signals.

5 Reward prediction signals are fundamental to the process of reinforcement learning, 6 particularly in refining stimulus-specific responses in associative learning. According to classical theoretical models of reinforcement learning^{1,36,37}, prediction errors are computed by comparing 7 8 reward prediction signals with the actual reward availability, reinforcing responses to CS+ and 9 suppressing responses to CS-. The idea that the output of ET neurons is used to calculate the reward 10 prediction error necessary for refining the learned responses is consistent with the finding that the 11 mice with silenced ET neurons failed to learn to discriminate between CS+ and CS- (Fig. 6a, e). 12 However, since the initial association was unaffected by the inactivation of ET neurons, it suggests 13 that their output is not critical for generating positive prediction errors, i.e., positive reinforcement. 14 Instead, they are more likely to be involved in the generation of negative prediction errors, thereby 15 suppressing incorrect responses, such as licking to CS-. Identifying the downstream circuits that 16 compute prediction errors using the output of ET neurons would be an important area for future research. One potential target is the subcortical dopaminergic circuits³⁸. Recent reports indicate 17 18 that transient drops in subcortical dopamine signals are responsible for negative prediction errors and contribute to the attenuation of CS- responses in discrimination learning³⁹. The reward 19 20 prediction signals generated using the output of ET neurons may contribute to these dopamine 21 dips.

How reward prediction signals are generated in ET neurons remains to be investigated in future research. The apical dendrites of L5 neurons, located in cortical layer 1 (L1), are

hypothesized to function as critical sites for cortical association mechanisms⁴⁰⁻⁴⁴. L1 receives non-1 2 sensory top-down inputs from higher cortical areas which may contribute to the formation of 3 reward prediction signals. The orbitofrontal cortex (OFC) is an important structure in value 4 encoding during stimulus-reward associations⁴⁵⁻⁴⁷. A recent study by Liu et al. demonstrated that 5 top-down inputs from the OFC are involved in the formation of reward prediction activity within 6 the sensory cortex by modulating the activity of dendrite-targeting inhibitory neurons⁴⁸. Inhibition 7 of top-down inputs from the OFC during learning prevented mice from suppressing incorrect lick 8 responses to reward-irrelevant stimuli, paralleling our results from ET neuronal suppression. Thus, 9 the pathway from the OFC to L5 ET neurons in the sensory cortex may convey reward prediction 10 signals to downstream areas, facilitating the generation of negative prediction errors, essential for 11 refining behavioral responses.

12

In conclusion, we show that distinct subtypes of L5 neurons in sensory cortex are involved in learning, albeit in different aspects of learning. Overall, IT neurons showed stable responses conveying stimulus information necessary for forming general associations between stimuli and reward. In contrast, ET neuronal responses evolved over learning, conveying reward expectation signals, which were necessary for learning to discriminate between stimuli. Their unique activity patterns highlight parallel cortical computations during learning and demonstrate distinct yet complementary contributions of IT and ET neurons to associative learning¹².

1 ACKNOWLEDGMENTS

2 We thank Andrada-Maria Marica for helpful discussions for modeling: Mario Carta and 3 Richard Naud for their comments on an earlier version of the manuscript. This study was supported 4 by CNRS (to N.T.), the University of Bordeaux (2020 IdEx Junior Chair to N.T.), Conseil régional 5 Nouvelle-Aquitaine (Bordeaux Neurocampus Junior Chair to N.T.), the ATIP-Avenir program (to 6 N.T.), Fondation Schlumberger pour l'Education et la Recherche (FSER202401018842 to N.T.), 7 Fondation pour la Recherche Médicale (EQU202403018077 to N.T.), Brain Science Foundation 8 (to N.T.), Research Foundation for Opto-Science and Technology (to N.T.), the EINSTEIN 9 Foundation Berlin (PhD fellowship to S.M.; A-2021-644 to A.G.; EZ-2014-226 to D.S.), the 10 Deutsche Forschungsgemeinschaft (EXC-2049 - 390688087, LA 3442/3-1, LA 3442/6-1, 11 327654276/SFB1315 to M.E.L.), the European Union Horizon 2020 Research and Innovation 12 Programme (SGA1-3: 72070/HBP, 785907/HBP, 945539/HBP, 670118/ERC ActiveCortex, 13 101055340/ERC Cortical Coupling to M.E.L.), the Wellcome Trust (S122871-115 Transition 14 Fellowship to M.G.), the BBSRC (BB/X013340/1 to R.P.C.), EPSRC (EP/X029336/1 to R.P.C.), 15 and the ERC-UKRI Frontier Research Guarantee Starting Grant (EP/Y027841/1 to R.P.C.). We 16 thank the colleagues of the Research Workshop at the Charité - Universitätsmedizin Berlin for 17 developing and manufacturing the experimental devices.

18

19 AUTHOR CONTRIBUTIONS

S.M., M.E.L. and N.T. conceived the project. S.M. and N.T. designed the experiments.
S.M. performed experiments. S.M. and C.M. performed the data analysis. M.G. and R.P.C.
performed computational modeling. S.M. and N.T. wrote the paper with comments from the other
authors.

1

2 DECLARATION OF INTERESTS

3 The authors declare no competing interests.

1 FIGURE LEGENDS







4 (a) Behavioral task design. Mice were exposed to two different whisker stimuli of different
5 frequencies: one stimulus (CS+, 1 s) followed by a reward after a short delay (trace interval, 0.5
6 s), and the other (CS-, 1 s) left unrewarded.

7 (b) Example training sessions (Days 1, 3 and 5) from a mouse showing anticipatory and
8 consummatory licking during CS+ and CS- trials. Licking activities are shown in raster plots (top)
9 and histograms (bottom). Top, raster plot with trial sorted according to stimulus type (CS+ vs.
10 CS-). Shaded areas, stimulus presentation; black dashed lines, stimulus onset and offset; blue
11 dashed lines, reward onset for CS+ trials.

12 (c) Left, distribution of the anticipatory lick counts during CS+ (blue) and CS- trials (yellow) of

13 an example session (Day 5 in b). Right, the area under the receiver operating characteristic

14 (auROC), scoring behavioral performance. TPR: true positive rate; FPR: false positive rate.

- 15 (d) Evolution of CS discrimination performance over five days of training (n = 20 mice; $p = 8.1 \times 10^{-10}$
- 16 10^{-12} , F = 21.32; one-way repeated-measure ANOVA). Gray lines, individual mice; dashed gray
- 17 lines, mice that did not learn the task; black dashed line, chance level of behavioral discrimination
- 18 between CS. Data are presented as mean \pm SEM.
- 19 (e) Coronal section of S1, showing the diffusion of muscimol injected in the C2 barrel column.

- 1 (f) Learning trajectory of mice with intact S1 (n = 20 mice), mice with saline injection (n = 6 mice),
- 2 and mice with muscimol injection (n = 6 mice; $p = 1.2 \times 10^{-4}$, F = 12.48; two-way repeated-
- 3 measure ANOVA with post hoc Tukey-Kramer test). **p < 0.01, ***p < 0.001. Data are presented
- 4 as mean \pm SEM.
- 5 (g) Behavioral performance of expert mice injected with muscimol (n = 6 mice; p = 0.95, F =
- 6 0.055; one-way repeated-measure ANOVA). Data are presented as mean \pm SEM; gray lines,
- 7 individual mice.



1

2 Figure 2 | Distinct response patterns of L5 neuronal subtypes to sensory stimuli and reward.

3 (a) Schematic showing the genetic and viral intersectional strategy, targeting L5 IT neurons in
4 Tlx3-Cre mice and ET neurons in Sim1-Cre mice.

5 (b) Images from coronal sections from S1 of a Tlx3-Cre mouse (top) and Sim1-Cre mouse

6 (bottom) injected with AAV-Flex-GFP, showing the injection site in S1 and labeled axons in the

7 secondary somatosensory cortex (S2), corpus callosum (CC), striatum (Str), and posterior medial

8 thalamic nucleus (POm).

9 (c) Longitudinal two-photon calcium imaging from Tlx3-Cre and Sim1-Cre mice injected with

10 AAV-Flex-jGCaMP8m in S1 before, during, and after five days of training.

11 (d) Behavioral performance of Tlx3-Cre and Sim1-Cre mice used in imaging experiments (n = 5

12 Tlx3-Cre mice and 6 Sim1-Cre mice; p = 0.96, F = 0.0032; two-way repeated measure ANOVA).

13 Data are presented as mean \pm SEM.

14 (e) An example field of view of IT neuronal dendrites.

(f) Heatmaps of IT neuronal responses to stimulus alone (left, CS+ responses; middle, CSresponses; n = 273 neurons) and reward alone (right, n = 262 neurons) before learning (n = 5 mice).
ROIs in each heatmap are sorted by their mean response amplitudes within 1.5 s of stimulus or
reward onset.

5 (g) Top, fraction of IT neurons responding to CS+ or CS- before and after learning (*p = 0.032 for

6 CS+; *** $p = 4.5 \times 10^{-8}$ for CS-; $2 \times 2 \chi^2$ test). Inset, average responses of CS-responding IT neurons

7 (mean ± SEM). Dashed line, stimulus onset. Bottom, fraction of IT neurons responding to reward
8 before and after learning (p = 0.13; 2 × 2 χ² test).

9 (h) Left, scatter plot showing IT neurons' responses to reward before and after learning. Each 10 circle represents an individual neuron. Colors represent neurons' CS selectivity before learning 11 (blue, CS+ only; yellow, CS- only, green, both stimuli). Right, IT neurons' response amplitudes 12 to reward before vs. after learning (mean \pm SEM), separated by their CS selectivity before learning 13 (n = 58 neurons responding to CS+, p = 0.95; n = 51 neurons responding to CS-, p = 0.91; n = 10414 non-responding neurons, p = 0.24; two-sample *t*-test). 15 (i–l) Same as e–h but for ET neurons. (i) n = 159 neurons for stimulus alone, n = 157 neurons for reward (n = 6 mice). (**k**) Top, **p = 0.0043 for CS+, *** $p = 5.8 \times 10^{-5}$ for CS-. Bottom, **p = 0.0062. 16 (1) n = 22 neurons responding to CS+, *p = 0.020; n = 18 neurons responding to CS-, *p = 0.013; 17 n = 96 non-responding neurons, p = 0.11. 18



Figure 3 | L5 IT neurons maintain robust stimulus encoding, while ET neurons develop
reward-expectation responses during learning.

4 (a) Heatmaps of trial-averaged responses of IT neurons during learning, sorted based on the mean
5 response amplitudes from the stimulus onset until the reward onset at each day (n = 5 mice).

6 (b) Colored trace, calcium responses average across IT neurons responding to CS+ (blue) or

7 responding to CS- (yellow). Inset, the numbers indicating the fractions of IT neurons responding

8 to CS+ or responding to CS-. Gray trace, average lick rates. Data are presented as mean \pm SEM

9 (c) Left, fractions of IT neurons responding to CS+ only (blue), CS- only (yellow), or both stimuli

10 (green) (p = 0.14, χ^2 test = 17.24; 4 × 5 χ^2 test). Right, peak response across the 5 days to CS+

- 11 trials (blue; F = 0.75; one-way ANOVA) and CS- trials (yellow; F = 0.43; one-way ANOVA).
- 12 Data are presented as mean \pm SEM.

1

13 (d) Left, SVM decoder performance in classifying the trial types (CS+ or CS-) at different time

14 points within the task over training Days 1–5, based on IT neuronal responses (n = 5 mice). Right,

- 1 decoder accuracies for the time window marked in pink on the left (F = 0.44; one-way repeated
- 2 measure ANOVA). Data are presented as mean \pm SEM.
- 3 (e-h) Same as \mathbf{a} -d but for ET neurons (n = 6 mice). (g) Left, fractions of ET neurons responding
- 4 to CS+ only (blue), CS- only (yellow), or both stimuli (green) ($p = 1.8 \times 10^{-7}$, χ^2 test = 55.02; 4 ×
- 5 5 χ^2 test). Right, peak response across the 5 days to CS+ trials (blue; F = 2.72; one-way ANOVA)
- 6 and CS- trials (yellow; F = 3.29; one-way ANOVA). (h) Right, F = 3.37; one-way repeated
- 7 measure ANOVA. Data are presented as mean \pm SEM.
- 8



2 Figure 4 | Static IT neuronal encoding and adaptive ET neuronal responses throughout

3 learning.

1

4 (a) Heatmaps of trial-averaged responses of IT neurons during learning, sorted based on the
5 calcium response amplitudes at Day 5.

- 6 (b) Pairwise Pearson's correlation coefficient between training days of matched single neurons'
- 7 trial-averaged traces (n = 130 tracked IT neurons from n = 5 mice). The values were averaged
- 8 across all neurons for each mouse and then averaged across mice.
- 9 (c, d) Same as a and b but for ET neurons. (d) n = 108 tracked ET neurons from n = 6 mice.





2 Figure 5 | Silencing IT or ET neurons disrupts learning, but with different effects.

3 (a) Targeted chemogenetic silencing of IT or ET neurons in S1 in Tlx3-Cre or Sim1-Cre mice,
4 respectively.

5 (b) Example image of a coronal section of S1 where IT neurons expressed hM4Di-mcherry.

- 6 (c) Same as **b** but for ET neurons.
- 7 (d) Behavioral performance of mice with S1 intact (n = 20 mice), silenced IT neurons (n = 6 mice),
- 8 ET silenced ET neurons (n = 6 mice; $p = 1.9 \times 10^{-5}$, F = 16.15; two-way repeated-measure ANOVA
- 9 with post hoc Tukey-Kramer test). Data are presented as mean \pm SEM. **p < 0.01, ***p < 0.001.
- 10 (e) Mean anticipatory lick rates of mice with S1 intact (n = 20 mice), silenced IT neurons (n = 6
- 11 mice), and silenced ET neurons (n = 6 mice; p = 0.034, F = 3.80; two-way repeated-measure
- 12 ANOVA with post hoc Tukey-Kramer test). Data are presented as mean \pm SEM. *p < 0.05.
- 13 (f) Left, lick rates for CS+ (blue) and CS- (yellow) average across mice for Days 1–5 (n = 20
- 14 mice). Right, evolution of mean anticipatory lick rates during learning ($p = 1.5 \times 10^{-4}$, F = 6.06;
- 15 two-way repeated-measure ANOVA). Dashed lines represent CS onset and offset and reward
- 16 onset. Data are presented as mean \pm SEM. *** p < 0.001.
- 17 (g) Same as **f** but for mice with silenced IT neurons (p = 0.77, F = 0.45; two-way repeated-measure 18 ANOVA).

- 1 (h) Same as **f** but for mice with silenced ET neurons (p = 0.82, F = 0.38; two-way repeated-measure
- 2 ANOVA).





Figure 6 | A reinforcement learning model of L5 subnetworks reproduces learning dynamics
across conditions.

4 (a) Schematics of the Rescorla-Wagner (RW)-type model, which implements two steps: value 5 estimation and value update. Left, a pre-trained IT network (purple) processes the stimulus, providing a stimulus representation (h^{IT}) , with which the value-encoding network (V_W) estimates 6 7 the value of the stimulus (V_i) . Additionally, the value-encoding network also receives a stimulus representation, h^{non-IT} , independently of S1. The predicted value is also used to model the 8 9 anticipatory lick rate. Right, ET neurons convey the predicted value, which is then compared with 10 the actual reward to calculate the reward prediction error (RPE). Finally, the RPE is sent back to 11 the value-encoding network to update the prediction function.

(b) Association strengths (solid lines) from the model for CS+ and CS- stimuli through training in
the control condition, plotted together with anticipatory lick rates (dashed lines) from the
experimental data. Data are presented as mean ± SEM.

1 (c) Relationship between learning dynamics and overlap between CS+ and CS- stimuli in the IT

- 2 network representational space (black dots), with an exponential fit (black line). Learning
- 3 dynamics is represented by the difference in association strengths (i.e., anticipatory licks) between
- 4 distinct CS+ and CS- pairs after Session 2. Representational overlap is measured as the Euclidean
- 5 distance between CS+ and CS- in the IT network space. Inset, the first two principal components
- 6 (PCs) of IT representations for three example CS+ and CS- pairs, marked as open circles in the
- 7 main panel, and their corresponding association strengths across training.
- 8 (d) Same as **b** but for the condition where IT neurons were silenced.
- 9 (e) Same as b but for the condition where ET neurons were silenced.
- 10 (f) Discrimination performance in experts before and after silencing of S1, from the model (black)
- 11 and the experimental data (gray). Data are presented as mean \pm SEM.



1

2 Figure S1 | Mice can learn the reversed paradigm.

- 3 Evolution of behavioral discrimination between CS with reversed CS configuration (CS+ is 5 Hz
- 4 and CS- is 10 Hz) over 13 days of training (n = 6 mice; $p = 7.1 \times 10^{-17}$, F = 20.45; one-way
- 5 repeated-measure ANOVA). Gray lines, individual mice. Data are presented as mean \pm SEM.



1





1

2 Figure S3 | CNO alone does not disrupt the learning progression.

- 3 Behavioral performance of control mice with intact S1 (n = 20 mice) and wild-type mice injected
- 4 with CNO (n = 6 mice) (p = 0.14, F = 2.34; two-way repeated-measure ANOVA with post hoc
- 5 Tukey-Kramer test). Data are presented as mean \pm SEM.







4 (a) Anticipatory lick rates for CS+ trials for mice with intact S1 (n = 20 mice), mice with silenced 5 IT neurons, (n = 6 mice) and mice with silenced ET neurons (n = 6 mice; p = 0.031, F = 3.92; two-6 way repeated measure ANOVA with post hoc Tukey-Kramer test). *p < 0.05. Data are presented 7 as mean ± SEM.

8 (b) Anticipatory lick rates for CS- trials for mice with intact S1 (n = 20 mice), mice with silenced

9 IT neurons, (n = 6 mice) and mice with silenced ET neurons (n = 6 mice; p = 0.017, F = 4.71; two-

- 10 way repeated measure ANOVA with post hoc Tukey-Kramer test). $p^{*} < 0.05$. Data are presented
- 11 as mean \pm SEM.



- 2 Figure S5 | IT and ET neuronal inactivation does not disrupt behavior after learning.
- 3 Behavioral performance of expert mice with silenced IT neurons (n = 6 mice; p = 0.077, F = 3.36;
- 4 left) and silenced ET neurons (n = 6 mice; p = 0.13, F = 2.56; one-way repeated-measure ANOVA;
- 5 right). Gray lines, individual mice. Data are presented as mean \pm SEM.

1 METHODS

2 Animals

Adult C57BL/6J wild-type mice (male and female) or Sim1-Cre (KJ18) (MMRRC, no. 031742-UCD) and Tlx3-Cre (PL56) (MMRRC, no. 041158-UCD) transgenic mice (male and female) mice (>P60) were used. Mice were housed in groups of 2–4 mice per cage in a 12:12 reversed day-night cycle. All experiments were conducted following the guideline given by Landesamt für Gesundheit und Soziales Berlin (LAGeSo) and were approved by this authority.

8

9 Surgical procedures

During the surgery, mice were anesthetized with isoflurane (1.5–2.0% in O₂) or ketamine (100 mg/kg)/xylazine (10 mg/kg) and kept on a thermal blanket. The skin was removed and the skull was carefully cleaned and scraped with a surgical scalpel. A light-weight head-post was fixed on the skull over the right hemisphere with light-curing adhesives and dental cement. Intrinsic imaging was performed over the skull under light isoflurane anesthesia (1.5-0.8% in O₂) to locate the C2 barrel column for viral injections and pharmacology.

16 For chemogenetic inhibition, AAV2/1-hSyn-DIO-hM4D(Gi)-mCherry (Addgene, Product 17 #44362) was injected through a glass pipette (tip diameter, $5-10 \mu m$) into the contralateral (left) 18 S1 (100 nL at 700 µm depth under the pia). The experiments started 3 weeks after viral injection. For in vivo two-photon calcium imaging, AAV2/1-syn-FLEX-jGCaMP8m-WPRE 19 20 (Addgene, Product #162378) was injected through a glass pipette (tip diameter, 5–10 µm) into the 21 contralateral (left) C2 barrel column (100 nl at 700 µm depth under the pia). A 3-mm diameter 22 craniotomy was made over the injected area and the skull and dura were carefully removed. The 23 craniotomy was sealed with a triple-layered glass coverslip (3 mm diameter for the two bottom

layers and 4 mm diameter for the top layer) with cyanoacrylate glue and dental cement.
 Experiments started 3 weeks after viral injection.

- 3
- 4 Behavior

5 Mice were kept on a reversed light/dark cycle. Habituation of the mice to head restraint 6 began a week after the head-post surgery. Head-restrained time on the first day was 5 min and then 7 gradually increased each day until the mice sat calmly for an hour. Mice were water restricted 8 during habituation and subsequent periods of behavioral training.

9 Behavioral events (e.g., licking, whisker deflection, reward delivery) were monitored and 10 controlled by a custom-written program running on a microcontroller board (Arduino). The C2 11 whisker was deflected by displacing a light metal tube (~ 3 mg) slid over the whisker using a 12 magnetic coil placed underneath the animal. Local magnetic force was generated by loading the 13 coil with a sinusoidal current. Mice were exposed to one of two different frequencies of whisker 14 deflection, 10 Hz and 5 Hz, with a duration of 1s. The 10 Hz whisker deflection (CS+) was paired 15 with a water reward (~5 µl), delivered through a lick port 0.5 seconds after the stimulus offset, 16 while the 5 Hz whisker deflection (CS-) was not followed by any reward. Licking was detected by 17 a piezo-electric device attached to the licking spout. The mice were allowed to lick at any time, 18 and no punishments were given for any premature licking event. Each mice received 100 trials of 19 each stimulus type (200 trials in total, each trial having an inter-trial interval of 6–8 s), each session 20 repeated for 5 days. The probability of receiving CS+ or CS- was 50% during each session.

For *in vivo* calcium imaging, there was a pre-training and post-training imaging session one day prior as well as one day after training respectively. In these sessions mice were exposed

to the stimuli only (without reward) and reward alone (without stimuli) to assess the stimulus
 response and the reward response, respectively, of the neurons before and after training.

- 3

4 Behavioral performance analysis

5 To assess the performance of the task, we used the anticipatory licking occurring from the 6 stimulus onset to the time of the reward (1.5 s) to calculate the area under a receiver operating 7 curve (auROC). auROC ranges from 0 to 1 where auROC > 0.5 indicates higher amount of 8 anticipatory licks in the CS+ trials and auROC < 0.5 indicates higher amount of anticipatory licks 9 in the CS- trials.

10

11 *In vivo* pharmacology

12 Before every training session, the mice were lightly anesthetized with isoflurane (1.5-2.0%)in O₂). A very small craniotomy was made above the C2 barrel column and muscimol (5 mM, 13 14 Tocris) was injected at two depths (at depths of 700 μ m and 350 μ m, 100 nl each). The craniotomy 15 was sealed with a silicone sealant (Kwik-Cast, World Precision Instruments). The mice were put 16 back in their homecage to recover for 10 min before commencing the behavior. After the last 17 training session of the pharmacology experiment, fluorescent muscimol (BODIPY TMR-X 18 conjugate, Thermo Fisher Scientific) was injected into the same injection site (at depths of 700 µm 19 and 350 µm, 100 nl each), and mice were perfused 30 min after the injection.

1

2 In vivo chemogenetics

The experiments started 3 weeks after viral injection of hM4Di. Before each session, mice were injected with CNO (5 mg/kg intraperitoneally, Tocris) under brief anesthesia with isoflurane and kept for 30 min in a home cage prior to behavioral testing.

6

7 Histology

8 Mice were anesthetized using isoflurane $(1.5-2\% \text{ in } O_2)$ and euthanized by an 9 intraperitoneal injection of urethane (1.5 g/kg). Mice were perfused transcardially with 0.1 M PBS, 10 followed by 4% paraformaldehyde (PFA) in PBS. After perfusion, brains were removed from the 11 skull and postfixed in PFA overnight. The next day, brains were washed in PBS, transferred into 12 a 30% sucrose solution in PBS, and left for 24–48 h for cryoprotection. For cryosectioning, brains 13 were embedded in optimal cutting temperature compound. Coronal brain sections (70 μ m) were 14 washed twice in PBS for a minimum of 10 min each at room temperature before staining the nuclei 15 were stained using DAPI (NucBlu Fixed Cell ReadyProbe Reagent, ThermoFisher). After 16 washing, sections were mounted on slides and coverslipped with Fluoromount-G mounting 17 medium. Images were obtained using a fluorescent microscope (DMI 4000B, Leica 18 Microsystems).

19

20 Two-photon calcium imaging

Imaging from behaving mice was performed with a resonant-scanning two-photon microscope (Thorlabs) equipped with GaAsP photomultiplier tubes (Hamamatsu). jGCaMP8m was excited at 940 nm with a Ti:Sapphire laser (Mai Tai eHP DeepSee, Spectra-Physics) and

imaged through a 16×, 0.8 NA water-immersion objective (Nikon). Full-frame images (512 × 512
pixels; pixel size, 0.35 × 0.35 µm²) were acquired from the apical trunks of ET or IT neurons
expressing jGCaMP8m at a depth from the pia of ~200 µm at 30 Hz using ScanImage 4.1 software
(Vidrio Technologies).

5

6 Imaging data analysis

7 Motion correction of raw files and regions of interest (ROI) selection was performed using Suite2p⁴⁹. Fluorescence change ($\Delta F/F_0$) was calculated for each trial where F_0 was the average 8 9 fluorescence during trial baseline, i.e., during 1 s prior to stimulus onset. ROIs were considered 10 stimulus responsive if the time-averaged response during 1.5 s after stimulus onset significantly 11 increased than the baseline activity during 1 s prior to stimulus onset (paired two-sided Wilcoxon 12 signed rank test, alpha = 0.01), and if their normalized response exceeded 0.05 $\Delta F/F_0$. For reward 13 alone trials, we compared the baseline activity to the mean activity during 1.5 s after reward 14 delivery.

We trained a linear Support Vector Machine (SVM) classifier to assess if we could predict trial type (CS+ vs. CS- trials) from the neuronal data. We trained the SVM on 80% of the trials and tested it on the residual 20% of the trials. Trials were binned at 500 ms and the SVM performance was evaluated for each bin. This process of random resampling of train and test data was repeated 1000 times for each bin and the performance of the decoder was collected after each iteration.

Stability of individual neuronal response was measured by performing a Pearson
correlation coefficient for each neuron across the 5 days. To assess both the stimulus response

1 stability and reward response stability, trial average responses in the time window of 3 s from 2 stimulus onset to 1.5 s after reward delivery.

3

4 **Computational model**

We designed a Rescorla Wagner-type model⁵⁰, which learned the value (association 5 strength) of each stimulus (CS+ vs. CS-) over multiple trials. 6

7 We modeled the encoding of different stimuli values, i.e., association strengths, using a 8 simple feedforward 'value-encoding neural network' denoted as V_w with synaptic weights, w. This 9 network consisted of a single linear hidden layer with 116 units where the network inputs were 10 combined into a unified representation, which was then fed to an output layer with sigmoid activation. The value-encoding network, V_w , consisted of two distinct input channels: an S1 IT 11 12 input channel, providing the IT neuronal representation of the current stimulus (i.e., CS+ or CS-13 stimuli) and a non-S1 input channel, providing a 'raw' representation of the current stimulus 14 independent of S1. At each trial t, the value-encoding network prediction for the current stimulus, 15 x_t , is defined as,

16

$$V_t = V_w(h_t^{IT}, h_t^{non-SI})$$

where h_t^{IT} denotes the IT representation of the current stimulus, x_t , while h_t^{non-SI} denotes the 17 non-S1 representation of the same stimulus, x_t . The IT representation, h_t^{IT} , was obtained from a 18 separate neural network, IT network, which was pre-trained with unsupervised learning (see 19 below). Conversely, we assumed the non-S1 representation, h_t^{non-S1} , to be the 'raw' 20 representation of the stimulus, x_t (i.e., $h^{non-Sl}_t = x_t$). Note, the same non-S1 representation 21 h_t^{non-SI} , was also used by a separate value-encoding sub-network to support S1-independent 22 23 expert performance, as described below.

The value-encoding network adapted its synaptic weights, w, to predict the correct values, 1 V, for CS+ and CS- stimuli based on the experienced reward outcomes. Following RW models, 2 3 the value-encoding network synaptic weights were updated at each trial t as following, $[\Delta w]_t = \alpha \, \delta_t \nabla_w V_w$ 4 where δ_t denotes the reward prediction error (RPE) at trial t, 5 $\delta_t = R(x_t) - V_w(h_t^{IT}, h_t^{non-SI})$ 6 Here, R denotes the reward for the current stimulus, x_t . Note that we assumed the reward 7 to be 1 for CS+ stimuli, while to be 0 for CS- stimuli, i.e., $R(x_t = CS+) = 1$ and $R(x_t = CS-) = 1$ 8 9 0. 10 In summary, at each trial t, the value-encoding network, V_w , took the IT stimulus representation, h_t^{IT} , as well as the non-S1 stimulus representation, h_t^{non-SI} , as inputs and was 11 trained to predict the correct value, V_t , for the current stimulus, x_t . Finally, the value-encoding 12 network included a separate sub-network, which could predict the value of each stimulus 13 exclusively based on the non-S1 representation, h_t^{non-S1} . During learning, this network was 14 trained based on the S1 IT-dependent value predictions, $V_w(h_t^{IT}, h_t^{non-SI})$, using a simple mean 15 16 squared loss. In this way, at expert performance, the model could solve the task without requiring

17 S1 IT stimuli representations.

18

19 IT network pre-training

The IT neural network consisted of a feedforward auto-encoder architecture with two encoder layers (with 50 units each), a bottleneck layer (with 15 units) and two decoder layers (with 50 units each). This IT network was pre-trained via unsupervised learning, using a standard L2 loss between the original and the network-reconstructed stimuli⁵¹. The stimuli were generated by

1 sampling from eight distinct 20-dimensional Gaussian distributions with distinct random means 2 and equal isotropic covariances. Each Gaussian distribution represented a different stimulus type, such as a specific whisker stimulation frequency, with each sample representing a noisy 3 4 representation of the corresponding stimulus type. Note, we used Gaussian distributions to 5 represent different stimulus types rather than fixed values to account for noise in sensory 6 processing. Two of the eight distinct types of stimuli were randomly selected to be used as CS+ 7 and CS- stimuli in the stimulus-reward association task. Once the IT network was pre-trained, its 8 synaptic weights were fixed and did not change during the association task. The IT-dependent representation, h_t^{T} , for the current stimulus, x_t , was derive as the output of the second encoder 9 10 layer.

11

12 IT and ET silencing

We modeled IT chemogenetic silencing by replacing the IT-dependent input, h^{IT} , to the value-encoding network with zero-mean isotropic Gaussian noise. As a result, the value-encoding network could no longer exploit the IT-dependent stimuli representations to estimate the value of each stimulus. Similarly, we reproduced ET chemogenetic silencing by replacing the value predictions relayed by ET neurons with isotropic Gaussian noise. As a result, RPEs could no longer be estimated correctly.

19

20 *IT representational overlap and learning performance*

For the IT representational overlap analysis, we used the eight Gaussian distributions of stimuli that pre-trained the IT network. Randomly pairing these distributions allowed us to assess how overlap between IT representations of stimulus pairs (CS+ vs. CS-) influences learning in the

1 stimulus-reward association task. We computed the mean Euclidean distance between IT 2 representations, h^{IT} , across paired stimuli and measured learning performance as the difference in 3 stimulus association strength (i.e., value prediction) between CS+ and CS- after Session 2, where 4 a larger positive difference indicated better learning. 5

1 REFERENCES

- 2
- Watabe-Uchida, M., Eshel, N. & Uchida, N. Neural Circuitry of Reward Prediction Error.
 Annu Rev Neurosci 40, 373-394 (2017).
- 5 2. Moore, S. & Kuchibhotla, K.V. Slow or sudden: Re-interpreting the learning curve for modern systems neuroscience. *IBRO Neurosci Rep* 13, 9-14 (2022).
- Xiong, Q., Znamenskiy, P. & Zador, A.M. Selective corticostriatal plasticity during
 acquisition of an auditory discrimination task. *Nature* 521, 348-351 (2015).
- 9 4. Tang, L. & Higley, M.J. Layer 5 Circuits in V1 Differentially Control Visuomotor Behavior.
 10 in *Neuron* 346-354.e345 (Cell Press, 2020).
- Ruediger, S. & Scanziani, M. Learning speed and detection sensitivity controlled by distinct cortico-fugal neurons in visual cortex. in *eLife* 1-24 (eLife Sciences Publications Ltd, 2020).
- Letzkus, J.J., Wolff, S.B., Meyer, E.M., Tovote, P., Courtin, J., Herry, C. & Luthi, A. A
 disinhibitory microcircuit for associative fear learning in the auditory cortex. *Nature* 480, 331-335 (2011).
- Henschke, J.U., Dylda, E., Katsanevaki, D., Dupuy, N., Currie, S.P., Amvrosiadis, T., Pakan,
 J.M.P. & Rochefort, N.L. Reward Association Enhances Stimulus-Specific Representations
 in Primary Visual Cortex. *Curr Biol* **30**, 1866-1880 e1865 (2020).
- 8. Gilad, A. & Helmchen, F. Spatiotemporal refinement of signal flow through association
 cortex during learning. *Nat Commun* 11, 1744 (2020).
- Esmaeili, V., Oryshchuk, A., Asri, R., Tamura, K., Foustoukos, G., Liu, Y., Guiet, R.,
 Crochet, S. & Petersen, C.C.H. Learning-related congruent and incongruent changes of
 excitation and inhibition in distinct cortical areas. *PLoS Biol* 20, e3001667 (2022).
- Harris, K.D. & Shepherd, G.M. The neocortical circuit: themes and variations. *Nat Neurosci* **18**, 170-181 (2015).
- Shepherd, G.M.G. & Yamawaki, N. Untangling the cortico-thalamo-cortical loop: cellular
 pieces of a knotty circuit puzzle. *Nat Rev Neurosci* 22, 389-406 (2021).
- 12. Moberg, S. & Takahashi, N. Neocortical layer 5 subclasses: From cellular properties to roles
 in behavior. *Front Synaptic Neurosci* 14, 1006773 (2022).
- 30 13. Gerfen, C.R., Paletzki, R. & Heintz, N. GENSAT BAC cre-recombinase driver lines to study
 31 the functional organization of cerebral cortical and basal ganglia circuits. *Neuron* 80, 1368 32 1383 (2013).
- Tervo, D.G., Hwang, B.Y., Viswanathan, S., Gaj, T., Lavzin, M., Ritola, K.D., Lindo, S.,
 Michael, S., Kuleshova, E., Ojala, D., Huang, C.C., Gerfen, C.R., Schiller, J., Dudman, J.T.,
 Hantman, A.W., Looger, L.L., Schaffer, D.V. & Karpova, A.Y. A Designer AAV Variant
 Permits Efficient Retrograde Access to Projection Neurons. *Neuron* 92, 372-382 (2016).
- 37 15. Znamenskiy, P. & Zador, A.M. Corticostriatal neurons in auditory cortex drive decisions
 38 during auditory discrimination. *Nature* 497, 482-485 (2013).

- Takahashi, N., Ebner, C., Sigl-Glockner, J., Moberg, S., Nierwetberg, S. & Larkum, M.E.
 Active dendritic currents gate descending cortical outputs in perception. *Nat Neurosci* 23, 1277-1285 (2020).
- Musall, S., Sun, X.R., Mohan, H., An, X., Gluf, S., Li, S.J., Drewes, R., Cravo, E., Lenzi, I.,
 Yin, C., Kampa, B.M. & Churchland, A.K. Pyramidal cell types drive functionally distinct
 cortical activity patterns during decision-making. *Nat Neurosci* 26, 495-505 (2023).
- 18. Economo, M.N., Viswanathan, S., Tasic, B., Bas, E., Winnubst, J., Menon, V., Graybuck,
 L.T., Nguyen, T.N., Smith, K.A., Yao, Z., Wang, L., Gerfen, C.R., Chandrashekar, J., Zeng,
 H., Looger, L.L. & Svoboda, K. Distinct descending motor cortex pathways and their roles
 in movement. *Nature* 563, 79-84 (2018).
- Heindorf, M., Arber, S. & Keller, G.B. Mouse Motor Cortex Coordinates the Behavioral
 Response to Unpredicted Sensory Feedback. *Neuron* 99, 1040-1054 e1045 (2018).
- Li, N., Chen, T.W., Guo, Z.V., Gerfen, C.R. & Svoboda, K. A motor cortex circuit for motor
 planning and movement. *Nature* 519, 51-56 (2015).
- Mohan, H., An, X., Xu, X.H., Kondo, H., Zhao, S., Matho, K.S., Wang, B.S., Musall, S.,
 Mitra, P. & Huang, Z.J. Cortical glutamatergic projection neuron types contribute to distinct
 functional subnetworks. *Nat Neurosci* 26, 481-494 (2023).
- Wang, L.-P., Bodner, M. & Zhou, Y.-D. Distributed Neural Networks of Tactile Working
 Memory. *Journal of Physiology-Paris* 107, 452-458 (2013).
- 20 23. Romo, R. & Rossi-Pool, R. Turning Touch into Perception. Neuron 105, 16-33 (2020).
- 24. Staiger, J.F. & Petersen, C.C.H. Neuronal Circuits in Barrel Cortex for Whisker Sensory
 22 Perception. *Physiol Rev* 101, 353-415 (2021).
- 23 25. Francioni, V., Padamsey, Z. & Rochefort, N.L. High and asymmetric somato-dendritic
 24 coupling of V1 layer 5 neurons independent of visual stimulation and locomotion. *Elife* 8
 25 (2019).
- 26. Beaulieu-Laroche, L., Toloza, E.H.S., Brown, N.J. & Harnett, M.T. Widespread and Highly
 27 Correlated Somato-dendritic Activity in Cortical Layer 5 Neurons. *Neuron* 103, 235-241
 28 e234 (2019).
- 27. Otis, J.M., Namboodiri, V.M., Matan, A.M., Voets, E.S., Mohorn, E.P., Kosyk, O.,
 30 McHenry, J.A., Robinson, J.E., Resendez, S.L., Rossi, M.A. & Stuber, G.D. Prefrontal
 31 cortex output circuits guide reward seeking through divergent cue encoding. *Nature* 543,
 32 103-107 (2017).
- Namboodiri, V.M.K., Otis, J.M., van Heeswijk, K., Voets, E.S., Alghorazi, R.A., RodriguezRomaguera, J., Mihalas, S. & Stuber, G.D. Single-cell activity tracking reveals that
 orbitofrontal neurons acquire and maintain a long-term memory to guide behavioral
 adaptation. *Nat Neurosci* 22, 1110-1121 (2019).
- 37 29. Hong, Y.K., Lacefield, C.O., Rodgers, C.C. & Bruno, R.M. Sensation, movement and
 38 learning in the absence of barrel cortex. *Nature* 561, 542-546 (2018).
- Zhang, Y., Rozsa, M., Liang, Y., Bushey, D., Wei, Z., Zheng, J., Reep, D., Broussard, G.J.,
 Tsang, A., Tsegaye, G., Narayan, S., Obara, C.J., Lim, J.X., Patel, R., Zhang, R., Ahrens,

- M.B., Turner, G.C., Wang, S.S., Korff, W.L., Schreiter, E.R., Svoboda, K., Hasseman, J.P.,
 Kolb, I. & Looger, L.L. Fast and sensitive GCaMP calcium indicators for imaging neural
 populations. *Nature* 615, 884-891 (2023).
- 4 31. Armbruster, B.N., Li, X., Pausch, M.H., Herlitze, S. & Roth, B.L. Evolving the lock to fit
 5 the key to create a family of G protein-coupled receptors potently activated by an inert
 6 ligand. *Proceedings of the National Academy of Sciences* 104, 5163-5168 (2007).
- 7 32. Rescorla, R.A. & Wagner, A. A theory of Pavlovian conditioning: Variations in the
 8 effectiveness of reinforcement and nonreinforcement. (1972).
- 9 33. Ceballo, S., Piwkowska, Z., Bourg, J., Daret, A. & Bathellier, B. Targeted Cortical
 10 Manipulation of Auditory Perception. *Neuron* 104, 1168-1179 e1165 (2019).
- 11 34. Cox, J. & Witten, I.B. Striatal circuits for reward learning and decision-making. in *Nature Reviews Neuroscience 2019 20:8* 482-494 (Nature Publishing Group, 2019).
- 13 35. Lacefield, C.O., Pnevmatikakis, E.A., Paninski, L. & Bruno, R.M. Reinforcement Learning
 14 Recruits Somata and Apical Dendrites across Layers of Primary Sensory Cortex. *Cell Rep* 15 26, 2000-2008 e2002 (2019).
- 36. Schultz, W., Dayan, P. & Montague, P.R. A neural substrate of prediction and reward.
 Science 275, 1593-1599 (1997).
- 18 37. Schultz, W. Predictive reward signal of dopamine neurons. *J Neurophysiol* **80**, 1-27 (1998).
- 19 38. Schultz, W. & Dickinson, A. Neuronal coding of prediction errors. *Annu Rev Neurosci* 23, 473-500 (2000).
- 39. Iino, Y., Sawada, T., Yamaguchi, K., Tajiri, M., Ishii, S., Kasai, H. & Yagishita, S.
 Dopamine D2 receptors in discrimination learning and spine enlargement. *Nature* 579, 555560 (2020).
- 40. Larkum, M.E., Zhu, J.J. & Sakmann, B. A new cellular mechanism for coupling inputs arriving at different cortical layers. *Nature* 398, 338-341 (1999).
- 41. Larkum, M. A cellular mechanism for cortical associations: an organizing principle for the
 cerebral cortex. *Trends Neurosci* 36, 141-151 (2013).
- 42. Doron, G., Shin, J.N., Takahashi, N., Druke, M., Bocklisch, C., Skenderi, S., de Mont, L.,
 Toumazou, M., Ledderose, J., Brecht, M., Naud, R. & Larkum, M.E. Perirhinal input to
 neocortical layer 1 controls learning. *Science* 370 (2020).
- 31 43. Shin, J.N., Doron, G. & Larkum, M.E. Memories off the top of your head. *Science* 374, 53832 539 (2021).
- 33 44. Xu, N.L. How the brain's primary processing units compute to give rise to intelligence. *Nat*34 *Rev Neurosci* 25, 288 (2024).
- 35 45. Schoenbaum, G., Chiba, A.A. & Gallagher, M. Orbitofrontal cortex and basolateral
 amygdala encode expected outcomes during learning. *Nature Neuroscience* 1, 155-159
 37 (1998).
- 38 46. Tremblay, L. & Schultz, W. Relative reward preference in primate orbitofrontal cortex.
 39 *Nature* 398, 704-708 (1999).

- 47. Roesch, M.R. & Olson, C.R. Neuronal Activity Related to Reward Value and Motivation in
 Primate Frontal Cortex. *Science* 304, 307-310 (2004).
- 48. Liu, D., Deng, J., Zhang, Z., Zhang, Z.Y., Sun, Y.G., Yang, T. & Yao, H. Orbitofrontal
 control of visual cortex gain promotes visual associative learning. *Nat Commun* 11, 2784
 (2020).
- 6 49. Pachitariu, M., Stringer, C., Dipoppa, M., Schröder, S., Rossi, L.F., Dalgleish, H., Carandini,
 7 M. & Harris, K.D. Suite2p: beyond 10,000 neurons with standard two-photon microscopy.
 8 *bioRxiv* (2016).
- 9 50. Rescorla, R.A. & Wagner, A.R. A theory of Pavlovian conditioning: Variations in the effectiveness of reinforcement and nonreinforcement (Appleton-Century-Crofts, 1972).
- 11 51. Bank, D., Koenigstein, N. & Giryes, R. Autoencoders. (ed. L. Rokach, O. Maimon & E.
 12 Shmueli) (Springer Cham, 2023).
- 13