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1 **Fusion of SpCas9 to *E.coli* Rec A protein enhances CRISPR-Cas9 mediated**  
2 **gene knockout in mammalian cells**

3

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11

12 **Abstract:**

13 Mammalian cells repair double-strand DNA breaks (DSB) by a range of different  
14 pathways following DSB induction by the engineered clustered regularly interspaced  
15 short palindromic repeats (CRISPR)-associated protein Cas9. While CRISPR-Cas9  
16 thus enables predesigned modifications of the genome, applications of CRISPR-  
17 Cas9-mediated genome-editing are frequently hampered by the unpredictable and  
18 varying pathways for DSB repair in mammalian cells. Here we present a strategy of  
19 fusing Cas9 to recombinant proteins for fine-tuning of the DSB repair preferences in  
20 mammalian cells. By fusing *Streptococcus Pyogenes* Cas9 (SpCas9) to the  
21 recombinant protein A (Rec A, NP\_417179.1) from *E. coli*, we create a recombinant  
22 Cas9 protein (rSpCas9) which enhances the generation of indel mutations at DSB  
23 sites in mammalian cells, increases the frequency of DSB repair by homology-  
24 directed single-strand annealing (SSA), and represses homology-directed gene  
25 conversion by approximately 33%. Our study thus proves for the first time that fusing  
26 SpCas9 to recombinant proteins can influence the balance between DSB repair  
27 pathways in mammalian cells. This approach may form the basis for further  
28 investigations of the applications of recombinant Cas9 proteins to fine-tuning DSB  
29 repair pathways in eukaryotic cells.

30

31 **Keywords:**

32 CRISPR; SpCas9; Rec A; SpCas9(1.1); DSB; SSA

1

## 2 **1. Introduction**

3 The bacterial clustered regularly interspaced short palindromic repeats (CRISPR)-  
4 associated protein 9 (Cas9) has revolutionized genome-editing in various cells and  
5 organisms (Cong et al., 2013; Jinek et al., 2012; Jinek et al., 2013; Mali et al., 2013).  
6 The most widely used Cas9 is from *Streptococcus pyogenes* (SpCas9) and can be  
7 programmed by a single small guide RNA (gRNA) to target any desired genomic  
8 locus that is followed by a protospacer-adjacent motif (PAM, 5'NGG). The Cas9  
9 protein then catalyzes the formation of a double-strand DNA break (DSB) 3  
10 nucleotides upstream of the PAM (Cong et al., 2013; Jinek et al., 2012). In  
11 mammalian cells, unrepaired DSBs are frequently lethal, and cells have evolved  
12 several different mechanisms to repair DSBs (Huertas, 2010). These include simply  
13 rejoining the two DNA fragments with little or no further processing (non-homologous  
14 end joining, NHEJ) (Lieber, 2008) and a set of pathways using homologous  
15 sequences for DSB repair, collectively known as homologous recombination (HR)  
16 (Krogh and Symington, 2004). Single-strand annealing (SSA) is a DSB repair  
17 pathway that anneals and ligates two homologous regions flanking the DSB site  
18 resulting in a precise deletion of the intervening sequences (Huertas, 2010; Krogh  
19 and Symington, 2004). It has been discovered that microhomology-mediated end  
20 joining (MMEJ), which shares molecular signatures with both NHEJ and SSA  
21 (McVey and Lee, 2008), is also frequently used along with NHEJ/SSA for DSB repair  
22 by mammalian cells. These error-prone repair mechanisms have greatly facilitated  
23 the use of Cas9 for gene knockout applications as they commonly result in non-  
24 sense mutations. However, a challenge in CRISPR-Cas9 based genome editing is  
25 the lack of tools for controlling the choice of DSB repair pathways in cells. This has  
26 great increased the workload necessary to generate genetically modified cells with  
27 desired mutations due to the necessity for extensive screening and analysis.

28

29 It has previously been shown that fusion of a catalytically inactive Cas9 (dCas9) to  
30 functional proteins or catalytic domains can greatly broaden the CRISPR-Cas9-  
31 based genome and epigenome editing toolbox. Prominent examples include the  
32 fusion of dCas9 to the C terminus of the transcriptional activation domain VP64  
33 (dCas9-VP64) for gene activation (Gilbert et al., 2013) or the Krüppel associated box



1 (KRAB) transcriptional repressor domain (dCas9-KRAB) for gene inhibition (Qi et al.,  
2 2013). It has also previously been shown that catalytically active Cas9 remains  
3 bound to both ends of the cleaved DNA following generation of a DSB (Sternberg et  
4 al., 2014). We therefore hypothesized that a similar fusion approach can be used to  
5 influence the preferences of DSB repair pathways by fusing a catalytically active  
6 wild-type Cas9 protein to the N terminus of a protein or domain of interest to yield  
7 multifunctional recombinant fusion proteins. Here we show that this strategy can be  
8 used to generate fusion proteins which retain the Cas9 endonuclease activity while  
9 simultaneously influencing the balance of DSB repair pathways in mammalian cells.  
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## 2. Materials and Methods

### 2.1. CRISPR gRNA design

CRISPR gRNAs were designed using the online prediction tool (<http://crispr.mit.edu/>). *EMX1* and *HEK293 site 2* gRNA target sites were selected from a previous validated study (Tsai et al., 2015). All gRNA target sequences are listed in **Supplementary Table 1**.

### 2.2. DNA oligonucleotide synthesis and Sanger sequencing

All DNA oligonucleotides in this study were synthesized from Sigma Aldrich (Denmark, Europe). Sanger sequencing was conducted using the Mix2seq kit from Eurofin Genomics (Germany).

### 2.3. Generation of fusion eSpCas9 expression vectors, gRNA expression vectors, and C-Check vectors

The SpCas9 (Addgene plasmid # 48139) and eSpCas9(1.1) (Addgene plasmid # 71814) plasmids were a gift from Feng Zhang. The *E.Coli* RecA coding region (NP\_417179.1) was human codon optimized and synthesized by Invitrogen GeneArt Gene Synthesis (ThermoFisher Scientific). All fusion plasmids (SpCas9-RecA and eSpCas9-RecA) were validated by restriction enzyme digestion and Sanger sequencing to ensure correct fusion with no unintended mutations introduced by PCR and will be available through Addgene ([https://www.addgene.org/Yonglun\\_Luo/](https://www.addgene.org/Yonglun_Luo/)). Addgene plasmid ID: 87263 and 87264.

To generate the gRNA expression vectors, complementary gRNA oligonucleotides were annealed in 1X NEB buffer 2, cloned into a single gRNA expression plasmid with a human-U6 promoter, and subsequently validated by Sanger sequencing. To generate the C-Check reporter vectors, complementary DNA oligonucleotides that contain a gRNA target site were annealed and cloned into the C-Check vector (Addgene plasmid #66817) by golden gate assembly, following our protocol described previously (Zhou et al., 2016). All C-Check vectors were validated by Sanger sequencing

## 1 **2.4. Cell culture**

2 HEK293T, HeLa and U2OS cells (ATCC) were cultivated in Dulbecco's modified  
3 Eagle's medium (DMEM) (LONZA) supplied with 10% FBS (Gibco), 1% penicillin-  
4 streptomycin (Sigma), 1% GlutaMAX (Gibco) in a 37°C incubator with 5% CO<sub>2</sub> and  
5 maximum humidity. The TLR cell line was a gift from Chu *et al.* 2015. At  
6 approximately 80% confluence, cells were detached by 0.05% Trypsin-EDTA and  
7 passaged at a ratio of 1:8.

## 8 **2.5. Transfection**

9  
10 In this study, all transfections were performed using the X-tremeGENE9 DNA  
11 transfection reagent (Roche) following the manufacturer's instructions. Briefly, cells  
12 were seeded into 24-well plates one day before transfection with a cell density of  
13  $5 \times 10^4$  cells per well for HEK293T cells and  $1 \times 10^4$  cells per well for HeLa and U2OS  
14 cells. For each transfection, a total amount of 250 ng of plasmids was used (3:1 ratio  
15 of Cas9 to gRNA). A pUC19 plasmid was used for transfection of control groups in  
16 the same amount as the combined plasmids of non-control groups.

17

## 18 **2.6. Flow cytometry (FCM)**

19 Flow cytometry analysis was performed using a four-laser FACSAria III cell sorter at  
20 the FACS CORE facility of Aarhus University. At least 10,000 events were analyzed  
21 per biological replicate (independent transfection).

22

## 23 **2.7. C-Check analysis**

24 For each transfection, Cas9, gRNA and C-Check plasmid was used in a 3:1:4 ratio.  
25 48 hours after transfection, cells were harvested using 0.025% trypsin-PBS-EDTA  
26 (phenol red free) and washed twice with PBS-5%FBS, fixed with 4% Formaldehyde-  
27 PBS solution for 10 min at R.T and washed with PBS twice before analysis. The  
28 percentage of GFP<sup>+</sup> cells out of the AsRED<sup>+</sup> cells was quantified by FCM.

29

## 30 **2.8. TLR analysis**

31 24 hours before transfection, 50,000 TLR cells were seeded per well in a 24-well  
32 plate. For each transfection, Cas9 (90 ng), gRNA (30 ng) and donor plasmid (30 ng)  
33 were used.

1

2 For the TLR-like plasmid based reporter system, 50,000 HEK293T cells were  
3 seeded per well in a 24-well plate 24 hours before transfection. For each transfection,  
4 the following plasmids were used: Cas9 (90 ng), gRNA (30 ng), donor plasmid (70  
5 ng) and TLR-like plasmid (10 ng).

6

7 Transfections were performed using the X-tremeGENE9 DNA transfection reagent  
8 (Roche) according to the manufacturer's instructions. 24 hours after transfection,  
9 fresh cell culture medium was added to the cells, and transfected cells were  
10 harvested for FCM analysis 72 hours after transfection. At least 10,000 events were  
11 analyzed per biological replicate (independent transfection).

12

### 13 **2.9 Detection of gene deletion mediated by pairs of gRNAs.**

14 To evaluate gene deletion facilitated by pairs of gRNAs, HEK293T cells were  
15 transfected with Cas9 (SpCas9 or rSpCas9) and a pair of gRNA expression plasmids.  
16 48 hours after transfection, genomic DNA was purified from the transfected cells  
17 (DNeasy Blood & Tissue Kit, Cat No. 69504) and 50 ng genomic DNA was used for  
18 PCR using primers flanking the deleted regions. All PCR primers are listed in  
19 supplementary table 1. Quantification of deletion (KO) and wildtype (wt) band  
20 intensity was performed with Image J.

21

### 22 **2.10. Western blot analysis**

23 HEK293T cells were transfected with Cas9 expression plasmids in a 6-well plate.  
24 Two days after transfection, cells were harvested by trypsinization and washed with  
25 PBS three times. The cells were then resuspended in lysis buffer (10mM Tris-HCl  
26 (pH 7.4)), 137mM NaCl, 10% Glycerol, 1% NP40 and protease inhibitors) by  
27 vortexing. Following resuspension, the cells were placed on dry ice for 3 min,  
28 transferred to 37°C, and vortexed. The freeze-thaw cycles were repeated 3 times  
29 and the lysates were placed on ice for 30 min. After centrifugation at 10,000 rpm at  
30 6°C for 10min, the supernatant was transferred into a new tube and protein  
31 concentrations were determined with Qubit Fluorometer (ThermoFisher  
32 Scientific). 2µg lysate was boiled at 100 °C for 5 min and loaded on gradient SDS-  
33 PAGE gels (4-15%). Blots were probed with anti-FLAG M2 (F1804, Sigma) and anti-



1 beta-tubulin (ab6046, abcam) antibodies. Blots were developed with secondary goat  
2 anti-rabbit IgG HRP (sc-2004, Santa Cruz Biotechnology) or anti-mouse IgG HRP  
3 (sc-2005, Santa Cruz Biotechnology) and bands visualized using the Immobilon  
4 Western Chemiluminescent HRP Substrate (WBKLS0100). Images were taken with  
5 ImageQuant™ TL (GE Healthcare Life Sciences) and quantified with Image J.

6

### 7 **2.11. TIDE assay**

8 Quantifications of indel frequencies were performed using the TIDE assay as  
9 described previously (Brinkman et al., 2014). Briefly, two days after transfection,  
10 cells were harvested in Lysis buffer CS, 0.5% Tween20, 0.5% NP40, proteinase K  
11 followed by heating at 65°C for 30 min and 95 °C for 10 min (Luo et al., 2011). 1µl of  
12 lysate was used as template for PCR with the high fidelity polymerase Platinum® Pfx  
13 DNA Polymerase. PCR products were purified with the NucleoSpin Gel and PCR  
14 Clean Up kit and directly sequenced by Sanger sequencing (Mix2seq,  
15 EurofinGenomics). % indels was quantified by TIDE software (<https://tide.nki.nl/>). A  
16 p-value cutoff of  $p < 0.001$  and indel size range from -10 to +10 was used for all  
17 analyses, and decomposition windows were optimized for each gRNA according to  
18 TIDE guidelines. For analysis of TIDE data, control values from groups transfected  
19 with a plasmid expressing an empty gRNA backbone were subtracted as these  
20 indicate the non-specific error at each site from the TIDE calculation.

21

### 22 **2.12. Statistical analyses**

23 All data are presented as mean  $\pm$  standard deviation. Student's T-test and one-way  
24 analysis of variance (ANOVA) with Bonferroni correction were used for statistical  
25 analyses of multiple comparisons in the study. All statistical analyses were  
26 conducted using Stata (version 10). P values less than 0.05 were considered  
27 statistically significant.

28

1

2 **3. Results**3 **3.1. Fusing SpCas9 to bacterial RecA (rSpCas9) enhances gene knockout and**  
4 **deletion**

5 The bacterial RecA protein is a single strand DNA (ssDNA) binding protein and ATP-  
6 dependent recombinase involved in bacterial homologous recombination (Chen et  
7 al., 2008). It has previously been demonstrated that overexpression of RecA  
8 promotes homology-directed gene conversion in mammalian cells (Shcherbakova et  
9 al., 2000). Since RecA is also involved in several HR-related functions ranging from  
10 homology-search to strand-interactions, we hypothesised that it could be an  
11 alternative to mammalian protein domains such as Rad51 for promoting homologous  
12 recombination. We therefore hypothesized that fusing active SpCas9 to the N-  
13 terminus of RecA would yield a fusion protein that promotes homology-directed DSB  
14 repair.

15 A plasmid expressing a recombinant SpCas9 fused to the N terminus of the human  
16 codon-optimized RecA protein (NP\_417179.1) was created (**Figure 1A**). To prevent  
17 potential interference with correct protein folding, a flexible peptide linker (Gly – Gly –  
18 Gly – Gly – Ser, G4S) was used to join SpCas9 and RecA. We first confirmed the  
19 correct size of the SpCas9-RecA fusion protein (hereafter rSpCas9) and its  
20 expression by Western blot. Our results showed that rSpCas9 expression levels  
21 were slightly lower but not significantly different from the wild-type SpCas9 (**Figure**  
22 **1A**). We next investigated whether the rSpCas9 fusion endonuclease could still be  
23 programmed by gRNAs to cleave chromosomal DNA in mammalian cells. Five  
24 genomic loci, including two previously validated gRNAs targeting *EMX1* and *HEK293*  
25 *site 2* (Tsai et al., 2015), were selected for this analysis (**Figure 1B**). Two days after  
26 co-transfecting HEK293T cells with plasmids expressing either SpCas9 or rSpCas9  
27 together with a plasmid expressing the gRNA in question, the percentage of indel  
28 mutations was quantified by the TIDE assay (Brinkman et al., 2014). Surprisingly, we  
29 observed that in four out of five target sites, rSpCas9 was significantly more efficient  
30 at generating indel mutations than SpCas9 (**Figure 1B**. *EMX1*: 51% increase,  
31 *HEK293 site 2*: 39%, Chr1: 57%, and Chr18: 93%,  $p < 0.05$  Student's T-test). To  
32 prove that this effect is not cell type-specific, the increased indel mutation rate by  
33 rSpCas9 was further verified in HeLa and U2OS cells (**Supplementary Figure 1**).

1 We further confirmed the applicability of rSpCas9 to gene knockout by comparing  
2 gene deletion efficiencies for SpCas9 and rSpCas9 targeting *uPA*, *IGF1R* and *CRP*  
3 in HEK293T cells, each with two gRNAs, where a general increase in gene deletion  
4 was observed for rSpCas9 (**Figure 1C**, 32-84% increase,  $p < 0.01$ , Student's T-test).

### 6 **3.2. rSpCas9 promotes DSB repair by SSA**

7 To investigate whether rSpCas9 enhances DSB repair by SSA, we used our  
8 previously developed C-Check dual fluorescent reporter system (Zhou et al., 2016).  
9 The C-Check system relies on SSA-mediated repair of a DSB at a target site  
10 introduced between two sections of GFP (500 nt homology) in a plasmid  
11 constitutively expressing AsRED. A DSB repaired by SSA will generate a full-length  
12 GFP which can be quantified by flow cytometry (**Figure 2A**). HEK293T cells were  
13 co-transfected with plasmids expressing either SpCas9 or rSpCas9, and a C-Check  
14 plasmid together with one of two gRNAs targeting the C-Check plasmid in question.  
15 The SSA efficiency was quantified by normalizing the number of GFP<sup>+</sup> cells to the  
16 number of AsRed<sup>+</sup> cells 48 hours after transfection. As shown in **Figure 2B, C**,  
17 rSpCas9 exhibited significantly higher activities in the C-Check assay than SpCas9  
18 (2.1- and 2.5-fold increase,  $p < 0.001$ ), indicating an increased preference for SSA-  
19 mediated DSB repair. Our results thus suggest that fusion of SpCas9 to *E.coli* Rec A  
20 facilitates DSB repair by homology-directed SSA in mammalian cells.

21

### 22 **3.3. rSpCas9 inhibits DSB repair by homology-directed gene conversion in** 23 **traffic light reporter cells**

24 We next investigated the effect of rSpCas9 on DSB repair by homology-directed  
25 gene conversion using a 'traffic light' reporter (TLR) cell line (Chu et al., 2015).  
26 Following generation of a DSB at the TLR locus, repair by homology-directed gene  
27 conversion results in expression of a green fluorescent protein (Venus), whereas a  
28 fraction of the DSB repair events by NHEJ or MMEJ lead to +2 reading frame  
29 mutations resulting in red fluorescent protein (RFP) expression (**Figure 3A**). We co-  
30 transfected TLR cells with either SpCas9 or rSpCas9, a TLR gRNA targeting the  
31 integrated mutated *Venus* gene, and a Venus donor plasmid. 72 hours after  
32 transfection, % Venus positive and RFP positive cells were quantified by flow  
33 cytometry. We observed that rSpCas9 exhibited a lower frequency of homology-



1 directed gene conversion than SpCas9 as quantified by the fraction of Venus  
2 positive cells (**Figure 3B, C**, decreased by 33 %,  $p < 0.01$ ), indicating that the fusion  
3 protein inhibits DSB repair by homology-directed gene conversion. Significantly  
4 higher RFP<sup>+</sup> frequencies were observed in the TLR cells expressing rSpCas9  
5 compared to SpCas9 (**Figure 3B, C**, 29% increase,  $p < 0.001$ ), which again suggests  
6 a preference for DSB repair by NHEJ/MMEJ when using rSpCas9. Finally, the TLR  
7 system allowed us to compare the preference for DSB repair by homology-directed  
8 gene conversion relative to NHEJ/MMEJ for each of the two proteins by comparing  
9 the ratio of Venus<sup>+</sup> to RFP<sup>+</sup> cells, where a 48% decrease is seen for rSpCas9  
10 compared to SpCas9 (**Figure 3C**,  $p < 0.001$ ). This suggests that rSpCas9 can be a  
11 useful tool for gene KO experiments by facilitating error-prone repair over HDR.  
12 To investigate whether rSpCas9 also affects DSB repair by HDR in plasmid-based  
13 systems, we generated a TLR-like reporter plasmid (Figure 3D). This TLR-like  
14 plasmid contains the same mutated Venus coding sequence and TLR gRNA target  
15 site. In addition, it contains the same CMV-AsRED expression cassette as the C-  
16 Check system for normalization. However, using this plasmid-based HDR reporter  
17 system, no significant difference in plasmid-based HDR repair was observed  
18 between SpCas9 and rSpCas9 (**Figure 3E, F**).

19

#### 20 **3.4. Fusion of RecA to eSpCas9(1.1) does not affect its mismatch tolerance**

21 A novel, enhanced specificity, SpCas9 variant (eSpCas9(1.1)) which can only  
22 tolerate one mismatch between gRNA and the target locus has recently been  
23 generated (Slaymaker et al., 2016). To investigate whether fusing RecA to  
24 eSpCas9(1.1), hereafter referred to as eSpCas9-RecA, can enhance gene knockout  
25 (on-target activity) without interfering with its mismatch tolerance, we transfected  
26 HEK293T cells with a C-Check reporter vector, one of the Cas9 variants  
27 (eSpCas9(1.1.) and eSpCas9-RecA), and one gRNA carrying 0-2 mismatches at  
28 varying positions (**Figure 4A**). Control cells were transfected with a scrambled gRNA  
29 and one of the Cas9 variants, or transfected with a control plasmid pUC19. 48 hours  
30 after transfection, the relative gene editing efficiencies of the Cas9 proteins were  
31 quantified by flow cytometry by normalizing the number of GFP positive cells to the  
32 number of AsRED positive cells. This assay was repeated in triplicates three times  
33 for each of two target sites (target site 1 and target site 2, **Figure 4**). We observed



1 that eSpCas9-RecA generally showed significantly higher efficiencies in the assay  
2 than eSpCas9(1.1) but the relative increase was less than that observed for rSpCas9  
3 compared to wt SpCas9. Similar increases in targeting efficiency by fusion of RecA  
4 compared to eSpCas9(1.1) were observed for both on-target sites and the off-target  
5 sites with one mismatch. Importantly, there was no detectable off-target cleavage for  
6 either protein when targeting the C-check vectors with gRNAs containing 2  
7 mismatches.

8  
9 Since we have previously observed a difference between plasmid-based and  
10 genomic assays (**Figure 3**), we next investigated whether the observed activities of  
11 eSpCas9-RecA are replicated when targeting genomic loci. We first targeted three  
12 genomic loci in HEK293T cells (Chr1, Ch3, and Chr8). Unlike for rSpCas9 and the  
13 reporter system in **Figure 4B**, despite a consistent increase in the frequency of  
14 indels, the difference was not significant for eSpCas9-RecA compared to  
15 eSpCas9(1.1). Furthermore, to investigate the mismatch tolerance in the genomic  
16 context, we generated six gRNAs containing 1-2 mismatches to the EMX1 target  
17 site. Using TIDE assay, no difference was observed in either on-target activity or  
18 mismatch tolerance (except OT-1, significantly increased in eSpCas9-RecA)  
19 between eSpCas9(1.1) and eSpCas9-RecA. Both eSpCas9(1.1) and eSpCas9-RecA  
20 had a significantly lower mismatch tolerance than SpCas9 (**Figure 4C**). Taken  
21 together, our results show that fusing RecA to eSpCas9(1.1) only results in  
22 significantly increased cleavage efficiency ( $p < 0.05$ ) in a plasmid based assay but not  
23 in a genomic context, and that fusing RecA to eSpCas9(1.1) did not affect its  
24 mismatch tolerance.

25

#### 26 **4. Discussion**

27 In this study, we have created a recombinant Cas9 protein (SpCas9-RecA; rSpCas9)  
28 with modified preferences for DNA DSB repair in mammalian cells. Previous studies  
29 have found that the majority of indel mutations at target sites resulted from repair of  
30 Cas9/gRNA-induced DBSs by mutation-prone end-joining pathways such as  
31 classical nonhomologous end-joining (c-NHEJ) and microhomology mediated end-  
32 joining (MMEJ) (Canver et al., 2015; Mandal et al., 2014; van Overbeek et al., 2016).  
33 In this study, we observed that rSpCas9 mediates indel formation 30% more

1 efficiently than wild type SpCas9 with a decreased frequency of HDR events,  
2 suggesting that fusion of RecA to SpCas9 enhances DSB-repair by c-NHEJ/MMEJ  
3 at the Cas9/gRNA-induced DSBs relative to error-free repair pathways. In addition,  
4 we and others have shown that cells also utilized single strand annealing (SSA)  
5 pathways to repair DSBs created by programmable nucleases such as TALENs and  
6 Cas9/gRNA (Kuhar et al., 2014; Yasuda et al., 2016; Zhou et al., 2016). In this study,  
7 we show that fusing RecA to SpCas9 can also increase the frequency of DSB repair  
8 by SSA.

9  
10 Furthermore, we have investigated the effect of fusing RecA to SpCas9 on DSB  
11 repair by HDR. Our data suggests that the fusion has a negative effect on DSB  
12 repair by HDR in a genomic context although this effect was not observed in a  
13 plasmid-based HDR assay. Additionally, the effects of fusing Cas9 to RecA were  
14 generally less pronounced for eSpCas9(1.1) than for native SpCas9. The  
15 discrepancy between plasmid-based and genomic results might be due to the copy  
16 number of TLR cassette present in each cell, as well as other factors such as  
17 chromatin accessibility and modification, interactions of the RecA protein with  
18 chromatin components etc. We also obtained a relatively low basal HDR rate in our  
19 study compared to a study by Liang et al. (Liang et al., 2017). Although similar cells  
20 (HEK293) have been used between Liang et al. and our studies, many other factors  
21 such as Cas9 format (RNP in Liang's study, and plasmid in ours), transfection methods  
22 (electroporation in Liang's study, and X-tremeGENE 9 in ours, donor template  
23 (ssDNA in Liang's study, and circular plasmid in ours) etc. might contribute to the  
24 difference in basal HDR rate. Thus, future studies are still required to further  
25 decipher the effect of SpCas9 and recombinant variants such as rSpCas9 on DSB  
26 repair by HDR in mammalian cells.

27  
28 An early study by Shcherbakova *et al.* reported that overexpression of *E. Coli* Rec A  
29 increases homology-directed gene conversion (Shcherbakova et al., 2000).  
30 However, our study reveals that fusion to RecA promotes DSB repair by SSA/MMEJ  
31 rather than homology-directed repair. The discrepancy between the previous study  
32 by Shcherbakova *et al.* and ours is likely due to: (1) we introduced a DSB whereas  
33 no DSB is introduced in the study by Shcherbakova *et al.*, and (2) the physical

1 interaction of Cas9-gRNA with the DSB site may affect repair preferences. Since the  
2 Cas9-gRNA duplex still remains bound to the DSB site after cutting (Sternberg et al.,  
3 2014) and RecA can form RecA-ssDNA filaments following 5' to 3' exonuclease  
4 processing of the broken DNA ends (Chen et al., 2008), RecA may facilitate the  
5 search for homologous sequences between the two adjacent DNA fragments. This  
6 could also explain our observation that RecA facilitates SSA/MMEJ-mediated repair  
7 of DSBs generated by CRISPR-Cas9. An increased preference for DSB repair by  
8 SSA was also achieved by overexpression of RecA and SpCas9 (**Supplementary**  
9 **Figure 2**), but to a lower extent compared to the SpCas9-RecA fusion. This suggests  
10 that recruitment of RecA to the DSB site is crucial for the enhanced DSB repair by  
11 cNHEJ/MMEJ/SSA.

12

13 Recently, it has been shown that transfecting cells with single-stranded non-  
14 homologous DNA oligonucleotides may alter DNA repair outcomes and facilitate  
15 NHEJ (Richardson et al., 2016). It was hypothesized that cells undergo cycles of  
16 error-free repair and cutting upon treatment with Cas9 until the occurrence of error-  
17 prone repair terminates the cycle, and that the inclusion of non-homologous  
18 oligonucleotides increases the frequency of such error-prone repair. Fusion of RecA  
19 to SpCas9 also increases the frequency of error-prone repair of DSBs but without  
20 the need for co-treatment with other external factors such as non-homologous DNA  
21 molecules or small chemical inhibitors. This renders rSpCas9 a useful tool for gene  
22 knockout applications. Furthermore, it also suggests that fine-tuning the preference  
23 of DSB repair by using recombinant Cas9 proteins could serve as an alternative  
24 strategy to enhance gene editing by CRISPR/Cas9.

25

26 In summary, we show that fusion of SpCas9 to RecA increases the efficiency of  
27 gene knockout and deletion. As such, we provide a new element to the Cas9  
28 toolbox, but also demonstrate for the first time that mammalian repair pathway  
29 preferences can be altered by fusing active Cas9 to other catalytically active  
30 domains. This is an area with future potential in the ongoing search for methods to  
31 enhance the frequency of homology-directed repair in order to improve the utility of  
32 CRISPR/Cas9 for efficient genome editing in mammalian cells.

33



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10

11 **Author contributions**

12 L.L. and Y.L. conceived the idea.

13 L.L., L.B., R.K. and Y.L. planned and oversaw the study

14 L.L., T.S.P, K.T.J and Y.L. performed experiments and analyzed the data.

15 L.L., K.T.J, and Y.L. prepared the manuscript and figures, and all authors revised the  
16 manuscript.

17

18 **Competing Interests Statement**

19 The authors declare no competing financial interests.

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## Figure Captions

### Figure 1. Fusing SpCas9 to RecA increases the efficiency of gene knockout and deletion

- A) Top: Schematic illustration of the SpCas9 and rSpCas9 fusion protein structures; Middle: Western blot analysis of Cas9 expression (anti FLAG tag, FLAG) and loading control (anti beta III Tubulin, b-Tub); and Bottom: Quantification of SpCas9 and rSpCas9 expression. Error bars represent one s.d. (n=3, independent transfections).
- B) Quantification of indel mutations at five target sites by TIDE assay. The percentage of Indel mutations at five genomic loci (*EMX1*, *HEK293site2*, *Chr1*, *Chr3*, and *Chr18*) was quantified by TIDE assay for SpCas9 and rSpCas9. Values represent Mean and one s.d. (n=3, independent transfections). Asterisks represent  $p < 0.05$  (\*), and  $p < 0.01$  (\*\*), Student's T-test.
- C) Schematic representation of the gRNA binding sites (top), gel electrophoresis pictures (middle), and quantification of Cas9-mediated deletion of gene segments (bottom) in *uPA* (left), *IGF1R* (middle) and *CRP* (right) by SpCas9 and rSpCas9. Error bars represent one s.d. Controls were transfected with the Cas9 expression plasmids only (n=3, independent transfections). Asterisks represent  $p < 0.01$  (\*\*), Student's T-test.

### Figure 2. rSpCas9 promotes the frequency of single strand annealing-mediated repair of DSBs

- A) Schematic illustration of the C-Check dual fluorescent reporter system.
- B) Representative flow cytometry plots of untransfected cells, cells transfected with the C-Check vector only, and cells transfected with the C-Check vector together with either a scrambled gRNA (Ctrl), T1 gRNA or T2 gRNA, and SpCas9 or rSpCas9.
- C) Quantification of the percentage of GFP+/AsRED+ cells as mean  $\pm$  one s.d. (n=3, independent transfections). Asterisks (\*\*\*) represents a p value  $< 0.001$  between the two groups.

1 **Figure 3. rSpCas9 inhibits the frequency of homology-directed repair of DSBs in TLR cells**

- 2 A) Schematic illustration of the traffic light reporter system (TLR).
- 3 B) Representative flow cytometry plots of TLR cells co-transfected with either SpCas9  
4 (left) or rSpCas9 (right) and either a scrambled gRNA (Ctrl, top) or a TLR gRNA  
5 (bottom).
- 6 C) % Venus<sup>+</sup> (HDR, left), % RFP<sup>+</sup> (NHEJ/MMEJ, middle) and the ratio of % Venus<sup>+</sup> to %  
7 RFP<sup>+</sup> (right) for TLR cells transfected with either SpCas9 or rSpCas9 and either a  
8 scrambled gRNA or the TLR gRNA. Quantified by FCM, error bars represent one s.d.  
9 (n=3, independent transfections). Asterisks (\*\*\*) represents a p value < 0.001,  
10 ANOVA.
- 11 D) Schematic illustration of the TLR-like HDR plasmid reporter system.
- 12 E) Representative flow cytometry plots of HEK293T cells co-transfected with TLR gRNA,  
13 either SpCas9 (left) or rSpCas9 (right), and without (top) or with (bottom) TLR donor  
14 plasmid.
- 15 F) % of Venus<sup>+</sup>/AsRED<sup>+</sup> cells. Mean and one s.d. (n=3, independent transfections).

16 **Figure 4. Comparison of on-target activity and mismatch tolerance for eSpCas9(1.1)**  
17 **and eSpCas9-RecA.**

- 18 A) Percentage of GFP+ out of AsRED positive cells in HEK293T cells transfected with a C-  
19 Check reporter vector, one of the Cas9 variants (eSpCas9(1.1) and eSpCas9-RecA),  
20 and one gRNA containing 0-2 mismatches to the target sites (target site 1 or target  
21 site 2) in the C-Check vector or a scrambled gRNA. Negative controls were  
22 transfected with C-Check and a pUC19 plasmid. Error bars represent one SD (n=3,  
23 independent transfections). Asterisks indicate a P value < 0.05, ANOVA.
- 24 B) The percentage of Indel mutations by eSpCas9(1.1) and eSpCas9-RecA at three  
25 genomic loci (*Chr1*, *Chr3*, and *Chr18*) in HEK293T cells was quantified by TIDE assay  
26 as mean +/- one s.d. (n=3, independent transfections).
- 27 C) Percentage of Indel mutations by eSpCas9(1.1) and eSpCas9-RecA at the EMX1 target  
28 site in HEK293T cells was quantified by TIDE assay. ON, OT1, OT2, OT3, OT4, OT5,

1 OT6 represents on-target gRNA and gRNAs with 1-2 mismatches as indicated at the  
2 bottom. Red boxes indicate mismatch between gRNA and the target site.  
3 Background value from a group transfected with a control plasmid (pUC19) is  
4 replotted for comparison. Presented as mean  $\pm$  one s.d. (n=3, independent  
5 transfections).

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1 D)

2 **Supplementary**

3 **Figure S1.** Percentage of Indel mutations by SpCas9 and rSpCas9 at the EMX1, Chr1, Chr3,  
4 and Chr18 loci in U2OS (**A**) and EMX1 locus in HeLa cells (**B**), quantified by TIDE assay.  
5 Presented as mean and one s.d. (n=3, independent transfections). Asterisks represents  $p <$   
6  $0.05$  (\*),  $p < 0.01$  (\*), Student's T-test.

7

8 **Figure S2.** C-Check quantification of SSA efficiency of SpCas9, SpCas9 in combination with  
9 RecA overexpression, and rSpCas9. The percentage of GFP+ out of the AsRED+ cells was  
10 quantified by flow cytometry. Data represents mean  $\pm$  one s.d. (n=3, independent  
11 transfections).

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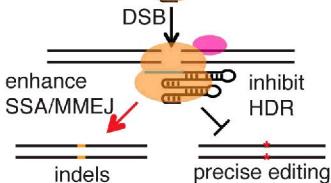
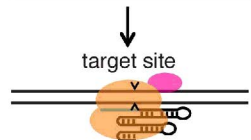
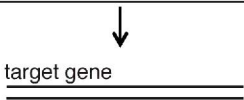


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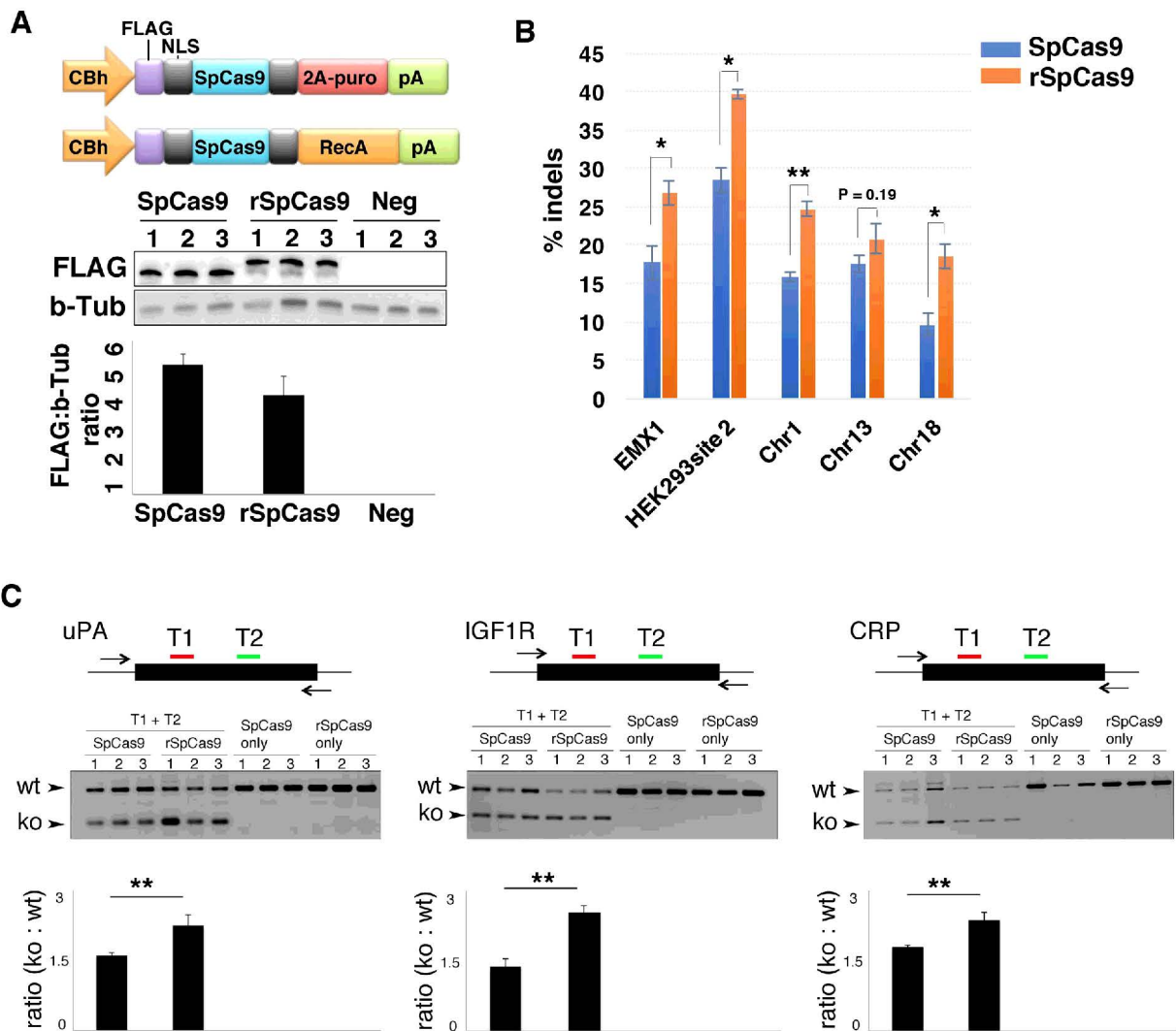
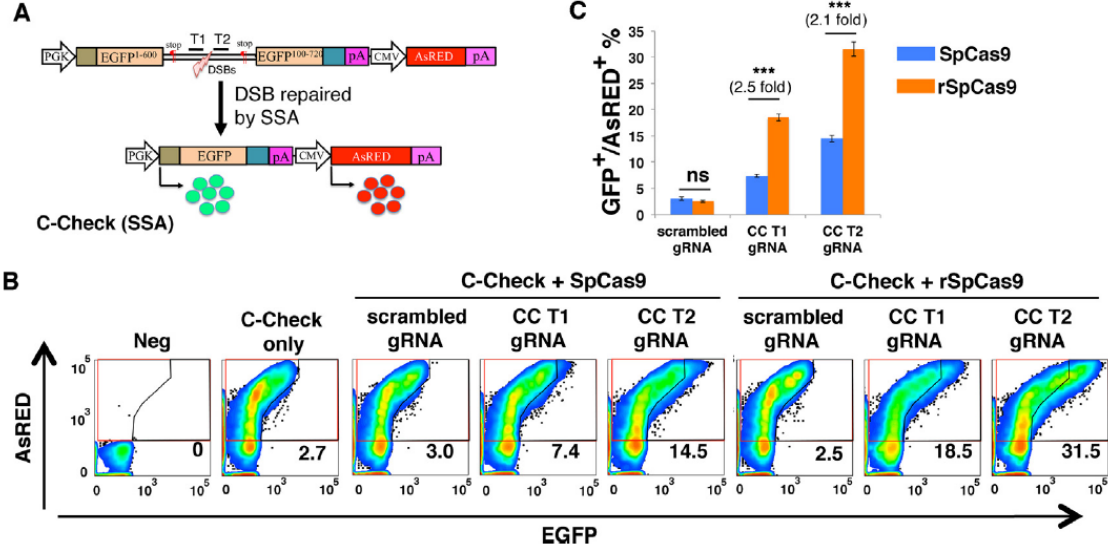


Figure 1

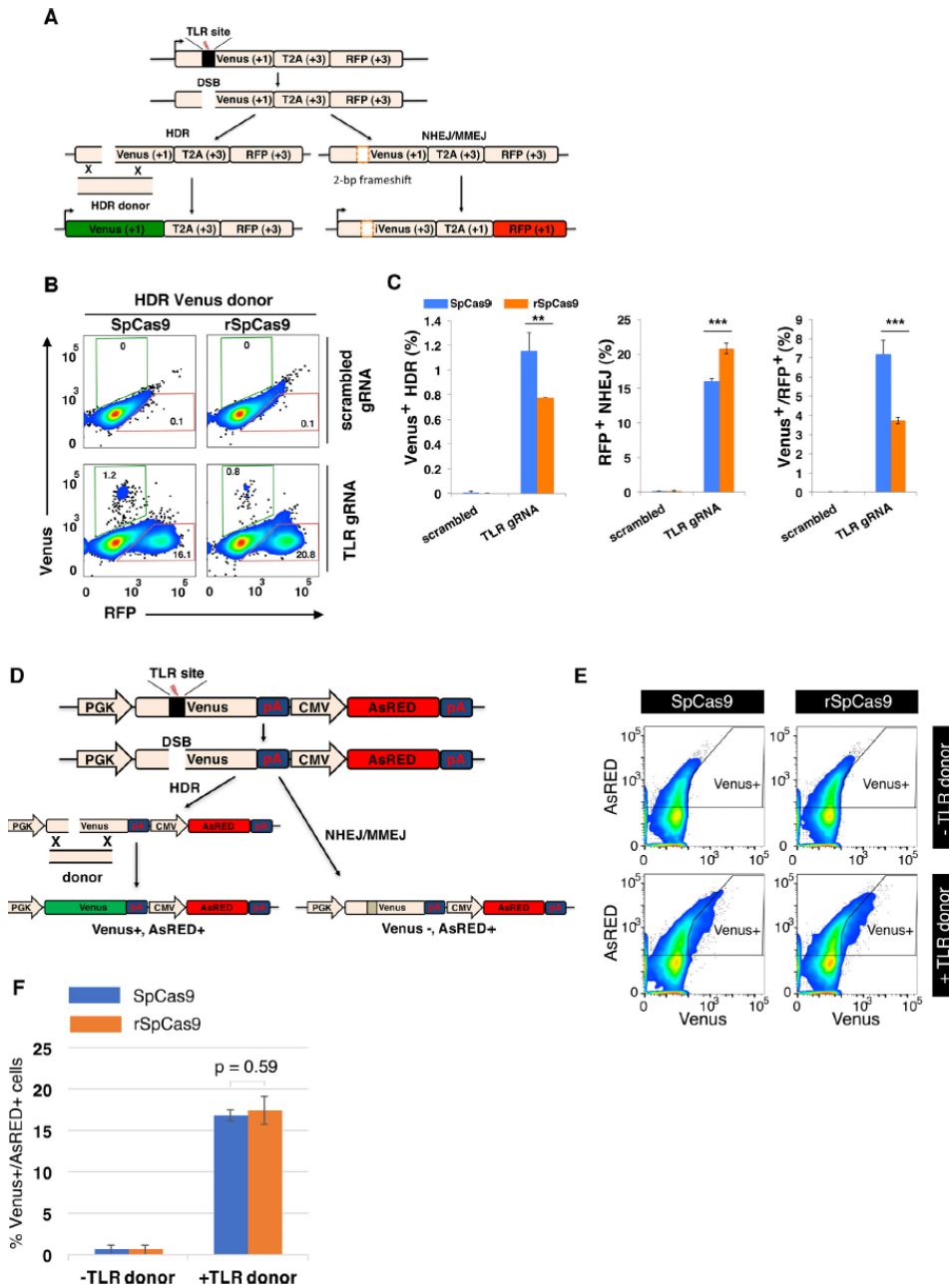


**Figure 2**



**Figure 2:** rSpCas9 promotes the frequency of single strand annealing-mediated repair of DSBs. (A) Schematic illustration of the C-Check dual fluorescent reporter system. (B) Representative flow cytometry plots of untransfected cells, cells transfected with the C-Check vector only, and cells transfected with the C-Check vector together with either a scrambled gRNA (Ctrl), T1 gRNA or T2 gRNA, and SpCas9 or rSpCas9. (C) Quantification of the percentage of GFP<sup>+</sup>/AsRED<sup>+</sup> cells as mean ± one s.d. (n = 3, independent transfections). Asterisks (\*\*\*) represents a p value < 0.001 between the two groups.

Figure 3



**Figure 3:** rSpCas9 inhibits the frequency of homology-directed repair of DSBs in TLR cells. (A) Schematic illustration of the traffic light reporter system (TLR). (B) Representative flow cytometry plots of TLR cells co-transfected with either SpCas9 (left) or rSpCas9 (right) and either a scrambled gRNA (Ctrl, top) or a TLR gRNA (bottom). (C) % Venus+ (HDR, left), % RFP+ (NHEJ/MMEJ, middle) and the ratio of % Venus+ to % RFP+ (right) for TLR cells transfected with either SpCas9 or rSpCas9 and either a scrambled gRNA or the TLR gRNA. Quantified by FCM, error bars represent one s.d. ( $n = 3$ , independent transfections). Asterisks (\*\*\*) represents a  $p$  value  $< 0.001$ , ANOVA. (D) Schematic illustration of the TLR-like HDR plasmid reporter system. (E) Representative flow cytometry plots of HEK293T cells co-transfected with TLR gRNA, either SpCas9 (left) or rSpCas9 (right), and without (top) or with (bottom) TLR donor plasmid. (F) % of Venus+/AsRED+ cells. Mean and one s.d. ( $n = 3$ , independent transfections).

**Figure 4**

