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# Serum glial fibrillary acidic protein correlates with retinal structural damage in aquaporin-4 antibody positive neuromyelitis optica spectrum disorder

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#### 54 Abstract

**Background:** Aquaporin-4 immunoglobulin-G positive (AQP4-IgG<sup>+</sup>) neuromyelitis optica spectrum disorder (NMOSD) is an autoimmune astrocytopathy associated with optic neuritis (ON). Myelin oligodendrocyte glycoprotein antibody-associated disease (MOGAD) is an oligodendrocytopathy with similar phenotype. Serum glial fibrillary acidic protein (sGFAP), an astrocyte-derived protein, is associated with disease severity in AQP4-IgG<sup>+</sup> NMOSD. Serum neurofilament light (sNfL) indicates neuroaxonal damage. The objective was to investigate the association of sGFAP and sNfL with subclinical afferent visual system damage in clinically stable AQP4-IgG<sup>+</sup> NMOSD and MOGAD patients.

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Methods: In this cross-sectional study, clinically stable patients with AQP4-IgG<sup>+</sup> NMOSD (N=33) and
 MOGAD (N=16), as diseased controls, underwent sGFAP and sNfL measurements by single molecule
 array, retinal optical coherence tomography and visually evoked potentials.

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**Results:** Higher sGFAP concentrations were associated with thinner ganglion cell-inner plexiform layer ( $\beta(95\%$  confidence interval (CI)) = -0.75(-1.23 to -0.27), *p*=0.007) and shallower fovea (average pit depth:  $\beta(95\%$ CI) = -0.59(-0.63 to -0.55), *p*=0.020) in NMOSD non-ON eyes. Participants with pathological P100 latency had higher sGFAP (median [interquartile range]: 131.32 [81.10–179.34] vs. 89.50 [53.46– 121.91]pg/ml, *p*=0.024). In MOGAD, sGFAP was not associated with retinal structural or visual functional measures.

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<u>Conclusions:</u> The association of sGFAP with structural and functional markers of afferent visual system
 damage in absence of ON suggests that sGFAP may be a sensitive biomarker for chronic disease severity
 in clinically stable AQP4-IgG<sup>+</sup> NMOSD.

#### 77 **1. Introduction**

Neuromyelitis optica spectrum disorder (NMOSD) is a disabling inflammatory disease of the CNS. In 78 79 approximately 80% of patients with NMOSD, pathogenic immunoglobulin G (IgG) autoantibodies against 80 the astrocytic water channel aquaporin-4 (AQP4) are detectable in serum.<sup>1</sup> AQP4-IgG positive (AQP4-81 IgG<sup>+</sup>) NMOSD is thus considered an autoimmune astrocytopathy. A subset of patients with AQP4-IgG 82 negative (AQP4-IgG<sup>-</sup>) NMOSD exhibits IgG autoantibodies against myelin oligodendrocyte glycoprotein 83 (MOG). MOG-antibody associated disease (MOGAD) is now recognized as a disease entity distinct from 84 AQP4-IgG<sup>+</sup> NMOSD and an autoimmune oligodendrocytopathy.<sup>2,3</sup> The broadening spectrum of therapeutic options for NMOSD increases the need for disease severity and prognostic biomarkers to guide treatment 85 decisions.4 86

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Optic neuritis (ON) is a core feature of NMOSD.<sup>5</sup> Retinal optical coherence tomography (OCT) allows for detailed quantification of the retinal layer structures in vivo. In NMOSD, thinning of the peripapillary retinal nerve fiber layer (pRNFL) and combined macular ganglion cell and inner plexiform layer (GCIPL) indicate retinal neuroaxonal damage and correlate with functional visual parameters.<sup>6–8</sup> Furthermore, foveal morphological changes, such as greater pit flat disk diameter and lower inner rim volume, may identify NMOSD-specific optic neuropathy or primary retinopathy.<sup>9</sup> Subtle structural retinal changes, particularly foveal shape changes and GCIPL thinning, can also be detected independent of ON in NMOSD.<sup>10,11</sup>

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Glial fibrillary acidic protein (GFAP) is an emerging biomarker in AQP4-IgG<sup>+</sup> NMOSD. As GFAP is most
prominently expressed in astrocytes<sup>12</sup>, it is hypothesized to correlate especially well with astrocytopathy,
as opposed to oligodendrocyte or neuroaxonal pathology. We and others have previously shown that
increased serum GFAP (sGFAP) levels are associated with disease severity, mainly assessed by EDSS, in
AQP4-IgG<sup>+</sup> NMOSD<sup>13-16</sup>, and that sGFAP concentrations in remission correlate with future attack risk.<sup>15,16</sup>
In addition, serum neurofilament light chain (sNfL), a biomarker for neuroaxonal damage, was found to be

- 102 associated with disease severity in NMOSD.<sup>13,15</sup> Yet, data on the association of sGFAP and sNfL with
- 103 afferent visual system damage in NMOSD are scarce.<sup>17</sup>
- 104
- 105 In the present study, we explored the association of sGFAP with afferent visual system damage in AQP4-
- 106 IgG<sup>+</sup> NMOSD as determined by comprehensive OCT and visual function analyses. To assess specificity
- 107 for astrocytopathy, we included patients with MOGAD, an oligodendrocytopathy, as controls and
- 108 additionally analyzed the association of visual parameters with sNfL.

#### 109 **2. Materials and Methods**

#### 110 **2.1 Study design**

Thirty-three AQP4-IgG<sup>+</sup> NMOSD patients and sixteen MOGAD patients, who participate in an ongoing 111 112 longitudinal observational cohort study at Charité – Universitätsmedizin Berlin, were recruited from August 113 2015 to March 2018 and included in this cross-sectional study. Inclusion criteria were age between 18 and 114 75 years, and a confirmed diagnosis of AQP4-IgG<sup>+</sup> NMOSD according to the 2015 IPND consensus criteria<sup>5</sup> or MOGAD according to the Jarius et al. criteria.<sup>18</sup> Exclusion criteria were any neurological or 115 ophthalmological disorders unrelated to NMOSD or MOGAD affecting OCT analyses, including a 116 refractive error above  $\pm 6$  diopters. The patients analyzed in the present work were in clinical remission (last 117 attack within 90 days in 1/33 NMOSD and 2/16 MOGAD)<sup>15</sup>, and are identical to those studied in a previous 118 investigation of sGFAP as disease severity and activity biomarker in NMOSD.<sup>15</sup> The Charité -119 120 Universitätsmedizin Berlin institutional ethics committee approved the study protocol (EA1/041/14). 121 Written informed consent was obtained from all participants.

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At study inclusion, a comprehensive medical history was obtained, and all patients underwent detailed neurological examination and ophthalmological assessments, including visually evoked potentials (VEP), visual acuity and OCT scans. Expanded Disability Status Scale (EDSS) was scored by trained raters. All individuals were tested for serum AQP4-IgG and MOG-IgG by use of fixed cell-based assay (CBA) employing full-length human AQP4 or MOG protein.<sup>19,20</sup> sGFAP and sNfL measurements were performed as previously described.<sup>13,15,21</sup>

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#### 130 **2.2 Optical coherence tomography**

We used Spectralis spectral domain OCT (Heidelberg Engineering, Heidelberg, Germany) with automatic real time (ART) averaging and active eye tracking to acquire retinal OCT images. Methodological details are described in supplementary material. All scans underwent quality control in accordance with the OSCAR-IB criteria<sup>22</sup> and are reported following the APOSTEL recommendations.<sup>23</sup> Only OCT scans that passed the quality review were included (56 eyes in the AQP4-IgG<sup>+</sup> NMOSD group and 26 eyes in the 136 MOGAD group). Because of profound structural changes in eyes with a history of ON (ON<sup>+</sup>), we only 137 included eyes without ON history (ON<sup>-</sup>) (AQP4-IgG<sup>+</sup>: N=34 eyes from 25 patients, MOGAD: N=11 eyes 138 from 8 patients) in OCT analyses (Figure e-1).

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#### 140 **2.3 Foveal morphometry parameters**

After importing the OCT macular volume scans, foveal morphometry parameters were computed through our pre-established 3D foveal morphometry pipeline.<sup>9,24</sup> Three-dimensional macular scans are flattened based on the segmentation of the Bruch's membrane (reference plane). Three disks or planes are identified after radially reconstructing the inner limiting membrane (ILM) surface: rim disk (connection of the rim points or points with maximum height), slope disk (connection of points with maximum slopes in the parafoveal area), and pit flat disk (foveal pit plane) (Figure 1).

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Eight foveal morphometry parameters were measured, including three parameters that have been previously 148 149 described as specific for AQP4-IgG<sup>+</sup> NMOSD<sup>9</sup>, i.e. (1) average slope disk diameter: the average of the slope disk diameters on the reconstructed radial scans, (2) average pit flat disk diameter: the average of the 150 151 pit flat disk diameters on the reconstructed radial scans, and (3) inner rim volume: the volume between the 152 reconstructed ILM surface and the reference plane within 1-mm-diameter cylinder centered at the fovea. The other five parameters included in the study were: (1) average rim disk diameter: the average of the rim 153 154 disk diameters on the reconstructed radial scans, (2) rim volume: the volume between the ILM surface and the reference plane within the rim points, (3) average rim height: average height of the rim points, (4) pit 155 depth: distance between the lowest point of the fovea and the center of rim disk, and (5) central foveal 156 157 thickness: distance between the lowest point of the fovea and the reference plane.

158

159 Figure 1. Schematic illustration of foveal morphometry parameters



(A) Smoothed and reconstructed inner limiting membrane surface using cubic Bezier polynomial model.
Foveal morphometry parameters include (B) average diameter of the three surfaces (rim disk, slope disk,
pit flat disk), (C) average rim height, average foveal pit depth and central foveal thickness, and (D) rim
volume and inner rim volume.

165 Abbreviations: CFT: central foveal thickness.

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#### 167 **2.4 Visual function measures**

VEP were recorded according to the ISCEV protocol with gold cup electrodes at Oz (active) and Fz 168 (reference)<sup>25</sup> using the RETI-port/scan 21 device (Roland Consult GmbH, Brandenburg, Germany). P100 169 latencies were recorded. Visual acuity was tested unilaterally and followed the ETDRS protocol. High-170 171 contrast best corrected visual acuity (HCVA) was measured and reported using logarithm of the minimum angle of resolution (logMAR) charts (Precision Vision, LaSalle, Illinois, USA). Low-contrast best 172 corrected visual acuity (LCVA) was tested with Sloan low contrast letter acuity charts at 2.5% contrast 173 174 levels. Subjects with prolonged (>117ms) / extinguished P100 latency, HCVA >0.1 logMAR, LCVA >0.3 logMAR in at least one eye were classified as "abnormal VEP/HCVA/LCVA". All eyes were included in 175 176 relevant analyses, regardless of the ON status.

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#### 178 **2.5 Statistical analysis**

As descriptive measures, absolute and relative frequencies, mean and standard deviation, median and interquartile ranges are reported depending on the scaling of the variables. Standardized effect size measures (standardized mean difference, SMD) were calculated to compare the characteristics differences between patients with AQP4-IgG<sup>+</sup> NMOSD and patients with MOGAD, as well as to compare the differences of retinal OCT measures between eyes with and without ON history within each patient subgroups. A SMD value of >0.8, 0.5-0.8, and 0.2-0.5 represented a large, medium, and small magnitude of effect, respectively.

187 As the distribution of sGFAP values was positively skewed, rank-based inverse normal transformation of sGFAP values was applied before parametric analyses. Furthermore, age-adjusted Z-scores of sNfL levels 188 (sNfLz) were calculated using Generalized Additive Models for Location Scale and Shape, as previously 189 190 described.<sup>26</sup> The associations between sGFAP or sNfLz and retinal OCT measures were investigated using 191 linear mixed-effect models (LMM) (dependent variables: OCT measures; independent fixed effect for 192 sGFAP and Age or sNfLz; random intercepts for subjects). Results are reported as standardized regression 193 coefficient (β) with 95% confidence interval (CI). Analyses of associations with visual function data were performed using group comparison with Wilcoxon rank-sum test to account for pathological VEP with 194 195 unmeasurable latency due to very low amplitude, and because visual acuity measures are ordinal variables. 196

197 Interaction analyses were performed to evaluate whether the association between sGFAP or sNfL with 198 afferent visual system damage was affected by non-ON-derived CNS damage and time since last attack. 199 We reported the effect size of interaction using partial eta squared ( $\eta_p^2$ ).

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Statistical analysis was performed in R version 4.0.2 with tableone, lme4, lmerTest, MuMIn, ggplot2, ggpubr, and effectsize packages. A two-sided significance level of  $\alpha$ =0.05 was used. Due to the exploratory nature of this study, no correction for multiple testing was performed. Interpretation of p-values should be done cautiously. Interpretation of results is mainly based on effect sizes and 95%CI.

#### 205 **3. Results**

#### 206 **3.1 Characteristics of the study population**

207 Forty-nine participants (33 with AQP4-IgG<sup>+</sup> NMOSD and 16 with MOGAD) were included. Demographic data and clinical characteristics were as previously reported<sup>15</sup>, and are summarized in Table 1. Further 208 clinical characteristics and their associations with sGFAP and sNfL were reported in our previous study<sup>15</sup>. 209 210 Compared with MOGAD, more female patients, a longer time since last relapse and a higher median EDSS score were observed in AQP4-IgG<sup>+</sup> NMOSD. Both sGFAP levels and sNfLz levels did not substantially 211 212 differ between the two groups. The observed retinal structural and visual functional changes in eyes with prior ON were in line with previous reports (Table 2).<sup>6–9</sup> No relevant differences were observed between 213 214 the AQP4-IgG<sup>+</sup> and MOG-IgG<sup>+</sup> groups in any structural or functional visual parameters (Table 2).

216	Table 1	. Patient	characteristics	by	serostatus
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	$AQP4-IgG^{+} (N = 33)$	$MOG-IgG^{+} (N = 16)$	SMD
Sex (F (%) / M (%))	30 (90.9%) / 3 (9.1%)	10 (62.5%) / 6 (37.5%)	0.71
Age (years)	49.6 (13.6)	46.0 (15.4)	0.25
Mean (SD)			
Disease duration (months)	79 [52 – 108]	50 [10 - 148]	0.04
Median [IQR]			
Time since last attack (months)	26 [11 – 56]	8 [4-24]	0.52
Median [IQR]			
Last attack type (N (%))			0.61
	ON: 12 (36.4%)	ON: 10 (62.5%)	_
	Myelitis: 19 (57.6%)	Myelitis: 6 (37.5%)	-
	Others: 2 (6.1%)	Others: 0 (0.0%)	
Number of eyes (N)	56	26	-
Eyes with a history of ON (N (%))	22 (39.3%)	15 (57.7%)	0.44
- of which single ON episode	10 (45.5%)	3 (20.0%)	

ON episodes per eye (N)	2 [1-8]	2 [1-8]	-
Median [Range]			
Patients with history of myelitis (N (%))	27 (81.8%)	9 (56.3%)	0.58
Myelitis episodes per patients (N)	1 [0-10]	1 [0-5]	-
Median [Range]			
Immunotherapy	RTX: 20 (60.6%)	RTX: 8 (50.0%)	-
-	AZA: 6 (18.2%)	AZA: 1 (6.3%)	_
	MMF: 1 (3.0%)	MMF: 1 (6.3%)	
-	BEL: 1 (3.0%)	GLC: 2 (12.5%)	
-	TCZ: 1 (3.0%)		
-	No Treatment: 4 (12.1%)	No Treatment: 4 (25.0%)	
sGFAP level (pg/ml)	109.2 [63.1 – 154.8]	81.1 [58.2 - 116.9]	0.03
Median [IQR]			
sNfL level (pg/ml)	21.9 [16.6 - 41.4]	26.6 [15.9 - 43.7]	0.23
Median [IQR]			
EDSS score	4.0 [2.0 – 5.0]	2.5 [2.0 - 3.0]	0.63
Median [IQR]			
MSFC	-0.03 (0.69)	0.25 (0.58)	0.44
Mean (SD)			

<u>Abbreviations:</u> AQP4-IgG: aquaporin-4 immunoglobulin G; AZA: azathioprine; BEL: belimumab; EDSS:
Expanded Disability Status Scale; GLC: glucocorticoids; IQR: interquartile range; MMF: mycophenolate
mofetil; MOG-IgG: myelin oligodendrocyte protein immunoglobulin G; MSFC: multiple sclerosis
functional composite; N = number of patients; ON: optic neuritis; SD: standard deviation; sGFAP: serum
glial fibrillary acidic protein; SMD: standardized mean difference; sNfL: serum neurofilament light chain;
RTX: rituximab; TCZ: tocilizumab.

Table 2. OCT and foveal morphometry results by serostatus and ON history

AQP4-IgG <sup>+</sup>			MOG-IgG <sup>+</sup>			
$ON^{-}(N = 34)$	$ON^{+}(N = 22)$	SMD	$ON^{-}(N = 11)$	$ON^{+} (N = 15)$	SMD	

pRNFL thickness (µm)	91.79 (15.46)	60.69 (22.75)	1.60	93.64 (18.63)	52.95 (17.25)	2.27
Mean (SD)						
mRNFL thickness (µm)	35.16 (3.64)	26.95 (6.75)	1.51	35.37 (4.18)	23.53 (4.03)	2.88
Mean (SD)						
GCIPL thickness (µm)	73.60 (8.14)	56.59 (11.50)	1.71	75.97 (8.30)	52.23 (9.29)	2.70
Mean (SD)						
INL thickness (µm)	37.58 (3.28)	40.51 (4.48)	0.75	39.67 (2.05)	41.66 (3.85)	0.65
Mean (SD)						
OPL thickness (µm)	25.39 (2.31)	25.42 (1.19)	0.02	24.72 (1.53)	24.78 (1.46)	0.04
Mean (SD)						
ONL thickness (µm)	61.18 (5.86)	67.93 (8.44)	0.93	62.94 (4.54)	65.10 (5.39)	0.43
Mean (SD)						
Central foveal thickness (µm)	270.68 (16.32)	269.04 (20.70)	0.09	278.82 (19.16)	269.93 (12.49)	0.55
Mean (SD)						
Average rim disk diameter (mm)	2.14 (0.14)	2.07 (0.12)	0.56	2.16 (0.14)	2.02 (0.08)	1.38
Mean (SD)						
Average slope disk diameter	0.75 (0.14)	0.73 (0.15)	0.15	0.66 (0.16)	0.63 (0.11)	0.19
(mm)						
Mean (SD)						
Average pit flat disk diameter	0.24 (0.04)	0.26 (0.07)	0.25	0.21 (0.04)	0.22 (0.02)	0.22
(mm)						
Mean (SD)						
Inner rim volume (mm <sup>3</sup> )	0.09 (0.02)	0.09 (0.02)	0.01	0.11 (0.02)	0.10 (0.01)	0.44
Mean (SD)						
Rim volume (mm <sup>3</sup> )	0.96 (0.18)	0.84 (0.19)	0.61	1.04 (0.18)	0.79 (0.10)	1.66
Mean (SD)						
Average pit depth (mm)	0.11 (0.03)	0.09 (0.03)	0.61	0.12 (0.02)	0.09 (0.02)	1.57
Mean (SD)						
Average rim height (mm)	0.34 (0.02)	0.32 (0.03)	0.83	0.35 (0.02)	0.32 (0.02)	1.96
Mean (SD)						
P100 Latency (ms)	117.42 (18.62)	130.64 (22.96)	0.63	111.42 (6.64)	136.74 (20.75)	1.64

Mean (SD)						
LogMAR HCVA	-0.1 [-0.2 - 0.0]	0.0 [0.0 - 0.2]	0.75	-0.05 [-0.2 –	-0.1 [-0.2 – 0.1]	0.15
Median [IQR]				0.0]		
LogMAR LCVA	0.3 [0.3 – 0.5]	0.5 [0.3 – 0.6]	0.72	0.4 [0.15 – 0.4]	0.3 [0.3 – 0.6]	0.44
Median [IQR]						

Abbreviations: AQP4-IgG: aquaporin-4 immunoglobulin G; GCIPL: combined macular ganglion cell and inner plexiform layer; HCVA: high-contrast visual acuity; INL: inner nuclear layer; IQR: interquartile range; LCVA: low-contrast visual acuity; logMAR: logarithm of the minimum angle of resolution; MOG-IgG: myelin oligodendrocyte protein immunoglobulin G; mRNFL: macular retinal nerve fiber layer; N = number of eyes; OCT: optical coherence tomography; ON: optic neuritis; ONL: outer nuclear layer; OPL: outer plexiform layer; pRNFL: peri-papillary retinal nerve fiber layer; SD: standard deviation; SMD: standardized mean difference.

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#### **3.2** Associations of sGFAP and sNfL with retinal layer thickness in non-ON eyes

In ON<sup>-</sup> eyes of AQP4-IgG<sup>+</sup> NMOSD, higher sGFAP levels were associated with measures of retinal 234 neuroaxonal loss, including thinner GCIPL ( $\beta(95\% CI)=-0.75(-1.23 \text{ to } -0.27)$ ), p=0.007; Figure 2C), thinner 235 mRNFL ( $\beta(95\%CI)=-0.91(-1.31 \text{ to } -0.51)$ ), p<0.001; Figure 2B), and, to a lesser extent, thinner pRNFL 236  $(\beta(95\% CI)=-0.44(-0.89 \text{ to } 0.01), p=0.065;$  Figure 2A). Patients with higher sNfLz had a lower GCIPL 237 thickness ( $\beta(95\% \text{CI})=-0.48(-0.91 \text{ to } -0.05)$ , p=0.039; Figure 2F). However, associations of sNfLz with both 238 pRNFL ( $\beta(95\% CI)=-0.31(-0.67 \text{ to } 0.04)$ , p=0.095) and mRNFL ( $\beta(95\% CI)=-0.45(-0.90 \text{ to } 0.00)$ , p=0.060) 239 thickness were less pronounced (Figure 2D–E). In MOGAD, neither sGFAP nor sNfLz were substantially 240 241 associated with any of the retinal thickness measures (Figure 2G-L).

Figure 2. Association of sGFAP and sNfL with OCT parameters in ON<sup>-</sup> eyes of AQP4-IgG<sup>+</sup> NMOSD and
 MOGAD subjects



246 Scatterplots showing correlation between sGFAP and sNfL with pRNFL, mRNFL and GCIPL.

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Association of normalized rank-transformed sGFAP with (A) pRNFL thickness, (B) mRNFL thickness, (C)
GCIPL thickness in AQP4-IgG<sup>+</sup> NMOSD; sNfL age-adjusted Z-score with (D) pRNFL thickness, (E)
mRNFL thickness, (F) GCIPL thickness in AQP4-IgG<sup>+</sup> NMOSD; normalized rank-transformed sGFAP
with (G) pRNFL thickness, (H) mRNFL thickness, (I) GCIPL thickness in MOGAD; sNfL age-adjusted Zscore with (J) pRNFL thickness, (K) mRNFL thickness, (L) GCIPL thickness in MOGAD.

Analyzed with linear mixed effect model (dependent variables: OCT measures; independent fixed effect for normalized rank-transformed sGFAP and age or sNfL age-adjusted Z-score; random intercepts for subjects) in 34 non-ON eyes from 25 AQP4-IgG<sup>+</sup> NMOSD or 11 non-ON eyes from 8 MOGAD patients. Shaded regions indicate 95% CIs.

<u>Abbreviations:</u> AQP4-IgG: aquaporin-4 immunoglobulin G; β: standardized estimate; CI: confidence
 interval; GCIPL: combined macular ganglion cell and inner plexiform layer; m: macular; MOGAD: myelin
 oligodendrocyte glycoprotein antibody associated disorders; NMOSD: Neuromyelitis optica spectrum
 disorder; OCT: optical coherence tomography; ON: optic neuritis; p: peri-papillary; RNFL: retinal nerve
 fiber layer; sGFAP: serum glial fibrillary acidic protein; sNfL: serum neurofilament light chain.

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#### 262 **3.3** Associations of sGFAP and sNfL with foveal morphometry measures in non-ON eyes

In AQP4-IgG<sup>+</sup> NMOSD, higher sGFAP was associated with lower average rim disk diameter, rim volume, average pit depth and average rim height, but not with average slope disk diameter, pit flat disk diameter, inner rim volume and central foveal thickness (Table 3) of ON<sup>-</sup> eyes. Similarly, higher sNfLz correlated with lower average pit depth, average rim height and rim volume (Table 3). In MOGAD, higher sGFAP was only associated with lower average rim height (Table e-1). sNfLz was slightly lower in patients with MOGAD with lower average rim height (Table e-1).

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Table 3. Association of sGFAP and sNfL with foveal morphometry parameters in AQP4-IgG<sup>+</sup> NMOSD
subjects

	Normalized rank-t	ransformed sGFAP	sNfL age-adjusted Z-score		
	β (95%CI)	<i>p</i> -value	β (95%CI)	<i>p</i> -value	
Average rim disk diameter (mm)	-0.55 (-0.96 to -	0.019	-0.40 (-0.77 to -	0.052	
	0.14)		0.02)		
Average slope disk diameter (mm)	-0.19 (-0.70 to	0.469	0.18 (-0.23 to 0.59)	0.402	
	0.32)				
Average pit flat disk diameter (mm)	0.05 (-0.50 to	0.874	0.21 (-0.24 to 0.66)	0.368	

	0.60)			
Inner rim volume (mm <sup>3</sup> )	0.02 (-0.51 to	0.932	-0.26 (-0.69 to	0.234
	0.55)		0.17)	
Rim volume (mm <sup>3</sup> )	-0.60 (-1.01 to -	0.011	-0.52 (-0.87 to -	0.010
	0.19)		0.17)	
Average pit depth (mm)	-0.59 (-0.63 to -	0.020	-0.45 (-0.84 to -	0.034
	0.55)		0.06)	
Average rim height (mm)	-0.79 (-1.24 to -	0.003	-0.63 (-1.02 to -	0.004
	0.34)		0.24)	
Central foveal thickness (mm)	0.11 (-0.42 to 0.64)	0.690	-0.05 (-0.50 to	0.838
			0.40)	

Analyzed with separate linear mixed effect models (dependent variables: OCT measures; independent fixed effect for normalized rank-transformed sGFAP and age or sNfL age-adjusted Z-score; random intercepts for subjects) in 34 non-ON eyes from 25 AQP4-IgG<sup>+</sup> NMOSD patients.

<u>Abbreviations:</u> AQP4-IgG: aquaporin-4 immunoglobulin G; β: standardized estimate; CI: confidence
interval; ON: optic neuritis; sGFAP: serum glial fibrillary acidic protein; sNfL: serum neurofilament light
chain.

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#### 279 **3.4 Relation of sGFAP and sNfL with visual function and electrophysiological measures**

280 In the AQP4-IgG<sup>+</sup> NMOSD group, participants with abnormal VEP P100 latency showed modestly higher sGFAP concentrations than those with normal VEP latency (median [IOR]: 131.32pg/ml [81.10–179.34] 281 vs. 89.50pg/ml [53.46–121.91], p=0.024). Additionally, higher sGFAP levels were also detected in patients 282 283 with abnormal LCVA compared to subjects with normal LCVA (median [IQR]: 128.98pg/ml [95.90-161.23] vs. 81.10pg/ml [51.17–100.18], p=0.011). A similar, yet less pronounced difference was found 284 285 between patients with abnormal and normal HCVA (median [IQR]: 149.21pg/ml [80.49-225.49] vs. 101.85pg/ml [73.43–131.32], p=0.123). The differences in sNfLz between patients with abnormal and 286 normal VEP latency, HCVA and LCVA were even less pronounced (Table 4). No relevant difference in 287

288 sGFAP or sNfL concentrations according to VEP latency, HCVA or LCVA was detected in participants

with MOGAD (Table e-2).

290

**Table 4.** sGFAP and sNfL concentrations in AQP4-IgG<sup>+</sup> NMOSD subjects with normal and abnormal visual

292 function

	P100 Latency			LogMAR HCVA			LogMAR LCVA		
	Normal	Abnormal	<i>p</i> -value	Normal	Abnormal	<i>p</i> -value	Normal	Abnormal	<i>p</i> -value
sGFAP (pg/ml) Median [IQR]	89.50 [53.46 - 121.91]	131.32 [81.10 – 179.34]	0.024	101.85 [73.43 - 131.32]	149.21 [80.49 – 225.49]	0.123	81.10 [51.17 - 100.18]	128.98 [95.90 – 161.23]	0.011
sNfL age- adjusted Z-score Median [IQR]	-0.78 [-1.45 - 0.91]	-0.06 [-0.92 - 1.31]	0.116	-0.86 [-1.29 – 0.86]	0.24 [-0.61 - 1.73]	0.096	-0.68 [-1.19 - 0.53]	-0.42 [-1.24 - 1.25]	0.445

Group comparison analyzed with Wilcoxon rank-sum test in all 33 AQP4-IgG<sup>+</sup> NMOSD patients.
<u>Abbreviations:</u> AQP4-IgG: aquaporin-4 immunoglobulin G; HCVA: high-contrast visual acuity; IQR:
interquartile range; LCVA: low-contrast visual acuity; logMAR: logarithm of the minimum angle of
resolution; sGFAP: serum glial fibrillary acidic protein; sNfL: serum neurofilament light chain.

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#### 298 **3.5 Subgroup analyses**

299 To account for non-ON-derived CNS injury as a possible confounder for the associations between sGFAP and sNfL and parameters of afferent visual system damage, we conducted subgroup analyses in AQP4-300 301 IgG<sup>+</sup> NMOSD patients with unimpaired and impaired walking ability as indicated by an EDSS score  $\leq 3$ 302 (n=17) or >3 (n=17). Interaction analyses showed no relevant inter-group difference between patients with 303 EDSS scores  $\leq 3$  and > 3 with respect to the associations of either sGFAP (Table 5) or sNfL (Table e-4) with GCIPL, mRNFL and pRNFL in ON<sup>-</sup> eyes. The associations of sGFAP (Table e-3) or sNfLz (Table e-304 305 4) with foveal morphometry parameters also did not differ between the two groups. Due to low sample size, 306 we refrained from subgroup analyses within the MOGAD group, and regarding VEP latencies and visual acuity (group comparisons within subgroups). 307

Furthermore, we investigated subgroups of AQP4-IgG<sup>+</sup> NMOSD patients with (myelitis, n=10; area postrema syndrome, n=2) or without (n=22) a non-ON attack within one year prior to study inclusion. Interaction analyses revealed inter-group differences for GCIPL ( $\eta_p^2$ =0.29, *p*=0.036) and mRNFL ( $\eta_p^2$ =0.26, *p*=0.039), both of which were more strongly associated with sGFAP in subjects with an attack in the last year (Table 5). Associations of sNfL with inner retinal layer thickness, particularly GCIPL and mRNFL, were numerically more profound in patients with an attack within the last year (Table e-4).

315

Lastly, to evaluate whether sGFAP and sNfL can also be considered as biomarkers for attack-dependent 316 317 structural afferent visual system damage, the associations of both biomarkers with retinal OCT measures in ON<sup>+</sup> eyes of AQP4-IgG<sup>+</sup> NMOSD patients (22 eyes in 19 patients) were additionally analyzed. As 318 opposed to the findings in ON- eyes, no correlation of higher sGFAP or sNfL with thinner GCIPL, pRNFL, 319 320 or mRNFL was observed (Table e-5). Furthermore, we observed group differences between ON<sup>+</sup> and ON<sup>-</sup> eyes regarding the association of sGFAP with GCIPL ( $\eta_p^2=0.11$ , p=0.032), and to a lesser degree, with 321 pRNFL ( $\eta_{\rho}^2 = 0.06$ , p = 0.071) and mRNFL ( $\eta_{\rho}^2 = 0.07$ , p = 0.060). None of the foveal morphometry parameters 322 323 was associated with sGFAP or sNfL in ON<sup>+</sup> eyes (Table e-5).

Table 5. Association of sGFAP with retinal layer thickness in subgroups of AQP4-IgG<sup>+</sup> NMOSD subjects with EDSS  $\leq 3$  or > 3 and last attack within  $\leq 1$  year or > 1 year

		EDSS		Time since last non-ON attack	
		< 2.0 (N - 17)	> 2.0 (N - 17)	$< 1 V_{22} (N - 12)$	$> 1 V_{22} (N - 22)$
		$\leq 5.0 (N - 17)$	> 3.0 (N - 17)	$\leq 1$ rear (N – 12)	> 1 rear (N = 22)
		-0.44 (-1.20 to	-0.23 (-0.76 to	-0.40 (-1.09 to	-0.58 (-1.29 to
	β (95%C1)	0.32)	0.30)	0.29)	0.13)
pRNFL thickness (µm)	<i>p</i> -value	0.291	0.410	0.297	0.136
	$\eta_{\rho}^2$ for interaction with sGFAP, <i>p</i> -value	$\eta_p^2 = 3.83e^{-4}, p = 0.923$		$\eta_p^2 = 0.04, p = 0.360$	

	0.(050/ CI)	-0.72 (-1.33 to	-0.76 (-1.15 to	-0.94 (-1.47 to -	-0.74 (-1.35 to -
	β (95%C1)	-0.11)	-0.37)	0.41)	0.13)
mRNFL thickness (µm)	<i>p</i> -value	0.050 0.004		0.017	0.036
	$\eta_{\rho}^{2}$ for interaction with sGFAP, <i>p</i> -value	$\eta_{\rho}^2 = 0.06, p = 0.290$		$\eta_p^2 = 0.21, p = 0.038$	
	0 (0 <b>5</b> 9/ CI)	-0.46 (-1.24 to	-0.62 (-1.11 to	-0.77 (-1.40 to -	-0.62 (-1.44 to
	p (95%C1)	0.32)	-0.13)	0.14)	0.20)
GCIPL thickness (µm)	<i>p</i> -value	0.288	0.036	0.062	0.172
	$\eta_{\rho}^{2}$ for interaction with sGFAP, <i>p</i> -value	${\eta_\rho}^2=0.02$	, <i>p</i> = 0.539	$\eta_p^2 = 0.22, p = 0.037$	

Analyzed with linear mixed effect model (dependent variables: OCT measures; independent fixed effect for normalized rank-transformed sGFAP and age; random intercepts for subjects) in 34 non-ON eyes from 25 AQP4-IgG<sup>+</sup> NMOSD patients. An interaction term of normalized rank-transformed sGFAP and each sub-group was included to assess the inter-group differences.

331 <u>Abbreviations:</u> AQP4-IgG: aquaporin-4 immunoglobulin G; β: standardized estimate; CI: confidence

332 interval;  $\eta_{\rho}^2$ : partial eta-squared; EDSS: Expanded Disability Status Scale; GCIPL: combined macular

333 ganglion cell and inner plexiform layer; mRNFL: macular retinal nerve fiber layer; N: number of eyes; ON:

optic neuritis; pRNFL: peri-papillary retinal nerve fiber layer; sGFAP: serum glial fibrillary acidic protein.

#### 335 **4. Discussion**

This detailed cross-sectional study investigating patients with clinically stable AQP4-IgG<sup>+</sup> NMOSD 336 showed that elevated sGFAP concentrations are associated with retinal neuroaxonal loss, as evidenced by 337 thinner GCIPL as well as mRNFL and pRNFL, and with foveal flattening, as assessed by foveal 338 morphometry in ON<sup>-</sup> eyes. On a functional level, patients with pathologic VEP P100 latencies and LCVA 339 340 exhibited higher sGFAP levels. sNfL was associated with structural measures (GCIPL, foveal morphometry parameters) in ON<sup>-</sup> eyes, but not with functional (VEP, HCVA, LCVA) measures of afferent visual system 341 342 damage. In a control group of MOGAD patients, no analogous associations with either serum biomarker were detected. 343

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The consistent association of increased sGFAP concentrations with retinal neuroaxonal loss and impaired afferent visual system function indicates that sGFAP may be a sensitive biomarker for visual system damage in AQP4-IgG<sup>+</sup> NMOSD, extending the previously demonstrated correlation of sGFAP with disability.<sup>13,15,16</sup> Yet, in contrast to a recent study by Aly and colleagues<sup>17</sup>, we did not observe higher sGFAP levels in patients with lower foveal thickness. The comparability of both studies is, however, limited due to the inclusion of six AQP4-IgG<sup>-</sup> patients (of a total of 16) and patients with ON<sup>+</sup> eyes in the study by Aly and colleagues.<sup>17</sup>

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Both subclinical ON and subclinical primary retinopathy have been hypothesized to underlie attackunrelated visual system damage.<sup>9,27</sup> In NMOSD, ON-independent changes result in a widened, "U-shaped" fovea<sup>9,27</sup>, whereas ON leads to a shallower, "V-shaped" fovea, next to thinning of RNFL and GCIPL, indicating neuroaxonal damage.<sup>9,27</sup> Unexpectedly, we observed an association of sGFAP in ON<sup>-</sup> eyes not only with RNFL and GCIPL thinning, but also with an "ON-type" fovea. Taken together, this suggests that sGFAP is a highly sensitive biomarker for subclinical neuroaxonal optic nerve damage, rather than for the previously proposed primary retinopathy in AQP4-IgG<sup>+</sup> NMOSD.

Less pronounced and less consistent associations of sNfL with OCT and foveal morphometry measures of visual system damage as well as lack of association with functional visual assessments point at a limited value of sNfL as a biomarker for visual system affection in AQP4-IgG<sup>+</sup> NMOSD, as compared to sGFAP. This corresponds to relatively weaker associations of sNfL with disability and future disease activity in AQP4-IgG<sup>+</sup> NMOSD<sup>14,15</sup> and is in accordance with the pathophysiological concept of AQP4-IgG<sup>+</sup> NMOSD being primarily an astrocytopathy. Nonetheless, these results corroborate the value of sNfL as a sensitive general marker for neuroaxonal damage.

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The absent associations in the control cohort of patients with MOGAD indicate specificity of sGFAP as a 369 370 biomarker for afferent visual system damage in AQP4-IgG<sup>+</sup> NMOSD. This supports the concept, that sGFAP concentrations reflect astrocyte rather than oligodendrocyte dominated pathology. Two limitations 371 of this conclusion must be regarded. First, unequal group size in the MOGAD group may cause a relatively 372 higher chance for a type II error. Yet, effect sizes, which are independent of sample size, were consistently 373 lower in MOGAD. Second, not only sGFAP, but also sNfL lacked associations with visual parameters in 374 375 MOGAD. This could imply that these missing effects were no result of sGFAP's specificity for astrocytopathy. However, our patients were in stable remission, hence subclinical disease activity may be 376 377 the basis of our findings. While there is growing evidence for subclinical disease activity in AQP4-IgG<sup>+</sup> NMOSD, there is considerable uncertainty in MOGAD.<sup>28</sup> Less attack-independent disease activity in 378 MOGAD could explain missing associations of both sGFAP and sNfL with visual parameters. 379

380

GFAP levels in CSF are higher in patients with spinal cord lesions and depend on lesion length<sup>29</sup>, indicating that sGFAP levels are dependent on the mass of affected CNS tissue. Therefore, it could be argued that the extent of tissue damage in ON could be too low to cause any measurable sGFAP increase. Additionally, even a detectable ON-derived sGFAP increase might be blurred by elsewhere located CNS-inflammation. However, the stronger associations between sGFAP and GCIPL as well as mRNFL in patients with a non-ON-attack within one year argues against a relevant confounding effect of attack-derived CNS-tissue damage. Likewise, the independency of associations between sGFAP and OCT parameters from walking
ability suggests that the value of sGFAP as a marker for visual system involvement might persist
independent of chronic CNS lesions.

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391 Despite the consistent association of sGFAP with measures of afferent visual system affection, it is not 392 certain that this is indeed the source of GFAP. Given the low tissue mass of optic nerve and retina, an 393 increase of sGFAP might reflect general subclinical astrocytopathy. The pronounced association of sGFAP 394 with GCIPL and RNFL in patients with a recent attack in ON<sup>-</sup> eyes and irrespective of lesion site might 395 support this notion. However, sGFAP levels in NMOSD patients in stable remission are not associated with time since the last attack.<sup>15</sup> Nonetheless, the precise site from which elevated sGFAP in patients with 396 NMOSD originates currently remains unclear. Therefore, further investigations on experimental models 397 are needed to clarify this point. 398

399

Several lines of evidence suggest subclinical inter-attack disease activity in AOP4-IgG<sup>+</sup> NMOSD.<sup>30</sup> Our 400 401 findings could be considered to imply subclinical ON. However, there are several restraints on these 402 interpretations. First, ON-independent foveal alterations have been attributed to retinal astrocytopathy in the form of Müller cell injury rather than to subclinical ON.<sup>9,27</sup> However, signs of neuroaxonal damage 403 have also been reported in ON<sup>-</sup> eyes.<sup>9,11,27,31,32</sup> One possible explanation for the association of sGFAP with 404 OCT-indicators of neuroaxonal damage but not primary retinopathy may be the overall low volume of 405 406 retinal tissue. Furthermore, GFAP expression in Müller cells is strongly increased only under severe stress<sup>33,34</sup> and might therefore be low outside clinically overt attacks. Second, our results could be due to 407 408 secondary neurodegeneration instead of inter-attack disease activity. While the absence of associations 409 between sGFAP and measures of afferent visual system damage in eyes with a history of overt ON generally 410 supports the notion of attack-independent disease activity, it does not preclude secondary contralateral neurodegeneration. This is an important aspect, as we included contralateral ON- eyes of patients with a 411 412 history of ON. ON in NMOSD often affects the optic chiasm and consecutive bidirectional 413 neurodegeneration has been reported.<sup>32</sup> Since MRI-based assessments of optic chiasm involvement were 414 not available from the patients included in this work, this possibility cannot be excluded.<sup>35</sup> However, one 415 would not expect secondary neurodegeneration to be specific for AQP4-IgG mediated damage. With 416 respect to this, the absence of analogous findings in our specificity control group of MOGAD patients is an 417 indirect argument for AQP4-IgG-specific inter-attack disease activity.

418

419 Strengths of this study include the detailed, multimodal assessments of afferent visual system function and 420 structure in a homogenous, well-characterized study population of patients with AQP4-IgG<sup>+</sup> NMOSD and 421 the inclusion of equally well-characterized patients with MOGAD as a rigorous specificity control.

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423 Among the limitations of this monocentric study is the relatively low number of patients, due to the low 424 prevalence of the two conditions. Consequently, to retain a sufficient sample size for meaningful statistics, 425 we were not able to exclude  $ON^-$  eyes of patients with a history of contralateral ON. As discussed above, 426 cross-over effects of chiasm-involving ON can therefore not be excluded.

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Altogether, this study shows that sGFAP is associated with structural and functional afferent visual system damage in patients with clinically stable AQP4-IgG<sup>+</sup> NMOSD. These findings suggest that sGFAP may be sensitive marker for disease severity in AQP4-IgG<sup>+</sup> NMOSD and add to the growing evidence for subclinical disease activity in NMOSD. However, validation in independent, larger patient populations, ideally including MRI assessment of the visual pathway as well as further preclinical research, is needed to corroborate our results. Despite the emerging role of sGFAP as biomarker in NMOSD<sup>36</sup>, its potential value in the care of individual patients currently remains elusive.

#### 435 **Supplementary materials**

#### 436 **Optical coherence tomography scanning protocol**

The GCIPL thickness values were calculated as a 5-mm diameter annulus sparing the fovea from a macular volume scan (25°x30°, 61 vertical B-scans, ART=15) and the pRNFL thickness was measured through a peri-papillary scan (3.5mm diameter) (768A-scans, ART=25) around the optic nerve head. The segmentation of pRNFL and all intra-retinal layers in the macular scans was performed using SAMIRIX pipeline, as described in detail elsewhere. All scans were manually reviewed to confirm the accuracy of the segmentation.

443

	Normalized rank-transfo	rmed sGFAP	sNfL age-adjusted Z	2-score
	β (95%CI)	<i>p</i> -value	β (95%CI)	<i>p</i> -value
Average rim disk diameter (mm)	-0.48 (-1.36 to 0.40)	0.329	0.40 (-0.34 to 1.14)	0.333
Average slope disk diameter (mm)	0.36 (-0.42 to 1.14)	0.408	-0.33 (-1.04 to 0.38)	0.392
Average pit flat disk diameter (mm)	0.35 (-0.55 to 1.25)	0.475	-0.13 (-0.95 to 0.69)	0.774
Inner rim volume (mm <sup>3</sup> )	-0.59 (-1.32 to 0.14)	0.171	0.56 (-0.01 to 1.13)	0.106
Rim volume (mm <sup>3</sup> )	-0.76 (-1.54 to 0.02)	0.113	0.65 (0.02 to 1.28)	0.087
Average pit depth (mm)	-0.55 (-1.51 to 0.41)	0.312	0.42 (-0.34 to 1.18)	0.321
Average rim height (mm)	-1.03 (-1.40 to -0.66)	0.003	0.81 (0.28 to 1.34)	0.024
Central foveal thickness (mm)	-0.64 (-146 to 0.18)	0.185	0.58 (-0.02 to 1.17)	0 106

444 **Table e-1.** Association of sGFAP and sNfL with foveal morphometry parameters in MOGAD subjects

- 445 Analyzed with separate linear mixed effect models (dependent variables: OCT measures; independent fixed
- 446 effect for normalized rank-transformed sGFAP and age or sNfL age-adjusted Z-score; random intercepts
- 447 for subjects) in 11 non-ON eyes from 8 MOGAD patients.
- 448 <u>Abbreviations:</u> β: standardized estimate; CI: confidence interval; MOGAD: myelin oligodendrocyte
- 449 glycoprotein antibody associated disorders; sGFAP: serum glial fibrillary acidic protein; sNfL: serum
- 450 neurofilament light chain.

#### 452 **Table e-2.** Group comparison of sGFAP and sNfL between normal and abnormal visual function group in

453 MOGAD subjects

	P	100 Latency		LogMAR HCVA		LogMAR LCVA			
	Normal	Abnormal	<i>p</i> -value	Normal	Abnormal	<i>p</i> -value	Normal	Abnormal	<i>p</i> -value
sGFAP (pg/ml)	92.19 [76.13	64.41 [56.39	0.754	82.27 [56.82	80.73 [69.64	0.770	75.69 [56.82	93.37 [71.18 –	0.504
Median [IQR]	- 94.10]	- 172.60]	01701	- 108.78]	- 148.27]	01,70	- 101.01]	189.81]	0.001
sNfL age-adjusted	0.12 [-0.86	0.34 [-0.55		0.29 [-0.70	0.72 [-0.64		-1.02 [-2.07	0.68 [-0.45 –	
Z-score	- 1.28]	- 1.16]	0.479	- 0.87]	- 2.07]	0.599	- 0.80]	1.52]	0.414
Median [IQR]									

454 Group comparison analyzed with Wilcoxon rank-sum test in all 16 MOGAD patients.

455 <u>Abbreviations:</u> HCVA: high-contrast visual acuity; IQR: interquartile range; LCVA: low-contrast visual

456 acuity; logMAR: logarithm of the minimum angle of resolution; MOGAD: myelin oligodendrocyte

457 glycoprotein antibody associated disorders; sGFAP: serum glial fibrillary acidic protein; sNfL: serum

- 458 neurofilament light chain.
- 459
- 460 Table e-3. Association of sGFAP with foveal morphometry parameters in subgroups of AQP4-IgG<sup>+</sup>
- 461 NMOSD subjects with EDSS  $\leq 3$  or > 3 and last attack within  $\leq 1$  year or > 1 year

		ED	SS	Time since last	non-ON attack
		$\leq$ 3.0 (N = 17)	> 3.0 (N = 17)	$\leq$ 1 Year (N = 12)	> 1 Year (N = 22)
	ß (95%CI)	-0.50 (-1.23 to	-0.28 (-0.85 to	-0.44 (-1.13 to	0.58 (-0.11 to
Average rim		0.23)	0.29)	0.25)	1.27)
disk diameter	<i>p</i> -value	0.215	0.358	0.264	0.094
(mm)	$\eta_{\rho}^2$ for interaction	$m^2 - 7.80 c^3$ $m = 0.700$		$n^2 = 2.86e^{-5}$ $n = 0.982$	
	with sGFAP, <i>p</i> -value	ηρ 7.070	, <i>p</i> 0.700	$\eta_p = 2.800^\circ, p = 0.982^\circ$	
	в (95%CI)	-0.21 (-0.95 to	0.09 (-0.48 to	-0.22 (-1.00 to	-0.18 (-0.96 to
Average slope	p (50,001)	0.53)	0.66)	0.56)	0.60)
disk diameter	<i>p</i> -value	0.603	0.772	0.610	0.666
(mm)	$\eta_p^2$ for interaction with sGFAP, <i>p</i> -value	$\eta_{p}^{2} = 5.65 e^{-3}, p = 0.744$		$\eta_{\rho}{}^2 = 5.86e$	$p^{-3}, p = 0.741$

	B (95%CI)	-0.23 (-0.97 to	0.08 (-0.53 to	0.04 (-0.82 to	-0.16 (-0.92 to	
Average pit flat	β (95%C1)	0.51)	0.69)	0.90)	0.60)	
disk diameter	<i>p</i> -value	0.565	0.811	0.925	0.685	
(mm)	$\eta_{\rho}^2$ for interaction with sGFAP, <i>p</i> -value	$\eta_{\rho}{}^2 = 8.17e$	$p^{-5}, p = 0.969$	$\eta_{\rho}^2 = 1.68e^{-3}, p = 0.860$		
Inner rim	β (95%CI)	0.12 (-0.66 to 0.90)	-0.29 (-0.88 to 0.30)	-0.06 (-0.88 to 0.76)	0.09 (-0.71 to 0.89)	
volume (mm <sup>3</sup> )	<i>p</i> -value	0.769	0.347	0.882	0.831	
	$\eta_{\rho}^{2}$ for interaction with sGFAP, <i>p</i> -value	${\eta_\rho}^2=0.02$	, <i>p</i> = 0.528	$\eta_{\rho}{}^2 = 1.50e$	$p^{-3}, p = 0.868$	
	B (05%CI)	-0.48 (-1.21 to	-0.44 (-1.01 to	-0.5 (-1.17 to	-0.61 (-1.24 to	
Dim an have	p (9376C1)	0.25)	0.13)	0.17)	0.02)	
Kim volume	<i>p</i> -value	0.227	0.158	0.203	0.079	
()	$\eta_{\rho}^2$ for interaction with sGFAP, <i>p</i> -value	$\eta_{\rho}{}^2 = 1.69e$	-3, p = 0.859	$\eta_{\rho}^2 = 5.39e^{-3}, p = 0.752$		
	B (95%CI)	-0.49 (-1.18 to	-0.26 (-0.89 to	-0.43 (-1.21 to	-0.56 (-1.15 to	
Average nit	p (9570C1)	0.20)	0.37)	0.35)	0.03)	
denth (mm)	<i>p</i> -value	0.195	0.437	0.331	0.085	
	$\eta_{\rho}^{2}$ for interaction with sGFAP, <i>p</i> -value	$\eta_{\rho}^2 = 4.67e$	$p^{-3}, p = 0.768$	$\eta_{\rho}^2 = 7.53 e^{-3}, p = 0.709$		
	в (95%CI)	-0.50 (-1.30 to	-0.72 (-1.15 to -	-0.81 (-1.40 to -	-0.66 (-1.42 to	
Average rim	p (5576C1)	0.30)	0.29)	0.22)	0.10)	
height (mm)	<i>p</i> -value	0.252	0.008	0.042	0.116	
neight (mm)	$\eta_{\rho}^{2}$ for interaction with sGFAP, <i>p</i> -value	$\eta_{\rho}^2 = 4.39e$	$p^{-3}, p = 0.776$	$\eta_^2=0.11$	, <i>p</i> = 0.152	
Central foveal	B (95%CI)	0.13 (-0.68 to	-0.38 (-0.94 to	-0.15 (-1.06 to	0.15 (-0.58 to	
thickness (mm)	h (222001)	0.94)	0.17)	0.76)	0.88)	
	<i>p</i> -value	0.760	0.209	0.762	0.696	
	$\eta_{\rho}^2$ for interaction with sGFAP, <i>p</i> -value	$\eta_{\rho}^2 = 0.04, p = 0.374$		$\eta_{\rho}^2 = 0.03, p = 0.462$		

462 Analyzed with linear mixed effect model (dependent variables: OCT measures; independent fixed effect

- 463 for normalized rank-transformed sGFAP and age; random intercepts for subjects) in 34 non-ON eyes from
- 464 25 AQP4-IgG<sup>+</sup> NMOSD patients. An interaction term of normalized rank-transformed sGFAP and each
   465 sub-group was included to assess the inter-group differences.
- 466 <u>Abbreviations:</u> AQP4-IgG: aquaporin-4 immunoglobulin G; β: standardized estimate; CI: confidence
- 467 interval;  $\eta_{\rho}^2$ : partial eta-squared; EDSS: Expanded Disability Status Scale; N: number of eyes; ON: optic
- 468 neuritis; sGFAP: serum glial fibrillary acidic protein.
- 469
- 470**Table e-4.** Association of sNfL age-adjusted Z-score with retinal layer thickness and foveal morphometry471parameters in subgroups of AQP4-IgG<sup>+</sup> NMOSD subjects with EDSS  $\leq 3$  or > 3 and last attack within  $\leq 1$
- 472 year or > 1 year

		EI	DSS	Time since last	non-ON attack	
		$\leq$ 3.0 (N = 17)	> 3.0 (N = 17)	$\leq 1$ Year (N = 12)	> 1 Year (N = 22)	
	ß (95%CI)	-0.42 (-1.05 to	-0.14 (-0.61 to	-0.26 (-0.93 to	-0.45 (-0.92 to	
pRNFL thickness	μ (557601)	0.21)	0.33)	0.41)	0.02)	
	<i>p</i> -value	0.224	0.571	0.474	0.084	
	$\eta_{\rho}^{2}$ for interaction with	$n_{e}^{2} = 6.46e$	-3, $p = 0.713$	$n_{o}^{2} = 4.86e$	-4, n = 0.919	
	sNfL, <i>p</i> -value	ηρ 01.00	,p 00010	$\eta_{\rho} = 4.000$ , $p = 0.919$		
	ß (95%CI)	-0.10 (-0.79 to	-0.69 (-1.22 to -	-0.71 (-1.45 to	-0.11 (-0.70 to	
mPNEL thickness	p (307001)	0.59)	0.16)	0.03)	0.48)	
(µm)	<i>p</i> -value	0.788	0.030	0.117	0.726	
	${\eta_\rho}^2$ for interaction with	$n_{2}^{2} = 0.12$	p = 0.124	$\eta_0^2 = 0.08, p = 0.210$		
	sNfL, <i>p</i> -value	.th	, p 0.121	ηρ 0.00, μ 0.210		
	ß (95%CI)	-0.43 (-1.10 to	-0.44 (-1.05 to	-0.60 (-1.34 to	-0.34 (-0.97 to	
GCIPL thickness	p ()	0.24)	0.17)	0.14)	0.29)	
(um)	<i>p</i> -value	0.235	0.195	0.161	0.315	
	${\eta_\rho}^2$ for interaction with	$n^2 = 1.30e$	-5 $n = 0.988$	$n^2 = 0.06$	n = 0.290	
	sNfL, <i>p</i> -value	ηρ 1.500	, <i>p</i> 0.700	Цр 0.00	$\eta_{\rm p} = 0.06, p = 0.290$	
Average rim disk	в (95%СІ)	-0.45 (-1.06 to	-0.18 (-0.75 to	-0.58 (-1.17 to	-0.22 (-0.75 to	
diameter (mm)	r (******)	0.16)	0.39)	0.01)	0.31)	

		0.10.6	0.551	0.40 <b>-</b>	<u> </u>	
	<i>p</i> -value	0.186	0.551	0.105	0.432	
	$\eta_{\rho}^2$ for interaction with sNfL, <i>p</i> -value	${\eta_\rho}^2=0.05$	, <i>p</i> = 0.340	$\eta_{\rho}{}^2=0.01$	, <i>p</i> = 0.604	
	Q (059/CI)	0.40 (-0.21 to	0.17 (-0.38 to	0.06 (-0.70 to	0.30 (-0.25 to	
Average slope	р (95%С1)	1.01)	0.72)	0.82)	0.85)	
disk diameter	<i>p</i> -value	0.231	0.557	0.877	0.289	
(mm)	$\eta_{\rho}^2$ for interaction with sNfL, <i>p</i> -value	$\eta_{\rho}{}^2=0.01$	, <i>p</i> = 0.648	$\eta_{\rho}{}^2=0.02$	, <i>p</i> = 0.501	
	B (05%/CI)	0.20 (-0.45 to	0.18 (-0.43 to	0.09 (-0.81 to	0.24 (-0.31 to	
Average pit flat	β (95%C1)	0.85)	0.79)	0.99)	0.79)	
disk diameter	<i>p</i> -value	0.561	0.580	0.857	0.460	
(mm)	$\eta_{\rho}^2$ for interaction with sNfL, <i>p</i> -value	$\eta_{\rho}{}^2 = 6.35e$	<sup>-4</sup> , <i>p</i> = 0.914	$\eta_{\rho}^2 = 9.64 e^{-3}, p = 0.675$		
		-0.41 (-1.04 to	-0.34 (-0.89 to	-0.19 (-0.95 to	-0.37 (-0.92 to	
Inner rim volume	β (95%Cl)	0.22)	0.21)	0.57)	0.18)	
(mm <sup>3</sup> )	<i>p</i> -value	0.234	0.260	0.645	0.210	
	$\eta_{\rho}^2$ for interaction with sNfL, <i>p</i> -value	$\eta_{\rho}{}^2 = 4.02e$	$^{-4}, p = 0.931$	$\eta_{\rho}^2 = 0.02, p = 0.518$		
	β (95%CI)	-0.59 (-1.14 to -	-0.35 (-0.92 to	-0.63 (-1.20 to -	-0.38 (-0.89 to	
Rim volume		0.04)	0.22)	0.06)	0.13)	
(mm <sup>3</sup> )	<i>p</i> -value	0.066	0.255	0.074	0.171	
	$\eta_{\rho}^2$ for interaction with sNfL, <i>p</i> -value	$\eta_{\rho}^{2} = 0.06$	, <i>p</i> = 0.279	$\eta_{\rho}^2 = 0.01, p = 0.663$		
	ß (95%CI)	-0.55 (-1.08 to -	-0.23 (-0.84 to	-0.47 (-1.20 to	-0.30 (-0.79 to	
Average nit denth	p (557001)	0.02)	0.38)	0.26)	0.19)	
(mm)	<i>p</i> -value	0.074	0.483	0.256	0.252	
	$\eta_{\rho}^2$ for interaction with sNfL, <i>p</i> -value	$\eta_^2=0.02$	, <i>p</i> = 0.523	$\eta_{\rho}^{2} = 0.02$	$\eta_{ ho}^2 = 0.02, p = 0.590$	
Average rim	<u> в (95%CD</u>	-0.59 (-1.22 to	-0.60 (-1.17 to -	-0.72 (-1.37 to -	-0.52 (-1.07 to	
height (mm)	p (557601)	0.04)	0.03)	0.07)	0.03)	
norgin (mini)	<i>p</i> -value	0.099	0.062	0.071	0.085	

	$\eta_{p}^{2}$ for interaction with sNfL, <i>p</i> -value	$\eta_{\rho}^2 = 3.43e^{-3}, p = 0.803$		$\eta_p^2 = 0.02, p = 0.580$	
Central foveal	0. (0.50/ CI)	-0.15 (-0.84 to	-0.34 (-0.90 to	-0.04 (-0.91 to	-0.23 (-0.78 to
thickness (mm)	β (95%C1)	0.53)	0.22)	0.82)	0.31)
	<i>p</i> -value	0.667	0.256	0.923	0.416
	$\eta_{\rho}^2$ for interaction with sNfL, <i>p</i> -value	$\eta_{\rho}^2 = 0.01, p = 0.648$		$\eta_{\rho}{}^2=0.01$	, <i>p</i> = 0.612

Analyzed with linear mixed effect model (dependent variables: OCT measures; independent fixed effect
for sNfL age-adjusted Z-score; random intercepts for subjects) in 34 non-ON eyes from 25 AQP4-IgG<sup>+</sup>
NMOSD patients. An interaction term of sNfL age-adjusted Z-score and each sub-group was included to
assess the inter-group differences.

477 <u>Abbreviations:</u> AQP4-IgG: aquaporin-4 immunoglobulin G;  $\beta$ : standardized estimate; CI: confidence 478 interval;  $\eta_{\rho}^2$ : partial eta-squared; EDSS: Expanded Disability Status Scale; GCIPL: combined macular 479 ganglion cell and inner plexiform layer; mRNFL: macular retinal nerve fiber layer; N: number of eyes; ON: 480 optic neuritis; pRNFL: peri-papillary retinal nerve fiber layer; sNfL: serum neurofilament light chain.

Table e-5. Association of sGFAP and sNfL with foveal morphometry parameters in AQP4-IgG<sup>+</sup> NMOSD
subjects with or without a history of ON.

	Normalized	rank-transformed	sGFAP	sNfL age-adjusted Z-score		
		ON History		ON History		istory
		$ON^{+}(N = 22)$	$ON^{-}(N = 34)$		$ON^{+}(N = 22)$	$ON^{-}(N = 34)$
	β (95%CI)	-0.13 (-0.59 to 0.34)	-0.44 (-0.89 to 0.01)	β (95%CI)	0.04 (-0.40 to 0.48)	-0.31 (-0.67 to 0.04)
pRNFL thickness (µm)	<i>p</i> -value	0.604	0.065	<i>p</i> -value	0.868	0.095
	$\eta_{\rho}^2$ for interaction with sGFAP, <i>p</i> -value	$\eta_{\rho}{}^2=0.06,$	p = 0.071	$\eta_{\rho}^2$ for interaction with sNfL, <i>p</i> -value	$\eta_p^2 = 0.02, p = 0.329$	
mRNFL	β (95%CI)	-0.07 (-0.56 to 0.41)	-0.91 (-1.31 to - 0.51)	β (95%CI)	-0.18 (-0.66 to 0.31)	-0.45 (-0.90 to 0.00)
thickness (µm)	<i>p</i> -value	0.778	2.78 e <sup>-4</sup>	<i>p</i> -value	0.485	0.060
	$\eta_{\rho}^2$ for interaction	$\eta_{\rho}{}^2=0.07,$	p = 0.060	$\eta_{\rho}{}^2$ for interaction	$\eta_{\rho}{}^2 = 5.83e^{-1}$	$^{4}, p = 0.868$

	with sGFAP, <i>p</i> -value			with sNfL, <i>p</i> -value		
COIN	β (95%CI)	-0.11 (-0.60 to 0.39)	-0.75 (-1.23 to - 0.27)	β (95%CI)	-0.22 (-0.72 to 0.27)	-0.48 (-0.91 to - 0.05)
GCIPL	<i>p</i> -value	0.678	0.007	<i>p</i> -value	0.396	0.039
thickness (μm)	$\eta_{\rho}^2$ for interaction with sGFAP, <i>p</i> -value	$\eta_^2=0.11$	, <i>p</i> = 0.032	$\eta_{\rho}^2$ for interaction with sNfL, <i>p</i> -value	$\eta_{\rho}{}^2 = 5.76e$	<sup>3</sup> , <i>p</i> = 0.622
Average rim	β (95%CI)	0.08 (-0.41 to 0.56)	-0.55 (-0.96 to - 0.14)	β (95%CI)	-0.20 (-0.65 to 0.25)	-0.40 (-0.77 to - 0.02)
disk diameter	<i>p</i> -value	0.767	0.019	<i>p</i> -value	0.405	0.052
(mm)	$\eta_{\rho}^2$ for interaction with sGFAP, <i>p</i> -value	$\eta_^2=0.11$	, <i>p</i> = 0.074	$\eta_{\rho}^2$ for interaction with sNfL, <i>p</i> -value	$\eta_{\rho}^2 = 0.05$	, <i>p</i> = 0.227
Average slope	β (95%CI)	0.11 (-0.37 to 0.60)	-0.19 (-0.70 to 0.32)	β (95%CI)	-0.15 (-0.63 to 0.32)	0.18 (-0.23 to 0.59)
disk diameter	<i>p</i> -value	0.648	0.469	<i>p</i> -value	0.530	0.402
(mm)	$\eta_{\rho}^2$ for interaction with sGFAP, <i>p</i> -value	$\eta_p{}^2=6.77e$	$p^{-3}, p = 0.667$	$\eta_{\rho}^{2}$ for interaction with sNfL, <i>p</i> -value	$\eta_{\rho}{}^2 = 0.01$	, <i>p</i> = 0.566
Average pit flat	β (95%CI)	-0.01 (-0.53 to 0.52)	0.05 (-0.50 to 0.60)	β (95%CI)	-0.02 (-0.52 to 0.49)	0.21 (-0.24 to 0.66)
disk diameter	<i>p</i> -value	0.978	0.874	<i>p</i> -value	0.943	0.368
(mm)	$\eta_{\rho}^2$ for interaction with sGFAP, <i>p</i> -value	$\eta_p{}^2=0.01$	, <i>p</i> = 0.547	$\eta_{\rho}^{2}$ for interaction with sNfL, <i>p</i> -value	$\eta_p^2 = 0.03, p = 0.326$	
Inner rim	β (95%CI)	-0.06 (-0.55 to 0.43)	0.02 (-0.51 to 0.55)	β (95%CI)	0.09 (-0.39 to 0.56)	-0.26 (-0.69 to 0.17)
volume (mm <sup>3</sup> )	<i>p</i> -value	0.813	0.932	<i>p</i> -value	0.729	0.234
	$\eta_{\rho}^2$ for interaction with sGFAP, <i>p</i> -value	$\eta_{\rho}{}^2=7.81e$	$p^{-3}, p = 0.633$	$\eta_{\rho}^{2}$ for interaction with sNfL, <i>p</i> -value	$\eta_{\rho}{}^2=2.54e$	$^{-3}, p = 0.786$
Rim volume	β (95%CI)	0.04 (-0.45 to 0.53)	-0.60 (-1.01 to - 0.19)	β (95%CI)	-0.14 (-0.61 to - 0.33)	-0.52 (-0.87 to - 0.17)
(mm <sup>3</sup> )	<i>p</i> -value	0.876	0.011	<i>p</i> -value	0.577	0.010
	$\eta_{\rho}^2$ for interaction with sGFAP, <i>p</i> -value	${\eta_\rho}^2=0.13$	, <i>p</i> = 0.042	$\eta_{\rho}^2$ for interaction with sNfL, <i>p</i> -value	$\eta_{\rho}^2 = 0.05$	, <i>p</i> = 0.210
Average pit	β (95%CI)	0.06 (-0.47 to 0.58)	-0.59 (-0.63 to - 0.55)	β (95%CI)	-0.23 (-0.71 to 0.25)	-0.45 (-0.84 to - 0.06)
1 ( )	<i>p</i> -value	0.832	0.020	<i>p</i> -value	0.357	0.034

	$\eta_{\rho}^2$ for interaction with sGFAP, <i>p</i> -value	$\eta_{\rho}^2 = 0.14, p = 0.046$		$\eta_{\rho}^2$ for interaction with sNfL, <i>p</i> -value	$\eta_{\rho}{}^2=3.09e^{-1}$	<sup>4</sup> , <i>p</i> = 0.926
Average rim	β (95%CI)	0.06 (-0.46 to 0.58)	-0.79 (-1.24 to - 0.34)	β (95%CI)	-0.14 (-0.64 to 0.35)	-0.63 (-1.02 to - 0.24)
height (mm)	<i>p</i> -value	0.819	0.003	<i>p</i> -value	0.575	0.004
	$\eta_{\rho}^2$ for interaction with sGFAP, <i>p</i> -value	$\eta_{ ho}^2 = 0.16, p = 0.013$		$\eta_{\rho}^2$ for interaction with sNfL, <i>p</i> -value	$\eta_{\rho}^2 = 0.02, p = 0.394$	
Central foveal thickness (mm)	β (95%CI)	-0.04 (-0.54 to 0.47)	0.11 (-0.42 to 0.64)	β (95%CI)	0.17 (-0.29 to 0.63)	-0.05 (-0.50 to 0.40)
	<i>p</i> -value	0.889	0.690	<i>p</i> -value	0.486	0.838
	$\eta_{\rho}^2$ for interaction with sGFAP, <i>p</i> -value	$\eta_{\rho}{}^2=0.02$	, <i>p</i> = 0.478	$\eta_{\rho}^2$ for interaction with sNfL, <i>p</i> -value	$\eta_{\rho}^2 = 0.03,$	<i>p</i> = 0.329

Analyzed with linear mixed effect model (dependent variables: OCT measures; independent fixed effect for normalized rank-transformed sGFAP and age or sNfL age-adjusted Z-score; random intercepts for subjects) in 56 eyes from 33 AQP4-IgG<sup>+</sup> NMOSD patients. An interaction term of normalized ranktransformed sGFAP or sNfL age-adjusted Z-score and each sub-group was included to assess the intergroup differences.

489 <u>Abbreviations:</u> AQP4-IgG: aquaporin-4 immunoglobulin G;  $\beta$ : standardized estimate; CI: confidence 490 interval;  $\eta_{\rho}^2$ : partial eta-squared; GCIPL: combined macular ganglion cell and inner plexiform layer; 491 mRNFL: macular retinal nerve fiber layer; N: number of eyes; ON: optic neuritis; pRNFL: peri-papillary 492 retinal nerve fiber layer; sGFAP: serum glial fibrillary acidic protein; sNfL: serum neurofilament light chain. 493

494 **Figure e-1.** Non-ON eyes selection for OCT analyses in AQP4-IgG<sup>+</sup> NMOSD and MOGAD patients

#### AQP4-IgG<sup>+</sup> NMOSD



495

<u>Abbreviations:</u> AQP4-IgG: aquaporin-4 immunoglobulin G; MOGAD: myelin oligodendrocyte
 glycoprotein antibody associated disorders; NMOSD: Neuromyelitis optica spectrum disorder; OCT:
 optical coherence tomography; ON: optic neuritis.

499

#### 500 Statistical software references

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- 502 and effectsize packages.
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#### 522 **Conflict of interest**

- 523 1. T.-Y. Lin, P. Schindler, U. Grittner, A. Lu, A.S. Duchow, S. Jarius, J. Kuhle, P. Benkert and T. Schmitz524 Hübsch report no relevant disclosures.
- 525 2. F.C. Oertel was an employee of Nocturne GmbH and receives research support by the American
  526 Academy of Neurology, the National Multiple Sclerosis Society and Deutsche Gesellschaft für
  527 Neurologie (*German Neurology Society*), unrelated to this work.
- 528 3. S. Motamedi is named as co-inventor on the patent application for the foveal shape analysis method
  529 used by this manuscript ("Method for estimating shape parameters of the fovea by optical coherence
  530 tomography", International Publication Number: "WO 2019/016319 A1").
- 531 4. S.K. Yadav is named as co-inventor on the patent application for the foveal shape analysis method used
  by this manuscript ("Method for estimating shape parameters of the fovea by optical coherence
  tomography", International Publication Number: "WO 2019/016319 A1") and a cofounder of medical
  technology companies Nocturne GmbH.
- 5. A.U. Brandt is cofounder and shareholder of medical technology companies Nocturne GmbH and
   Motognosis GmbH. He is named as inventor on several patent applications describing MS biomarkers,
   visual perceptive computing based motor function analysis, and retinal image analysis.
- 538 6. J. Bellmann-Strobl has received speaking honoraria and travel grants from Bayer Healthcare, and
   539 sanofi-aventis/Genzyme, in addition received compensation for serving on a scientific advisory board
   540 of Roche, unrelated to the presented work.
- 541 7. F. Paul served on the scientific advisory boards of Novartis and MedImmune; received travel funding

542 and/or speaker honoraria from Bayer, Novartis, Biogen, Teva, Sanofi-Aventis/Genzyme, Merck Serono, Alexion, Chugai, MedImmune, and Shire; is an associate editor of Neurology: Neuroimmunology & 543 Neuroinflammation; is an academic editor of PLoS ONE; consulted for Sanofi Genzyme, Biogen, 544 545 MedImmune, Shire, and Alexion; received research support from Bayer, Novartis, Biogen, Teva, Sanofi-Aventis/Geynzme, Alexion, and Merck Serono; and received research support from the German 546 547 Research Council, Werth Stiftung of the City of Cologne, German Ministry of Education and Research, 548 Arthur Arnstein Stiftung Berlin, EU FP7 Framework Program, Arthur Arnstein Foundation Berlin, Guthy-Jackson Charitable Foundation, and NMSS. 549

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