

## 1 Gut microbiome alterations precede graft rejection in kidney transplantation patients

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44 **Abstract**

45 Background: Kidney transplantation (KT) is the optimal treatment for end-stage kidney disease, with  
46 graft survival critically affected by the recipient's immune response. The role of the gut microbiome  
47 in modulating this immune response remains underexplored. Our study investigates how  
48 microbiome alterations might associate with allograft rejection.

49 Methods: We analyzed existing biomaterials of a multicenter prospective study involving 217 KT  
50 recipients and 28 kidney donors from the German Center for Infection Research. Changes in the gut  
51 microbiome were analyzed using 16S rRNA gene amplicon sequencing and functional predictions  
52 (PICRUSt2) and quantitative PCRs for the production potential of propionate and butyrate.  
53 Propensity score matching was utilized to compare patients who experienced graft rejection with  
54 those who did not.

55 Results: The gut microbiome showed gradual recovery post-KT, marked by an increase of Shannon  
56 diversity and SCFA-producing bacterial taxa. However, prior to graft rejection, significant alterations  
57 were noted in microbiome composition, characterized by a decrease in microbial diversity and SCFA-  
58 producing taxa. Post-rejection analysis revealed normalization of these microbiome features.  
59 Functional analysis highlighted a decreased potential for SCFA production in patients prior to  
60 rejection. Comparison to published microbiome signatures from chronic kidney disease (CKD)  
61 patients demonstrated a partial overlap of the microbiome alterations preceding graft rejection with  
62 the alterations typically found in CKD.

63 Conclusions: Our findings suggest that alterations in the gut microbiome composition and function  
64 may precede and influence KT rejection, suggesting potential for use as biomarker and early  
65 therapeutic microbiome-targeting interventions to improve transplant outcomes.

66

67 **Key points**

- 68 - CKD-related microbiome alterations recover over time after transplantation mirroring CKD-  
69 to-health transition
- 70 - Microbiome alterations with lowered production potential of short-chain fatty acids precede  
71 graft rejection, likely influencing graft immunity
- 72 - The persistence of CKD-associated microbiome characteristics in rejection patients opens  
73 avenues for innovative treatment strategies.

74

## 75 1. Introduction

76 Kidney transplantation (KT) represents the best treatment option for patients with advanced kidney  
77 failure (CKD G5)<sup>1</sup>. Despite ongoing efforts to prevent the progression of CKD and related  
78 comorbidities, successful KT and graft survival, especially prevention of graft rejection, are of  
79 outmost importance. Graft rejection is the medium- and long-term complication with highest impact  
80 on graft and subsequently recipient survival<sup>2</sup>. Whether a patient experiences acute or chronic graft  
81 rejection or not is, according to the current scientific understanding, largely dependent on immune  
82 mechanisms, the modifiers of which still remain poorly understood<sup>3</sup>.

83 Of late, the gut microbiome gained considerable attention as key modulator of the immune system  
84 in both healthy and disease conditions<sup>4</sup>. Microbiota, their metabolites and associated molecules  
85 interact with numerous host organs including mucosa-associated and systemic immune cells and  
86 thereby shape host immunity and inflammation<sup>5</sup>. Patients with CKD exhibit marked alterations to  
87 their gut microbiome composition and subsequent dysregulation of metabolite abundance<sup>6</sup>. In  
88 particular, a switch from saccharolytic to proteolytic fermentation is observed<sup>7</sup>. On one hand this  
89 leads to lower intestinal and systemic concentrations of short-chain fatty acids (SCFA)<sup>8</sup>, previously  
90 identified as inducers of regulatory immunity<sup>9</sup>. On the other hand, increased levels of pro-  
91 inflammatory metabolites, such as tryptophan-derived indoxyl sulfate, are observed<sup>8</sup>.

92 After KT, CKD-related microbiome alterations can partially persist, presumably by contribution of  
93 additional factors such as immunosuppression<sup>10</sup>, and are associated with clinical events like post-  
94 transplant diarrhea<sup>11,12</sup>. A recent study rigorously demonstrated an association between lower gut  
95 microbial diversity in patients with solid organ transplantation and increased overall mortality<sup>13</sup>. A  
96 growing body of evidence implicates the gut microbiota in alloimmunity and graft rejection. Germ-  
97 free mice and mice treated with antibiotics show prolonged skin graft survival compared to  
98 conventional mice, suggesting that alloimmunity is modulated by microbiota<sup>14</sup>. Furthermore, recent  
99 studies indicate that gut microbes directly affect immune-regulatory cells (regulatory T cells, Th17

100 cells)<sup>15,16</sup>, with SCFA playing a central role in this relationship<sup>17</sup>. Studies in mice showed that SCFA  
101 supplementation mediates donor-specific tolerance to kidney allograft through induction of Foxp3<sup>+</sup>  
102 regulatory T-cells<sup>18</sup>. Finally, human interventional studies are underway, aiming to improve CKD-  
103 associated microbiome alterations by prebiotic inulin supplementation<sup>19</sup> or at improving immune  
104 regulation by supplementation of regulatory T cells<sup>20</sup>.

105 In this study, we analyzed longitudinal changes in the composition and function of the gut  
106 microbiome of KT recipients enrolled in the transplant cohort (Tx cohort) of the German Center of  
107 Infectious Diseases (DZIF)<sup>21</sup> and connect them with the clinical outcomes, specifically graft survival  
108 and rejection. In a propensity score-matched subcohort we identify microbial alterations preceding  
109 graft rejections and transplant dysfunction.

110

## 111 **2. Materials and methods**

### 112 **Study Population and Design**

113 All patients analyzed in this study were part of the Tx cohort of the DZIF, which is a multicenter  
114 prospective cohort study conducted at four German transplant centers (University Hospitals in  
115 Heidelberg, Munich (Technical University and Ludwig Maximilian University) and Tübingen)<sup>21</sup>.  
116 Together, these centers cover over 20% of solid organ transplants in Germany<sup>22</sup> providing a  
117 representative picture of post-transplant course in Germany.

118 For the present study, we analyzed data from all kidney allograft recipients who consented to  
119 participate and received a kidney transplant between July 2014 and July 2021. Samples were  
120 collected between March 2017 and September 2021. Patients receiving multi-organ transplantation  
121 or patients who underwent previous solid organ transplantation were excluded (Supplemental  
122 Figure 1). Moreover, participants without fecal samples were excluded from our analysis. Ethics  
123 approval was obtained from all participating centers (Heidelberg #S-585/2013, TU Munich #5926/13,  
124 LMU Munich #380-15, Tübingen #327/2014BO1), and all participants provided written informed  
125 consent. The experimental analysis of fecal samples, including metadata analysis, was approved by  
126 the ethics board of Charité – Universitätsmedizin Berlin (EA2/208/21).

127 The study design, including biomaterial collection, was described previously<sup>21</sup>. Fecal samples were  
128 collected using DNA stabilizing buffer (STRATEC). Study visits were performed immediately before  
129 KT, at months 3, 6, 9, and 12 after KT and when infections or rejection events were detected. Clinical  
130 and laboratory data were collected at each study visit.

### 131 **Standard of care treatment**

132 The patients received a standard triple-drug combination of immunosuppressants (comprising a  
133 calcineurin inhibitor, mycophenolate (MPA), and corticosteroids), initially frequently together with

134 an interleukin 2 receptor antagonist (basiliximab). The prophylaxis and surveillance strategy for  
135 infectious complications was suggested to be performed according to KDIGO 2009 guidelines<sup>23</sup>,  
136 including antiviral prophylaxis with valganciclovir for patients at high risk for cytomegalovirus (CMV)  
137 infections, trimethoprim-sulfamethoxazole prophylaxis against *Pneumocystis jirovecii* and urinary  
138 tract infections, *Candida* prophylaxis with oral nystatin or amphotericin B.

### 139 **Matching of rejection/non-rejection patients**

140 We conducted our analysis using R (4.3.2), employing MatchIt (4.5.5)<sup>24</sup> package for sample matching.  
141 Patients with histologically proven rejection events were assessed (T cell-mediated rejection (TCMR,  
142 Banff category 4) and Borderline (Suspicious) for acute TCMR (Banff category 3))<sup>25</sup>, if the rejection  
143 occurred within five years after KT and fecal sampling was obtained before the rejection event (time  
144 frame 781 days before the rejection till 3 days after rejection). Patients without sample before  
145 rejection and those with-mediated rejection (ABMR) were excluded from the analyses, yielding 33  
146 patients with rejection events. Stool samples taken closest before the rejection event were selected  
147 for analyses. Control samples were matched based on the absence of rejection and similar baseline  
148 characteristics, using nearest neighbour matching with a 2:1 ratio, matching two controls for each  
149 rejection case based on the factors primary condition, donor type, time since transplantation, age,  
150 and gender. Of the selected 99 patients, one rejection patient was excluded because of primary graft  
151 dysfunction (patient still required dialysis three months after KT) and six patients without rejection  
152 were excluded because of sustained poor kidney function (plasma creatinine > 2.5 mg/dL).

153 Detailed information on clinical data preparation, sample collection and processing, 16S amplicon  
154 sequencing, SCFA production gene targeting assay (qPCR) and re-analysis of the CKD dataset<sup>26</sup> can be  
155 found in the online only supplemental material.

### 156 **Statistical Analysis**



157 Continuous variables were expressed as medians and interquartile ranges (IQRs). Categorical  
158 variables were presented as numbers and percentages. All statistical analyses were conducted using  
159 R (4.2.3).

160 Analysis of alpha diversity and multivariate analysis: Alpha diversity and multivariate analysis were  
161 performed on OTU level on the non-rarefied data. Different metrics for alpha Diversities were  
162 calculated using the R-package microbiome (v.1.12.0)<sup>27</sup>. Differences in alpha Diversity were tested  
163 using Wilcoxon rank sum test on a significance level of 0.05. For multivariate analysis, Bray-Curtis  
164 indices were obtained using the R-package phyloseq (v.1.34.0)<sup>28</sup> and tested via PERMANOVA using  
165 adonis2 of the R-package vegan (v.2.6-4)<sup>29</sup>.

166 Cross-sectional comparison: For the association analysis, the R-package metadefoundR (v.0.2.8)  
167 was used<sup>30</sup>. The testing was performed on the rarefied data (minimum read count 10270 reads) and  
168 all taxonomic levels with a minimum prevalence of 0.1. Wilcoxon rank sum test was performed for  
169 binary variables, Spearman's correlation for continuous variables, and Kruskal-Wallis test for ordinal  
170 variables. The obtained p-values were adjusted using the Benjamini-Hochberg false discovery rate  
171 (FDR) correction. In total, 65 clinical variables were considered as confounding factors (Supplemental  
172 Table 1). An association was considered statistically significant when  $FDR < 0.1$ .

173 For individual comparisons of clinical and qPCR parameters, Mann-Whitney *U* test was performed  
174 and  $p < 0.05$  was considered statistically significant.

175 Longitudinal Analysis: For the longitudinal analysis, LongDat (v.1.1.2)<sup>31</sup> was used, building negative  
176 binomial generalized linear mixed models over time after KT, controlling for sample origin by a  
177 random intercept. The models were built on rarefied data with default filtering<sup>31</sup>. FDR was controlled  
178 by Benjamini-Hochberg procedure. A change in abundance over time as considered statistically  
179 significant when  $FDR < 0.1$ .

180 Diversity parameters during different periods before and after KT were compared using Mann-  
181 Whitney *U* test and  $p < 0.05$  was considered statistically significant.

182 Functional capacity prediction using Picrust2: PICRUSt2<sup>32</sup> obtained abundances of the KEGG  
183 orthologs (KOs) were z-score scaled and tested for significance with a linear model correcting for age  
184 and sex (Benjamini-Hochberg-corrected FDR < 0.05). All significant KOs were mapped to the  
185 corresponding GOMixer modules<sup>33</sup> and tested for group difference using Wilcoxon rank sum test  
186 with a significance level of FDR < 0.05 using the online tools of GOMixer.  
187

### 188 3. Results

#### 189 Gut microbiota composition recovers gradually post-kidney transplantation

190 We used medical data and fecal samples from the DZIF Transplant Cohort. This multi-center cohort  
191 consists of nearly 2400 patients undergoing solid organ transplantation. Here, we focused on fecal  
192 samples from patients undergoing KT (n=562 samples, from n= 245 individuals), including kidney  
193 donors (n=28) and, if available, pre-transplantation samples (n=26). Baseline characteristics of the  
194 cohort can be found in Table 1.

195 We analyzed the microbiome composition using 16S rRNA gene amplicon sequencing. For  
196 longitudinal analysis post-KT, samples were grouped according to sampling time, namely 0-3 months  
197 (n=69), 3-12 months (n=226) 12-24 months (n=94), 24-36 (n=66), and over 36 months (n=53) post-KT  
198 (Supplemental Figure 1). Principal Coordinates Analysis (PCoA) of Bray-Curtis dissimilarity revealed  
199 an overall stable microbiome composition post-KT, while the healthy donors and pre-KT groups  
200 differed (Fig 1A). While the detected number of operational taxonomic units (OTU, a proxy for  
201 bacterial taxa) was mostly stable post-transplantation (Fig 1B), we observed significant shifts in the  
202 alpha diversity as quantified by Shannon diversity index (Fig 1C). While Shannon diversity decreased  
203 before and in the first year after transplantation, it gradually increased again over time post-KT. We  
204 observed a similar trend for Simpson evenness, although most comparisons were not significant (Fig  
205 1D).

206 We performed longitudinal analysis using LongDat<sup>31</sup> to identify bacterial taxa regulated over time  
207 post-KT. Post-transplantation, typical SCFA-producing genera like *Coprococcus*<sup>34</sup>, *Lachnospiraceae*<sup>35</sup>,  
208 *Roseburia*<sup>36</sup>, *Faecalibacteria*<sup>37</sup> and *Ruminococcus* torques group<sup>38</sup> increased significantly over time  
209 (Fig 1E), suggesting an improvement of CKD-associated microbiome alterations, specifically the  
210 impaired production of SCFA as one of its hallmarks<sup>6,8</sup>. Furthermore, we observed a decrease in  
211 *Streptococcus*, which was recently linked with subclinical atherosclerosis<sup>39</sup> (Fig 1E). In summary, our

212 analysis demonstrates a dynamic regeneration of the microbiome over time after KT towards a more  
213 physiological state after three years or more post-KT.

#### 214 **Kidney transplant rejection profoundly impacts microbiome composition**

215 Since we observed dynamic microbiome changes over time in KT patients, we aimed to understand  
216 how transplant rejection events influence this process and, vice versa, how microbiome alterations  
217 may impact allograft immunity. Therefore, we identified fecal samples (n= 157) from patients (n= 76)  
218 with biopsy-proven rejection events at any timepoint after KT (Table 1). PCoA of Bray-Curtis  
219 dissimilarity showed a distinct clustering of patients experiencing graft rejection compared to KT  
220 patients with no reported rejection (Fig 1F). While the number of detected OTUs was constant over  
221 time (Supplemental Figure 2), Shannon diversity and Simpson evenness were reduced during the  
222 first year post-transplantation in rejection patients (Fig 1G-H), which was co-incident with the  
223 allograft rejection. Lastly, we performed univariate analyses on genus level using  
224 metadeconfoundR<sup>30</sup>. Corresponding to the altered composition in the multivariate analysis, we  
225 found a large number of differentially abundant genera (Supplemental Figure 3). Interestingly, the  
226 majority of observed effects related to rejection showed a contrasting trend in correlation with the  
227 duration between sampling and kidney transplant rejection event (Fig S3). This correlation indicates  
228 either microbiome alteration preceding the rejection event, changes in the microbiome post-  
229 rejection, or both. Of note, our analysis indicates that many effects were confounded by patient age  
230 and distance to KT.

231 Taken together, patients who experience KT rejection have an altered gut microbiome composition.  
232 We hypothesize, that these changes might precede transplant rejection, as the alterations associate  
233 with the time between sampling and KT rejection event.

#### 234 **Microbiome alterations precede kidney transplant rejection**

235 To overcome the inherent limitations of the cohort that is unequally distributed age and distance to  
236 KT of rejection and non-rejection patients and to further understand which alterations to the  
237 microbiome precede KT rejection, we performed propensity score matching of patients with  
238 available fecal samples before KT rejection to patients without KT rejection. Matched pre-rejection  
239 and non-rejection groups were comparable in age, sex, donor type, underlying CKD disease category  
240 and time between KT and sample (Supplemental Figure 4). Baseline characteristics of this subgroup  
241 of patients are shown in Table 2.

242 Rejection patients exhibited impaired renal function as compared to non-rejection patients as  
243 displayed by an increase in plasma creatinine within the first year post-KT, which remained the case  
244 during follow-up (Fig 2A). This corresponds to most rejections in our cohort occurring within one  
245 year post-KT (Table 1 and 2). However, both rejection and normal progress patients reached a  
246 similar minimal creatinine as well as time to minimal creatinine (Fig 2B), indicating that initial graft  
247 function was comparable between both groups. After the rejection event, kidney transplants  
248 showed sustained graft dysfunction as indicated by higher minimal detected creatinine values after  
249 their rejection event as well as higher last recorded creatinine (Fig 2B). Of note, HLA mismatch  
250 grade, rate of ABO incompatibility and delayed graft function were comparable between both groups  
251 (Table 2).

252 Microbiome analysis indicated alterations prior to the rejection event. Pre-rejection microbiome  
253 composition was characterized by a distinct composition indicated by different clustering in the  
254 PCoA of Bray-Curtis dissimilarity (Fig 2C), and lower alpha-diversity, reaching significance for  
255 Simpson evenness (Fig 2D). Patients who rejected the transplanted kidney had lower level of known  
256 SCFA producers on genus level, like *Blautia*, *Clostridia*, or *Ruminococcus torques* group<sup>38</sup>.  
257 Interestingly, we observed an increase in bacteria typically found in CKD patients, like  
258 *Fusobacterium*<sup>8</sup>, and disease-associated genera<sup>40</sup>, such as *Streptococcus*<sup>39</sup> and *Porphyromonas*<sup>41</sup> (Fig  
259 2E).

260 Despite our efforts to closely match the rejection and non-rejection groups, a higher rate of viral  
261 infections was observed in the rejection group. We therefore carefully assessed the use of  
262 immunosuppressants and anti-infective medication (Supplemental Figures 5-7), pointing out two  
263 main differences, namely a lower number of patients on MPA at sampling date – likely due to the  
264 viral complications – and a lower number of patients with basiliximab for induction in the rejection  
265 group. Multivariate analysis revealed no difference in microbiome composition in rejection patients  
266 with or without basiliximab induction (Supplemental Figure 8), but MPA withdrawal showed a non-  
267 significant shift in microbiome composition. Therefore, we performed the genus level differential  
268 abundance analysis, including and excluding patients with MPA discontinuation, which closely  
269 correlated ( $R= 0.98$ ,  $p< 0.001$ ) (Supplemental Figure 9). This indicates that the observed differences  
270 were independent of basiliximab induction or MPA discontinuation, respectively.

#### 271 **Lower SCFA production potential characterizes the pre-rejection microbiome**

272 Metabolites produced by microbiota are known to influence and modulate host immune responses.  
273 To identify potential candidates, we analyzed functional capacities, predicted from our taxonomic  
274 data using PICRUSt2<sup>32</sup>, identifying functional pathways in the significantly altered KOs using  
275 GOMixer<sup>33,42</sup>. We observed an overall clustering of rejection and non-rejection patients in the PCA  
276 (Fig 3A). We found an enrichment in proteolytic fermentation, reactive nitrogen and oxygen species,  
277 and ammonia pathways in the rejection group (Fig 3B, C). Conversely, overall sugar and  
278 polysaccharide utilization and mucus degradation were enriched in microbiomes from non-rejection  
279 controls (Fig 3B, C). Matching to the reduced number of SCFA-producing genera we found a  
280 reduction in butyrate and acetate fermentation pathways (Fig 3B, C), again highlighting the  
281 reduction of SCFA production in stool samples preceding KT rejection (Fig 3B, C). Since SCFA and  
282 regulatory immune functions are closely linked, we aimed to confirm the reduction of SCFA  
283 production using qPCR measuring key enzymes for butyrate and propionate production. Overall, we  
284 found a significant reduction of butyryl-CoA:acetate CoA-transferase (*but*), a key enzyme for

285 butyrate production, and methylmalonyl-CoA decarboxylase (*mmdA*), a key enzyme for propionate  
286 production (Fig 4A, B). Another central enzyme for butyrate synthesis, butyryl-CoA dehydrogenase  
287 (*bcd*), was reduced without reaching significance (Fig 4A, B). Taken together, a key feature of the gut  
288 microbiome in samples from patients preceding KT rejection is a marked reduction of the potential  
289 to produce SCFA.

### 290 **Microbiome alterations normalize post-rejection**

291 As our initial analysis indicated a potential shift post-rejection, we analyzed longitudinal samples  
292 from our matched cohort within a timeframe of 90 to 1000 days after the first sample (n=21  
293 rejection and n=54 non-rejection patients). PCoA using Bray-Curtis dissimilarity shows that the  
294 microbiome composition becomes more similar to the non-rejection control group in post-rejection  
295 samples (Fig 5A). Over a similar time frame the microbiome composition of non-rejection controls  
296 was stable. The same trend could be observed for the number of detected OTUs, Shannon diversity  
297 and Simpson evenness (Fig 5B). Next, we analyzed microbiome alterations post-rejection on genus  
298 level (Fig 5C). While the non-rejection control showed no significant alterations, most genera  
299 dysregulated preceding rejection were significantly changed in the opposite direction after the KT  
300 rejection event (Fig 5C). Especially known genera of SCFA production like *Blautia* and  
301 *Faecalibacterium* increased, while disease-associated genera like *Fusobacterium* and *Streptococcus*  
302 decreased (Fig 5D).

303 Taken together, we observe a normalization of the pre-rejection microbiome towards the normal KT  
304 signature in a longitudinal follow-up analysis. One could speculate that a lack of normalization of the  
305 microbiome favors chronic rejections. Due to insufficient sample size, we could not further test this  
306 hypothesis in this study.

### 307 **The microbiome signature in kidney transplant rejection is a prolonged CKD signature**

308 Lastly, we aimed to contextualize the microbiome alteration preceding KT rejection. We observed a  
309 partial overlap with a microbiome features of pediatric CKD patients recently published by us<sup>8</sup>.  
310 Therefore, we hypothesized that the microbiome alterations preceding KT rejection in parts reflects  
311 a prolonged CKD signature after KT. Therefore, we re-analyzed a recently published 16S rRNA gene  
312 sequencing dataset from CKD patients (n=217) and healthy controls (n=479)<sup>26</sup>. In line with our  
313 hypothesis, the KT rejection signature correlated with the CKD signature in the dataset from Ren et  
314 al. (for the 120 common genera,  $R = 0.19$ ,  $p = 0.033$ ) (Fig 6A). In particular, the overlap of both  
315 datasets also held true for the reduction of important SCFA producers like *Blautia* and  
316 *Faecalibacterium*, as well as for the increase in *Streptococcus* and *Fusobacterium*, with the latter not  
317 reaching significance in the CKD-HC comparison (Fig 6A, B). Lastly, we performed a targeted analysis  
318 of bacterial taxa captured by our *but*, *bcd* and *mmdA* assays. Overall, the abundance of butyrate and  
319 propionate producing taxa was lower in CKD patients mirroring the effect we observed in  
320 microbiome samples preceding KT rejection (Fig 6C).

321 In aggregate, our data indicate that the pre-rejection signature we observed in our cohort might in  
322 part be a sustained CKD signature. Especially the lack of fiber fermenting, SCFA-producing bacteria is  
323 a key feature found in both disease states.



#### 324 4. Discussion

325 In the present study, we investigate the gut microbiome in KT recipients and its relationship with  
326 allograft rejection. KT remains the best treatment option for patients with advanced and dialysis-  
327 dependent CKD, but graft availability is limited. Therefore, the improvement of graft survival and  
328 prevention of allograft rejection are paramount. For the first time, we describe compositional and  
329 functional differences in the microbiome in a representative cohort of 217 transplant patients with  
330 and without rejection, which are of potential prognostic and therapeutic value.

331 Our analysis of longitudinally collected fecal samples unveils a dynamic trajectory of microbiome  
332 recovery post KT, which undertakes a gradual shift towards a more stable and healthier microbiota  
333 composition<sup>40</sup> over time. This gradual process is marked by the enrichment of bacterial taxa  
334 associated with short-chain fatty acid (SCFA) production (such as *Roseburia*, *Faecalibacterium*), and a  
335 loss of disease-associated taxa (such as *Streptococcus*). These regenerative microbiome shifts post  
336 KT are significantly perturbed in the case of graft rejection. Preceding the rejection event, we  
337 observed profound alterations in microbiome composition, characterized by a diminished diversity  
338 and underrepresentation of SCFA-producing bacterial populations. Notably, these alterations tend to  
339 normalize post KT rejection events. We consider these observations to be of potential functional  
340 relevance for allograft immunity due to the known immunomodulatory properties of SCFA  
341 reference<sup>9,17</sup>. Although our study was not designed to show causality, our results suggest that the  
342 microbiome is an important modulator of immunologic events after KT - an observation that may  
343 also have prognostic significance for the prevention of KT rejection and graft survival.

344 The observed gradual normalization of the microbiome composition after KT aligns for several  
345 bacterial taxa the normalization of known alterations in the gut microbiome of CKD patients. The gut  
346 microbiome of CKD patients is relatively well investigated. It is characterized by a lower diversity and  
347 a shift in metabolic output<sup>6,8,26,43</sup>. While the production of SCFA metabolites, recognized for their  
348 anti-inflammatory effects<sup>9</sup>, is reduced<sup>8</sup>, we and others have observed an increase in the production

349 of microbiome-derived uremic toxins like TMA<sup>44</sup>, p-cresols<sup>45</sup> and indoles<sup>8,46</sup>. Of note, the microbiome  
350 of CKD patients is characterized by an increase of bacterial taxa frequently linked to health-to-  
351 disease transition in large representative metagenomic studies<sup>40,47</sup>. In the present study, we observe  
352 a decrease of known pathogenic bacteria and increase in beneficial commensals over the course of  
353 more than three years post KT. Thus, the observed changes are reminiscent of a CKD-to-health  
354 transition in the microbiome. Dysbiotic microbiome states in KT patients have been described by  
355 others<sup>10,13</sup>. Swarte and colleagues demonstrated that lower gut microbial diversity in kidney and liver  
356 transplant recipients is associated with an increased overall mortality<sup>13</sup>. As graft survival is the most  
357 important predictor of overall medium- and long-term survival after KT<sup>2</sup>, we hypothesize that gut  
358 bacterial diversity may also be important for graft survival.

359 We demonstrate that alterations of the gut microbiome, including a reduced microbial diversity, and  
360 alterations in the abundance of more than 50 bacterial taxa, occur before graft rejection.  
361 Microbiome composition preceding graft rejection was characterized by several typical disease-  
362 associated species. Most prominent and similar to the analyzed CKD signature, we found an increase  
363 in *Streptococcus*. *Streptococcus spp.* were recently correlated to subclinical atherosclerotic lesions in  
364 a cohort of nearly 9000 patients<sup>39</sup>. Another genus found to be upregulated in rejection and CKD  
365 (although not reaching significance in the cohort we reanalyzed<sup>26</sup> as compared to other studies<sup>8</sup>) was  
366 *Fusobacterium*. *Fusobacterium nucleatum* was recently described as a driver of uremic toxins  
367 production and CKD progression<sup>43</sup>, again underscoring the notion of a prolonged CKD signature in  
368 the microbiome as a potential risk factor for graft rejection. We and others have shown that SCFA  
369 are relevant bacterial metabolites for immune homeostasis in CKD as well as for other chronic  
370 diseases<sup>8,17,48-50</sup>. Using computational prediction of functional microbiome properties (PICRUSt2<sup>32</sup>),  
371 we show a significantly reduced potential of the gut microbiome to produce SCFA. PICRUSt2 predicts  
372 microbiome functions such as SCFA production based on the available genomic data and the inferred  
373 presence of genes from closely related taxa<sup>32</sup>. PICRUSt accuracy significantly decreases for microbes  
374 with fewer close relatives in reference databases<sup>51</sup>. Acknowledging this limitation, we validated the

375 regulation of several key enzymes for SCFA synthesis using gene targeting qPCR assays. Therefore,  
376 the microbiome may be functionally implied in the development of graft rejection.

377 A recent meta-analysis concluded that immune cell therapies, including transfer of regulatory T cells  
378 (Treg), are a useful approach to reduce immunosuppression during KT<sup>20</sup>. The findings of our study  
379 and others suggest that SCFA warrant scientific attention as Treg-modulating bacterial metabolites.  
380 SCFA have been shown to influence the function and differentiation of Treg both through GPR  
381 signaling and HDAC inhibition<sup>9,52</sup>. Experimental transplantation models demonstrate the efficacy of  
382 SCFA treatments through induction of Treg. Kidney transplants showed a prolonged survival in  
383 animals fed a high fiber diet or directly the SCFA acetate<sup>53</sup>. Thus, the rapid attainment of a healthy  
384 SCFA production potential could be relevant for Treg function and the prevention of rejection events  
385 as well as other comorbidities found after KT<sup>54</sup>.

386 Two recent smaller studies similarly suggest alterations of the microbiome in patients with acute  
387 rejection (n=3 patients, including both TCMR and antibody-mediated rejection)<sup>55</sup> or patients with  
388 antibody-mediated rejection (n=24)<sup>56</sup>. While the first study is severely limited by its low sample size,  
389 the second study – albeit focusing on ABMR compared to our focus on TCMR – described on a broad  
390 scale similar features like a reduced alpha diversity. Of note, the observed microbiome alterations in  
391 our study preceding rejection events normalized during longitudinal follow-up. Future studies are  
392 needed to investigate whether persistent microbiome alterations influence the risk for chronic  
393 rejection.

394 The circumstance that fecal samples were not consistently available from all patients at all  
395 timepoints in our multi-center cohort limits the validity of our study. However, in non-rejection  
396 patients a minimum sample number of two stool samples per patient was not undercut, which  
397 enabled the longitudinal character of our study. Despite this, our findings clearly indicate a gradual  
398 shift towards a more stable microbiome state post-transplantation. A further limitation is the lack of  
399 metabolomic measurements in our study, which we replaced with specific quantifications of the

400 microbial enzyme composition. Finally, the study design does not allow causal statements on the  
401 mechanistic significance of microbiome changes for rejection. However, our conclusions regarding  
402 the role of SCFA for Treg function are broadly based and supported by published experimental data.  
403 Future studies should consider functional data on immune cells and their relationship to microbiome  
404 and rejection to validate our conclusions.

405 Taken together, we demonstrate a disrupted microbiome recovery post KT as a novel modifying  
406 factor in graft survival. This is to our knowledge the first study indicating that microbiome alterations  
407 and perturbation of microbial metabolism precede graft rejection. More studies are needed to  
408 decipher the interaction of SCFA and Treg in KT patients and to test the potential of microbiome-  
409 targeting interventions before and after KT to improve long term graft survival.

410

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## 427 **Data sharing statement**

428 Deidentified metagenomic sequencing data for samples of the Transplant Cohort of the DZIF can be  
429 accessed from the European Nucleotide Archive under accession number PRJNA1106540  
430 (<https://www.ebi.ac.uk/ena/browser/view/PRJNA1106540>). Access to pseudonymized phenotype  
431 data requires approval from the scientific steering committee of the DZIF Transplant cohort. The  
432 source code and the summary data underlying all figures used to generate the results for the  
433 analysis are available at [https://github.com/rosareitmeir/DZIF-Tx-Cohort-Data-Cleaning-and-](https://github.com/rosareitmeir/DZIF-Tx-Cohort-Data-Cleaning-and-Statistical-Analysis)  
434 [Statistical-Analysis](https://github.com/rosareitmeir/DZIF-Tx-Cohort-Data-Cleaning-and-Statistical-Analysis).



436 **Supplemental Material**

437 **Supplemental Figures**

438 **Supplemental Figure 1. Study design.** Flow chart of the study design with inclusion and exclusion of  
439 patients and final sample number.

440 **Supplemental Figure 2. Observed OTU in rejection (red) and non-rejection (blue) patients.**  
441 Horizontal lines indicate the number of detected OTU, shaded area is the 95% confidence interval.  
442 Vertical lines on top of the x-axis indicate rejection events.

443 **Supplemental Figure 3. Impact of rejection state on gut microbiome composition.** Analysis of fecal  
444 samples from patients experiencing kidney rejection at any time compared to patients never  
445 experiencing graft rejection. Heatmap showing associations of bacterial genera with kidney  
446 transplant rejection. Meta-variables with significant association are shown. Stars indicate de-  
447 confounded significant associations; grey dots indicate confounded associations. °/\*FDR< 0.1,  
448 °°/\*\*FDR< 0.01, °°°/\*\*FDR< 0.001.

449 **Supplemental Figure 4: Matching of rejection and non-rejection patients.** Plots indicate  
450 metavariabes before (left) and after propensity score matching (right). Grey bars/ histogram  
451 indicate non-rejection before (left) and after (right) propensity score matching. Rejection is shown in  
452 black.

453 **Supplemental Figure 5: Immunosuppressive treatment at the time of transplantation (A) and at  
454 the time of fecal sampling (B).** Fecal samples were analysed from patients before experiencing graft  
455 rejection (Rejection, red) and propensity score matched (1:2) controls (normal progress, blue). Bar  
456 plots indicate medication at A) transplantation and B) fecal sampling.

457 **Supplemental Figure 6. Tacrolimus plasma levels in matched rejection and non-rejection patients.**  
458 A) Tacrolimus plasma levels of n=92 patients (matched cohort) for the time between transplantation  
459 and sample collection before rejection event (n=121 data points of 51 patients for non-rejection and  
460 51 data points of 23 patients for rejection group). B) Difference of target to actual values of  
461 Tacrolimus. Target values have been determined by the respective clinical recommendations after  
462 kidney transplantation.

463 **Supplemental Figure 7: Anti-infective medications used between kidney transplantation and fecal  
464 sampling.** Anti-infective medication used between kidney transplantation and fecal sampling for the  
465 matched cohort (normal progress, blue; rejection, red). Medication used both preventive and  
466 therapeutic is shown.

467 **Supplemental Figure 8: Impact of basiliximab induction therapy on the gut microbiome**  
468 **composition.** PCoA on Bray-Curtis Dissimilarity of the 16S amplicon sequencing in rejection patients  
469 with (brown) and without (orange) basiliximab use during induction. Statistical testing using  
470 PERMANOVA shows no difference.

471 **Supplemental Figure 9: Impact of mycophenole (MPA) withdrawal on the gut microbiome**  
472 **composition.** A) PCoA on Bray-Curtis Dissimilarity of the 16S amplicon sequencing in rejection  
473 patients with (light green) and without (dark green) MPA at fecal sampling. Statistical testing using  
474 PERMANOVA shows no difference, although some distinct clustering can be seen. B) Correlation of  
475 effect sizes in the whole rejection cohort (x-axis) and the cohort excluding patients with MPA  
476 withdrawing (y-axis). Axis shows Cliff's deltas for all detected genera in the gut microbiome.  
477 Spearman correlations, individual significances for genera using univariate testing in  
478 metadeconfoundR.

479

#### 480 **Supplemental Tables**

481 **Supplemental Table 1:** Clinical variables used for deconfounding of microbiome features

482 **Supplemental Table 2:** Primers used for 16S sequencing.

483 **Supplemental Table 3:** Bacterial species included to the gene targeting assays for butyrate and  
484 propionate synthesis and corresponding primer sequences.

485



486 **Tables**

487 **Table 1.** Clinical baseline characteristics total cohort. Kidney transplant (KT) donors, pre-KT CKD  
 488 patients and post-KT CKD patients from the transplant cohort of the German Center of Infectious  
 489 Diseases (DZIF) were analyzed in this study. Data are presented as median and interquartile range or  
 490 absolute values and percentages as appropriate.

	donors	pre-KT	post-KT	N
individuals (N)	28	26	213	
samples	28	26	508	
samples per participant	1	1	2 (2-3)	
age (years)	53 (46-68)	52 (34-60)	54 (40-63)	28, 26, 213
female	20 (71%)	6 (25%)	70 (33%)	28, 24, 211
BMI (kg/m <sup>2</sup> )	26.1 (24.0-28.3)	25.1 (21.6-27.3)	24.6 (21.6-28.0)	22, 26, 210
time since KT (days)	NA	NA	294 (148-715)	213
living donor	NA	NA	81 (38%)	212
ABO incompatible KT	NA	NA	18 (9%)	204
rejection	NA	NA	76 (35%)	213
kidney diagnosis				26, 213
cystic	NA	4 (15%)	43 (20%)	
diabetic	NA	2 (8%)	14 (7%)	
glomerular	NA	10 (38%)	71 (33%)	
hereditary/congenital	NA	6 (23%)	25 (12%)	
hypertensive	NA	0	16 (8%)	
other/unknown	NA	4 (15%)	37 (17%)	
tubulointerstitial	NA	0	7 (3%)	
primary graft dysfunction	NA	NA	8 (4%)	209
delayed graft function	NA	NA	38 (18%)	218
in-patient length of stay	NA	NA	19 (14-26)	210

491

492 **Table 2.** Clinical baseline characteristics of the propensity score-matched cohort. Kidney transplant  
 493 (KT) recipients from the transplant cohort of the German Center of Infectious Diseases (DZIF) were  
 494 analyzed in this study. Samples were obtained after KT from all patients, but before rejection in the  
 495 rejection group. Data are presented as median and interquartile range or absolute values and  
 496 percentages as appropriate. Primary graft dysfunction: patient still required dialysis three months  
 497 after KT. Delayed graft function: patient required one to three dialysis treatments after KT.

	non-rejection	rejection	all	N
individuals (N)	60	32	92	
age (years)	55 (44-63)	58 (47-62)	56 (45-63)	92
female	22 (37%)	11 (34%)	33 (36%)	92
BMI (kg/m <sup>2</sup> )	23.4 (20.8-27.6)	24.6 (21.5-27.2)	24.1 (21.1-27.5)	92
sample days after KT	111 (85-175)	114 (84-192)	112 (85-181)	92
rejection days after KT	NA	173 (132-282)	NA	92
living donor	20 (33%)	10 (31%)	30 (33%)	92
ABO incompatible KT	5 (8%)	1 (3%)	6 (7%)	92
HLA mismatches (HLA-A, HLA-B, HLA-DR)	2 (2-3)	3 (2-4)	3 (2-4)	79
primary graft dysfunction	0	0	0	88
delayed graft function	9 (15%)	2 (6%)	11 (12%)	92
in-patient length of stay (days)	19 (14-24)	18 (14-26)	19 (14-24)	91
recipient CMV <sup>+</sup>	26 (46%)	14 (47%)	40 (46%)	87
recipient EBV <sup>+</sup>	35 (83%)	18 (90%)	53 (85%)	62
bacterial infections before sample	32	20	52	92
patients with bacterial infection before sample	17 (28%)	20 (34%)	37 (40%)	92
viral infections before sample	12	23	35	92
patients with viral infection before sample	9 (15%)	14 (44%)	23 (25%)	92

498

499

500 **Figure legends**

501 **Figure 1. Longitudinal changes to the gut microbiome after kidney transplantation and impact of**  
502 **allograft rejection.** 16S rRNA gene amplicon sequencing from fecal material from kidney  
503 transplantation (KT)-related samples from the transplant cohort of the German Center of Infectious  
504 Diseases (DZIF). Samples were grouped according to healthy kidney donors, pre-KT, 0-3 months post-  
505 KT, 3-12 months, 12-24 months and over 24 months. A) PCoA based on Bray-Curtis dissimilarity.  
506 Quantification of the B) Number of detected OTU, C) Shannon diversity, and D) Simpson evenness. E)  
507 Cuneiform plot displaying significantly altered bacteria post-transplantation over time. Microbial  
508 diversity in kidney transplant recipients with and without graft rejection at any point is shown in F-H.  
509 F) Principal Coordinates Analysis (PCoA) based on Bray-Curtis dissimilarity illustrates the distinct  
510 clustering of patients who experienced graft rejection (red circles) from those without rejection  
511 (blue circles). Red and blue squares mark the respective centroids. G+H) Longitudinal analysis of  
512 microbial diversity, depicted through Shannon diversity and Simpson evenness indices, shows a  
513 reduction in both measures within the first-year post-transplantation for patients undergoing  
514 rejection. Vertical red bars indicate individual rejection events over time, coinciding with alterations  
515 in microbial diversity.

516

517 **Figure 2. Microbiome alteration precede kidney transplant rejection.** Patients with available fecal  
518 samples prior to kidney rejection (Rejection group) for propensity score matched (1:2) to patients  
519 without kidney rejection (Non-Rejection group). A) Plasma creatinine levels are depicted over time,  
520 displaying a consistent increase within the first year post-transplant in patients who experienced  
521 graft rejection (red), with these elevated levels persisting at follow-up. Vertical red bars indicate  
522 individual rejection events over time. B) Analysis of minimum creatinine levels before and after  
523 sampling, along with the time taken to reach the first. C) Gut microbial communities of the two  
524 groups based on Bray-Curtis dissimilarity, demonstrate clear separation, with the rejection group

525 (red circles) showing distinct clustering from the non-rejection group (blue circles). Squares  
526 represent the centroids of the groups. D) Microbial diversity metrics, including number of detected  
527 OTUs, Shannon diversity, and Simpson evenness. E) Differences in microbial taxa between groups,  
528 highlighting a decrease in short-chain fatty acid (SCFA)-producing bacteria in the rejection group and  
529 an enrichment of genera commonly elevated in chronic kidney disease (CKD) and disease conditions.  
530 Bacteria are shown in a phylogenetic tree where green lineages represent bacteria depleted and  
531 pink bacteria enriched in patients with kidney rejection F) Differential abundance analysis of  
532 bacterial genera, with significant changes identified between non-rejection and rejection groups.  
533 Cliff's Delta values indicate the direction and extent of these differences. Blue dots show genera  
534 increased in the non-rejection group and red increased in the rejection group.

535

536 **Figure 3. Fecal short-chain fatty acid production potential is reduced before kidney transplant**  
537 **rejection.** Patients with available fecal samples prior to kidney rejection (Rejection group) for  
538 propensity score matched (1:2) to patients without kidney rejection (Non-Rejection group).  
539 Inference of functional data from taxonomic data using PICRUSt2 and mapping to pathways using  
540 GOMixer. A) Clustering of kidney transplant recipients based on the presence (red circles) or absence  
541 (blue circles) of graft rejection, illustrating differences in microbiome-derived functional potential.  
542 Squares represent centroids. B) Functional pathways highlight an increase in proteolytic  
543 fermentation and reactive nitrogen and oxygen species production pathways in patients who  
544 experienced graft rejection (red). Non-rejection samples show enhanced pathways related to sugar  
545 and polysaccharide metabolism, and mucus degradation (blue). C) Heatmap shows all 54 significant  
546 GOMixer modules, with pink indicating high predicted abundances and green representing low  
547 abundances across the 92 patients, divided into non-rejection and rejection. Blue dots denote  
548 negative log<sub>2</sub> fold changes, indicating enhanced modules in non-rejection samples compared to

549 rejection samples, while red dots represent positive log<sub>2</sub> fold changes, signifying an increase in  
550 patients experiencing graft rejection.

551

552 **Figure 4. Decreased abundance of short-chain fatty acid producing enzymes in the microbiome**  
553 **before kidney transplant rejection.** Patients with available fecal samples prior to kidney rejection  
554 (Rejection group) for propensity score matched (1:2) to patients without kidney rejection (Non-  
555 Rejection group). A) Bacterial nutrient metabolism leading to SCFA, specifically butyrate and  
556 propionate, production. Key bacterial enzymes highlighted in red. B) Abundance of bacterial  
557 enzymes for SCFA production were assessed by qPCR from fecal DNA and normalized to 16s content.  
558 While *bcd* was not significantly altered *but* and *mmdA* showed lower abundance in fecal samples  
559 from patients with subsequent kidney rejection compared to those without rejection.

560

561 **Figure 5. Microbiome composition normalizes post-kidney transplant rejection.** Patients with  
562 available fecal samples (sample 1) prior to kidney rejection (Rejection group) and sample 2 90-1000  
563 days post-rejection were analyzed. Propensity score matched patients without kidney rejection  
564 (Non-Rejection group) with two comparable samples were included as controls. A) Principal  
565 Coordinates Analysis (PCoA) of microbiome samples based on Bray-Curtis dissimilarity demonstrates  
566 a shift in the microbiome composition of rejection patients (red to purple) towards the non-rejection  
567 group (blue) over time, suggesting a post-rejection stabilization. Squares represent centroids. B)  
568 Microbial diversity measured by the number of detected OTU, Shannon diversity, and Simpson  
569 evenness. C) Heatmap comparison of genus-level alterations between pre- and post-rejection  
570 samples reveals significant directional changes in microbial populations after a rejection event,  
571 contrasting with the stable profiles observed in non-rejection controls. Pre-rejection comparison  
572 taken from Figure 3F. Asterisks indicate significance levels: FDR < 0.1 \* FDR <0.01 \*\* FDR <0.001 \*\*\*.  
573 Confounded signals are shown as circles. Effect size is shown as Cliffs delta with pink enriched and

574 green depleted. D) Boxplots show shifts at the genus level, of short-chain fatty acid producers,  
575 *Blautia* and *Faecalibacterium*, and disease-associated genera, *Fusobacterium* and *Streptococcus*.

576

577 **Figure 6. Microbiome composition in kidney rejection partly mirrors CKD-related microbiome**  
578 **alterations.** Correlation between CKD microbial signatures and kidney transplant rejection preceding  
579 signatures. A) Scatter plot indicating the correlation between the microbial signatures observed  
580 before kidney transplant (KT) rejections and those seen in chronic kidney disease (CKD) patients,  
581 suggesting shared alterations. Dashed line indicates perfect overlap of effect sizes. B) Log  
582 transformed rarefied abundance of key SCFA-producing genera (*Blautia* and *Faecalibacterium*) and  
583 disease-associated genera (*Streptococcus* and *Fusobacterium*) across healthy controls (HC), CKD  
584 patients, and KT recipients preceding graft rejection or without graft rejection (propensity-score  
585 matched). C) Abundance of bacterial taxa involved in butyrate and propionate production between  
586 HC and CKD patients, reflecting a decrease in these beneficial taxa in CKD.

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