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Gut microbiota differs between children with inflammatory bowel disease and healthy siblings in taxonomic and functional composition: a metagenomic analysis

Knoll, R.L., Forslund, K., Kultima, J.R., Meyer, C.U., Kullmer, U., Sunagawa, S., Bork, P., Gehring, S.

This is a copy of the accepted manuscript, as originally published online ahead of print by the American Physiological Society. The original article has been published in final edited form in:

American Journal of Physiology Gastrointestinal and Liver Physiology 2017 APR 01 ; 312(4): G327-G339 2017 APR 01 (first published online) doi: 10.1152/ajpgi.00293.2016

Publisher: American Physiological Society

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1	Gut microbiota differs between children with Inflammatory Rowel
1 2	Disease and healthy siblings in taxonomic and functional composition
3	- a metagenomic analysis
4	Running Title: Metagenomic analysis of the pediatric IBD microbiome
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6 7	Rebecca L. Knoll ^{*1} , Kristoffer Forslund ^{*2} , Jens Roat Kultima ² , Claudius U. Meyer ¹ , Ulrike Kullmer ¹ , Shinichi Sunagawa ^{2,3} , Peer Bork ^{2,4,5,6} +, Stephan Gehring ¹ +
8	
9	*: These authors contributed equally
10	+: Corresponding authors
11	Affiliations:
12	¹ Children's Hospital, University Medical Center, Johannes Gutenberg University, Mainz 55131, Germany
13	² European Molecular Biology Laboratory, Structural and Computational Biology Unit, 69117 Heidelberg,
14	Germany
15	³ Institute of Microbiology, ETH Zurich, 8092 Zurich, Switzerland.
16	⁴ Molecular Medicine Partnership Unit, University of Heidelberg and European Molecular Biology
17	Laboratory, 69120 Heidelberg, Germany.
18	⁵ Max Delbrück Centre for Molecular Medicine, 13125 Berlin, Germany.
19	⁶ Department of Bioinformatics, Biocenter, Am Hubland, 97074 Würzburg, Germany.
20	
21	Contact information corresponding author:
22	Stephan Gehring
23	Children's Hospital, University Medical Center, Johannes Gutenberg University
24	Langenbeckstraße 1
25	55131 Mainz, Germany
26	Electronic address: stephan.gehring@uni-mainz.de
27	Telephone: +49 (0) 6131 17-3560; Fax number: +49 (0) 6131 17-6624
28	

29 Abstract

30 Current treatment for pediatric IBD patients is often ineffective, with serious side effects.

31 Manipulating the gut microbiota via fecal microbiota transplantation (FMT) is an emerging 32 treatment approach but remains controversial. We aimed to assess the composition of the fecal microbiome through a comparison of pediatric IBD patients to their healthy siblings, evaluating 33 risks and prospects for FMT in this setting. A Case-Control (Sibling) Study was conducted 34 35 analyzing fecal samples of six children with Crohn's Disease (CD), six children with Ulcerative 36 Colitis (UC) and 12 healthy siblings by metagenomic sequencing. In addition, lifetime antibiotic 37 intake was retrospectively determined. Species richness and diversity were significantly reduced in 38 UC patients compared to control (MWU FDR = 0.011). In UC, bacteria positively influencing gut homeostasis e.g. Eubacterium rectale and Faecalibacterium prausnitzii were significantly reduced 39 40 in abundance (MWU FDR = 0.05). Known pathobionts like *Escherichia coli* were enriched in UC patients (MWU FDR = 0.084). Moreover, E. coli abundance correlated positively with that of 41 42 several virulence genes (SCC > 0.65, FDR < 0.1). A shift towards antibiotic resistant taxa in both 43 IBD groups distinguished them from controls (MWU BY FDR = 0.062 in UC, MWU BY FDR =44 0.019 in CD). The collected results confirm a microbial dysbiosis in pediatric UC, and to a lesser 45 extent in CD patients, replicating associations found previously using different methods. Taken 46 together, these observations suggest microbiotal remodeling therapy from family donors, at least for children with UC, as a viable option. 47

48 New and Noteworthy

In this sibling study, prior reports of microbial dysbiosis in IBD patients from 16S rRNA sequencing was verified using deep shotgun sequencing and augmented with insights into the abundance of bacterial virulence genes and bacterial antibiotic resistance determinants, seen against the background of data on the specific antibiotic intake of each of the study participants. The observed dysbiosis, which distinguishes patients from siblings, highlights such siblings as potential donors for microbiotal remodeling therapy in IBD.

- 55
- 56 Keywords: Metagenomics, Microbiome, pediatric gastroenterology, Inflammatory Bowel Diseases, Fecal
- 57 Microbiota Transplantation (FMT)
- 58

59 Abbreviations:

BY FDR	Benjamini-Hochberg-Yekutieli procedure False Discovery rate (adjustment)
CD	Crohn' s Disease
CTRL	Control
(BH) FDR	Benjamini-Hochberg procedure False Discovery Rate (adjustment)
FMT	Fecal Microbiota Transplantation
GABA	gamma-Aminobutyric acid
IBD	Inflammatory Bowel Disease
KW	Kruskal-Wallis test
LRT	likelihood ratio test
mOTUs	metagenomic Operational Taxonomic Units
MWU	Mann-Whitney-U test
PCDAI	Pediatric Crohn's Disease Activity Index
PUCAI	Pediatric Ulcerative Colitis Activity Index
rRNA	ribosomal RNA
SCC	Spearman rank Correlation Coefficient
UC	Ulcerative Colitis

61 Introduction

62 The gut microbiota plays a crucial role in human physiology and host development (41), and 63 maintaining equilibrium between the commensal microbiota and the host immune system is 64 required for a healthy gut homeostasis.

There is increasing evidence for a contribution of the gut microbiome to the etiology of IBD (18). In the past, genome–wide association studies have revealed multiple host gene loci in both UC and CD, with alleles associated with functional aberrations of the intestinal immune system (18). Recent studies based on novel DNA sequencing methods have revealed major differences in bacterial taxonomic composition between IBD patients and healthy individuals (see Appendix Table 1). Still, it remains unclear whether these observed alterations are the cause or result of inflammation.

functional impact of the reported dysbiosis is not well understood (23), and reported associations are largely limited to identifying more general taxa (ranging from bacterial phyla to genera) as being associated with disease, given the limitations of 16S for reliable species identification Whole genome sequencing allows higher resolution and sensitivity than the more common and less expensive 16S rRNA sequencing and offers new insights into the functional context of the IBD microbiome (e.g. abundance of metabolic pathways and the distribution of genes determining virulence or resistance to antibiotics).

79 Current treatment strategies for pediatric IBD patients often come with serious side effects or

80 provide insufficient treatment responses. Therefore, there is a need for novel treatment approaches.

81 Manipulating the gut microbiota via fecal microbiota transplantation (FMT) appears as an

82 intriguingly facile and harmless therapy option for children with IBD. Efficacy in the treatment of

83 pediatric UC via fecal enemas has been suggested (22), and the administration of FMT via

84 nasogastric tube has led to improved well-being in pediatric CD patients (44). Still, its outcome

85 remains controversial, since results from the first two randomized placebo-controlled trials in adults

are in contradiction (29, 36). While no serious adverse events for FMT in children have been

87 reported, data on feasibility and safety in a long-term perspective (e.g. the risk of transferring a

88 pathogenic disease state via FMT) are missing (12). Microbiome-based validation of suitable donors

89 might help better predict treatment outcome (11). The present pilot study therefore aims to elucidate

90 microbiome correlates of juvenile IBD so as to help in designing criteria for when FMT might be

91 employed, using a pediatric cohort of CD and IBD patients as well as their healthy siblings.

92 Methods

93 *Cohort recruitment*

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94 Patients were selected from the IBD patient collective at the Zentrum für Kinder- und 95 Jugendmedizin (University Children's Hospital) Mainz in Mainz, Germany. In all patients IBD 96 diagnosis was histologically confirmed by prior endoscopy. Disease activity was assessed by the 97 Pediatric Ulcerative Colitis Activity Index (PUCAI) (45) and the Pediatric Crohn's Disease Activity Index (PCDAI) (14). In total, fecal samples from six Ulcerative Colitis (UC) patients and six 98 99 Crohn's Disease (CD) patients and from 12 controls were collected from August 2013 to July 2014. 100 Controls were healthy siblings of the IBD patients, sharing the same parents and living in the same 101 households, thereby sharing genetic background, environment and diet. In addition, study 102 participants completed a questionnaire about health/disease status, living conditions, and alimentary 103 habits. Children who had taken antibiotics during the last 2 months prior to sampling were excluded from the study. For additional cohort characteristics see Table 1. Since all study participants are 104 105 older than 8 years, and thus should have relatively stable microbiomes, we do not anticipate age 106 differences between patients and healthy siblings to have a strong impact on results. 107 The study design was approved by the ethics committee of the State Chamber of Physicians of 108 Rhineland-Palatinate (Ethikkomission der Landesärztekammer Rheinland-Pfalz, reference number

- 110 Written informed consent was given by both parents and the study participants prior to sample
- 111 collection and medical assessment.

112 Assessment of lifetime antibiotic intake

- 113 Antibiotic intake of the study population was retrospectively recorded, starting from birth.
- 114 Therefore, all physicians/hospitals who have treated the study participants were contacted and asked
- to transfer their antibiotic prescription data for each child. Out of 306 person years of total
- 116 participant lifetime to date, only 16 (5 %) could not be covered by this assessment.

117 Sample collection

- 118 Fecal samples were collected at home with help of a *stool sampling kit*. The *stool sampling kit*
- 119 consisted of a plastic lining to cover the toilet, two stool sample tubes with spoons, two plastic bags
- and a clipping system for safe closure of the outer bag. Collected samples were stored at home
- between 4°C and 8°C and transferred to the laboratory within 24h. In the laboratory of the Zentrum
- für Kinder- und Jugendmedizin Mainz, Germany, the samples were frozen at -80° C. All samples
- were gathered there and then shipped on dry ice (- 78.5°C) to the European Molecular Biology
- 124 Laboratory (EMBL) in Heidelberg, Germany.

125 Probe processing

- 126 All probe processing was conducted by the Genomics Core Facility, EMBL, Heidelberg. DNA-
- 127 Extraction and Library preparation were performed according to the protocol from Zeller et al. (49).
- 128 Whole genome shotgun sequencing was executed on the Illumina HiSeq 2000/2500 (Illumina, San
- 129 Diego, USA) platform. All samples were paired-end sequenced with a read length of 100 bp and a
- 130 targeted sequencing depth of 5 Gbp(49).

131 Data analysis

132 Data analysis was performed at the Structural and Computational Biology Unit, EMBL, Heidelberg.

133 *Taxonomic profiling*

- 134 Using the MOCAT pipeline (21), gene sequences were annotated to their bacterial taxonomy. Since
- 135 many gut bacteria are known to belong to species for which no genome yet exists in public
- 136 databases, two different alignment procedures were used. The first procedure was based on
- 137 metagenomic operational taxonomic units (mOTUs) (43) which also encompass uncharacterized
- 138 bacteria identified in metagenomic dataset. The second procedure used species clusters defined
- 139 from bacterial genomes for which publicly deposited genomes do exist (27). In this manner as
- 140 complete coverage of the taxonomic composition of the samples as possible was achieved.
- 141 Analysis of bacterial diversity, species richness and evenness
- 142 Based on the mOTU taxonomic composition, Shannon diversity index, species richness and
- 143 evenness were calculated using the vegan R package (http://cran.r-
- 144 project.org/web/packages/vegan/index.html), for details see Zeller et al. 2014 (49).

145 Functional profiling

To gain insight into metabolic functions of the microbiome, the metagenomic catalogue was aligned
to the Kyoto Encyclopedia of Genes and Genomes (KEGG) Database (16). For further details see
Zeller et al., 2014 (49).

149 Identifying virulence factors

For virulence factor profiling of the metagenome samples, reads were mapped to virulence factor gene families via an annotated gene catalog as described in Kultima et al., 2016 (20). For each gene catalog entry annotated to a virulence factor gene family, we traced which sequenced taxa have genes similar enough to the reference that reads originating from them could map to it. For the virulence genes found significantly different under IBD in this study, from sequence alone their specific origin cannot be determined; on average each virulence gene family is found in 87 taxa (See Supplementary Table 2).

157 *Identifying antibiotic resistance genes*

To estimate the abundance and prevalence antibiotic resistance genes in the studied microbiomes, the reference gene catalogue was aligned to the Antibiotic Resistance Genes Database (ARDB)(25), as described in Forslund et al. (9). Metronidazole resistance genes (nim, nimA, nimB, nimC, nimD, nimF) were further annotated through bidirectional best hits between the reference gene catalog and all annotated such sequences from UniProt, otherwise as previously described. Only antibiotic classes which had been taken by at least one individual in the study cohort were considered.

164 For each sample, three measures of antibiotic resistance gene carriage were determined: the raw 165 relative abundance of antibiotic resistance genes, the relative abundance of potentially resistance 166 gene-carrying bacterial species, and the antibiotic resistance potential (abundance of antibiotic 167 resistance genes relative to the abundance of potentially resistance gene-carrying species). The 168 abundance of potentially resistant species was calculated as the fraction of genetic material from 169 each sample that map to species belonging to genera with known examples of species carrying resistance genes of the appropriate type, in the reference genome database used (see Forslund et al. 170 171 (9)).

172 Statistical analysis

In subsequent analyses, all read/base counts were transformed into relative abundances (by division
by the total number of reads/bases sequenced per sample) as described previously by Zeller et al.,
2014 (49).

In order to identify significant differences between sample categories for each metagenomic feature,
a nonparametric Kruskal-Wallis-test (KW) was performed whenever the UC-, CD- and controlgroup were compared. This was followed by pairwise Mann-Whitney-U-tests (MWU) as a post-hoc
procedure.

For data sets not normally distributed, the Wilcoxon signed-rank test was employed to compare sib
pairs directly. Correlation analyses were performed using Spearman rank's correlation coefficient
(SCC), in order to fit potentially non-normal data.

In cases of multiple testing p-value correction via the Benjamini-Hochberg false discovery rate
(FDR)(2) or the Benjamini-Hochberg-Yekutieli procedure (BY) (3) was performed. For corrected pvalues significance threshold was set at < 0.5; corrected p-values ranging 0.05 < FDR < 0.1 we refer
to as approaching significance.

187 To reduce the number of tests for taxonomic abundances and thereby increase statistical power, a 188 pre-selection of hypotheses was applied by collecting microbiome associations described in the 189 literature, including taxa directly associated with IBD or associations projected from such reports by 190 phylogenetic relationships. This collection consisted of all directly reported IBD-associated taxa in 191 the referenced works and their containing taxonomic superclades (see Appendix Table 1). In 192 addition, thus far uncharacterized taxa sorting immediately under each clade previously reported 193 associated with IBD were added, to account for incompleteness of sequenced genomes (e.g. 194 unknown Firmicutes for class analysis, as Firmicutes were reported to be different on the Phylum 195 level, or unknown Clostridia for analysis on the order level, as Clostridia were previously reported 196 to be associated with IBD on the class level); the mOTU technology used here was explicitly 197 designed to allow detection of unculturable human gut taxa.

198 A likelihood ratio test (LRT) was conducted to test whether the abundance of potentially resistant 199 species can be explained by antibiotic selection pressure (as represented through lifetime antibiotic intake) or not. To calculate these likelihood ratios, mixed-effect linear models taking into account 1) 200 201 overall lifetime antibiotic intake and disease status, 2) lifetime antibiotic intake alone, 3) disease 202 status alone and 4) solely a background constant model were compared to each other. Prior to this 203 analysis in each case the general assumptions for linear models were tested (*independence, absence* 204 of co-linearity, homoscedasticity, linearity and normality of the residuals and absence of influential 205 data points) using graphpad (http://graphpad.com/quickcalcs/PValue1.cfm) with p-values calculated for a χ^2 -distribution with one degree of freedom. 206

207 **Results**

208 Pediatric UC patients have reduced microbial biodiversity compared to their healthy siblings

Tests were conducted on the Shannon diversity index to characterize overall gut biodiversity. Only 209 210 in UC patients reduction of biodiversity reached significance compared to controls (MWU, n_{UC} = $6/n_{Control} = 12$, FDR = .011) (Figure 1). Analyzing the data on aggregate, children with UC and CD 211 both displayed significantly reduced species richness (MWU, $n_{UC} = 6/n_{CD} = 6/n_{Control} = 12$, FDR = 212 213 .011, FDR= .045 respectively) (Figure 1) compared to the set of control children. This reduction 214 was significant also when matching each IBD patient to their control sibling in a pairwise test (WT, $n_{UC} = 6/n_{CD} = 5/n_{Control} = 11$, FDR= .048). Similar observations could be made for microbial 215 216 community evenness (see Figure 1). 217 Differences in taxonomic abundances from former 16S rRNA analyses are validated by WGS

Considering those bacterial taxa previously noted as being altered in prevalence or abundance in IBD (cf Appendix Table 1), many of these findings could be recaptured as significant in the present cohort even with its limited size, comparing the set of all controls to the set of each subset of IBD subjects (Figure 2). Pairwise testing of siblings (Wilcoxon rank test) showed the same trends as the aggregated case-control comparison, though due to limited sample numbers, approached significance only for associations of Clostridium (Clostridiacae) and *Eubacterium rectale* to IBD (Supplementary Table 1).

If we do not restrict analysis to validation of 16S results only, power is reduced due to the increased 225 226 number of tested hypotheses. However, 55% (17 out of 31) of the findings described above also 227 show associations with IBD approaching significance without such preselection and with 228 subsequently more stringent FDR correction (Supplementary Table 3). The previously described 229 associations constitute the majority of significant associations found in the unrestricted search, 230 suggesting most obvious associations already were reported at least once from 16S data. However, 231 another four taxa approached significance in the unrestricted test, which had not previously been 232 linked to IBD. On the taxonomic order level, a group of uncharacterized Firmicutes were

diminished in the UC population compared to the healthy cohort. At the level of bacterial genera, Anaerococcus (MR _(Control) 10.83, MR _(UC) 18.33, MR _(CD) 10.00, KW FDR = .093) and a thus far uncharacterized genus belonging to Clostridiales (MR _(Control) 17.08, MR _(UC) 5.83, MR _(CD) 10.00, KW FDR = .093), differed in abundance between IBD subjects and controls. Most importantly,

j

237 *Clostridium ramosum* was significantly enriched in UC patients compared to the set of controls

238 (FDR <.001).

239 Enrichment of pathobiont species and depletion of commensals

240 Reduction of gut homeostasis positively influencing E. rectale and Faecalibacterium prausnitzii 241 were observed in UC and CD samples compared to the set of controls samples, but only the 242 comparison between control and UC samples approached significance (Figure 2). Similarly, the 243 pathobiont Escherichia coli was increased in abundance in both IBD conditions, but approached 244 significance only for UC samples (Figure 2). An increase in *Ruminoccus gnavus* approached 245 significance (at the species cluster level, not significant at mOTU level). Bilophila wadsworthia was 246 decreased in UC, also approaching significance. The pathogen Fusobacterium nucleatum (resolved 247 at the species cluster level, not significant at mOTU level) was found in IBD patients but not in the healthy siblings, with this difference approaching significance for UC patients (Figure 2). 248

249 The abundance of specific virulence factors correlates with the abundance of certain bacterial

250 species

Figure 3 gives an overview of the specific virulence genes, which were significantly increased in

the UC population (CD and UC samples compared to the full set of control samples) of the present

study. To identify correlations with species present in the study cohort, a Spearman correlation

analysis was conducted. Most virulence factors were correlated (Spearman test FDR < 0.1) with

abundance of *E. coli*. All of these genes, except hslT, were previously described and identified as

256 occurring in strains of *E. coli* (see Supplementary Table 2).

257 Abundance of certain families of genes facilitating the survival of bacteria within macrophages and

the evasion of immune response correlated with abundance of particular gut microbial species

259 (Bacteroides vulgatus/Gemella morbillorum). Virulence genes adhD, aslA, sitA, Ndk, fur, gcvT and

260 fepA had no significant correlation with any species found significantly different in abundance

between controls and IBD patients. These observations suggest a broader involvement of those

- 262 genes in UC pathogenesis, such that they may play a role which is not reducible to a simple
- taxonomic difference.
- Abundance of GABA shunt genes is elevated in the IBD microbiome

265 A Kruskal-Wallis test was performed to analyze KEGG pathways and KEGG modules for

significant differences in gene abundance comparing all IBD cases to all controls. Only the KEGG

267 module representing the GABA (gamma-Aminobutyric acid) shunt, considered a potential virulence

factor by some investigators (8), showed such a significant difference with a mean rank of 6.67 for

the Control group, 18.67 for UC and 18.00 for CD; BY <.000.

270 *Little difference in antibiotic intake*

271 Neither the cumulative lifetime antibiotic intake per person nor the mean antibiotic intake per life

272 year was significantly different comparing the full groups of control, UC and CD.

273 In all three groups cephalosporin was the most commonly prescribed antibiotic, followed by

274 penicillin, with highest intake for both in the CD group (Figure 4). Metronidazole was only taken

by IBD patients, and a tetracycline antibiotic was only taken once by one subject in the control

276 group.

277 The resistome of IBD patients and their healthy siblings

278 In accordance with previous studies (9), tetracycline was the antibiotic for which the most

- resistance capacity was found in the microbiomes of the study cohort (Figure 5), followed by
- 280 cephalosporin. No significant difference in the abundance of resistance genes against any antibiotic

281 class was observed comparing the full groups of control, UC and CD samples on aggregate (See 282 Appendix Figure 1). While we augment the ARDB antibiotic resistance gene database with known 283 resistance genes for metronidazole, the antibiotic most commonly prescribed to IBD patients, such 284 genes are still largely uncharacterized. As a result, it is possible we fail to observe an association 285 between such resistance and metronidazole exposure history. 286 The high relative fraction of potentially resistant bacterial species does distinguish the gut 287 microbiome of IBD patients from the microbiomes of controls. Higher abundances of bacterial 288 species where strains with resistance genes have been sequenced were observed for all antibiotic 289 classes (BY FDR = 0.062 for UC; BY FDR = 0.019 for CD) (Fig. 5, see also Appendix Figure 1). To evaluate whether this high abundance of potentially resistant species was due to antibiotic 290 291 selection pressure, a likelihood ratio test was performed testing fits of the data to nested mixed-292 effects linear models. The total abundance of potentially resistant species was modeled from overall 293 lifetime antibiotic intake of each individual together with disease status (Control, UC, CD). A model 294 including both antibiotic intake and disease status did not fit significantly better than a model 295 including group affiliation alone (LRT test, P > 0.4). We therefore at this point cannot refer the 296 greater relative prevalence of potentially resistant microbial taxa in the IBD patients than in their 297 healthy siblings' microbiomes to any higher lifetime antibiotic consumption in these children.

298 Discussion

Our results from comparatively analyzing the microbiome of pediatric IBD patients constitute a deep sequencing-based consolidated validation of findings from previous research which relied mostly on 16S rRNA sequencing (see Appendix Table 1 and Supplementary Table 1). To our knowledge, this is the first study which contrasts metagenomic analysis of antibiotic resistance genes in relation to lifetime antibiotic intake of study participants in an IBD cohort. We also provide the first direct metagenomic functional profiling of pediatric IBD samples, including analysis of virulence factor differential abundance in cases versus controls. By a study design using as controls healthy siblings of IBD participants sharing similar diet and living circumstances, we are able to
minimize potential confounding factors. In addition, although we expected higher antibiotic
consumption within the patient population, which could have provided an alternate interpretation of
the observed dysbiosis, antibiotic intake was not significantly higher among pediatric IBD patients
than other children.

Dysbiosis of the intestinal microbiome may involve reduced microbial diversity, enrichment of potentially pathogenic taxa and/or depletion of beneficial microbiota (31). Microbial dysbiosis has been observed in numerous disease conditions, e.g. colorectal carcinoma (40), hypertension (48), psoriatic arthritis (37), diabetes mellitus type 1 (42) and type 2 (17) and especially in pediatric IBD (see Appendix Table 1).

316 Several research groups observed how microbial diversity and the amount of beneficial commensals 317 is reduced in the microbiome of both pediatric IBD conditions (10, 28, 39). At the same time, 318 potential pathobionts like E. coli are more prevalent in the IBD microbiome. These findings are 319 quantifiable using the present setup and we were able to validate them. While in the present study, 320 we find more microbiome associations of UC than of CD, previous studies found stronger such 321 associations to CD (see Appendix Table 1 and Supplementary Table 1). As we observe similar 322 trends in both IBD subtypes for most comparison, we interpret this as that our small sample size 323 may make us underpowered to detect many of the associations to CD, which larger studies using 324 similar methodology may be able to recover.

325 Decrease of gut homeostasis-promoting species in the IBD microbiome

The gut homeostasis-promoting bacterial species *F. prausnitzii* and *E. rectale* were depleted in both IBD conditions in the present cohort. This observation is in consensus with former microbiome analysis of UC and CD patients relying on 16S rRNA sequencing (6, 10, 26). Both species are important producers of short-chain fatty acids (SCFA), including propionate and butyrate (34).

- 330 SCFAs are among the most central metabolites produced by microbes, influencing both colon
- 331 physiology and the intestinal immune system (30).

332 Increase of E. coli and F. nucleatum in pediatric IBD

333 In contrast, pathobionts such as E. coli and F. nucleatum were enriched in the IBD microbiome. 334 This is in agreement with former 16S rRNA analyses, where E. coli was increased in the IBD 335 microbiome (6, 28, 39). E. coli, especially its subspecies adherent-invasive E. coli (AIEC), has been 336 directly implicated in IBD development (23). A strong correlation was observed between E. coli 337 abundance and that of genes implicated in the expression of fimbriae or leading to the adhesion of 338 bacteria to the intestinal mucosa. Those genes are characteristic of pathogenic E. coli. subspecies 339 (e.g. enterohaemorrhagic, uropathogenic E. coli) (1, 5). However, most of these genes are found 340 also in many other bacterial taxa, and as such it cannot be ruled out that other bacterial species also 341 may contribute to the observed increase of virulence capacity in IBD patients (see Supplementary 342 Table 2).

The strong correlation of the abundance of virulence genes enriched in the UC microbiome with *E. coli* abundance suggests that this species is a central driver of a functional shift towards virulence in UC patients of the present cohort, underscoring its potential role as an IBD partial cause.

346 *Survival strategies of bacteria – relating virulence capacity to pathology*

347 The virulence genes enriched in the UC microbiome included TonB, sitA, IroN, fepA and Fur. They 348 are all genes implicated in metal acquisition of Enterobacteria, where the last is the key regulator of 349 iron transport systems (33). The enriched iron uptake/transport systems contribute to the virulence 350 of their host bacteria by counteracting the so called nutritional immunity which deprives bacteria of 351 iron. The homeostasis of intracellular iron concentrations is maintained by Fur (the ferric uptake 352 regulator), since high intracellular accumulation also is toxic for the bacteria (32). In its role as a 353 sensor for iron availability, Fur is also able to regulate directly or indirectly (through iron 354 concentration) the expression of several other virulence factors in pathogenic bacteria.

355 Adaptation of microbes in IBD to a lower environmental pH, as a feature of inflammation, is

reflected by higher abundance of the genes encoding the GABA shunt module in the IBD

357 microbiomes studied here (Figure 4B). The GABA shunt supplies bacteria with nitrogen and

358 promotes their survival in acidic conditions and other environmental stresses (8). Feehily and

359 Karatzas therefore suggested that this module could facilitate microbial pathogenicity.

360 *Exploration of the IBD resistome in correlation with antibiotic consumption*

361 Several studies have displayed a significant relation of antibiotic intake in childhood with the later

development of IBD, particularly Crohn's Disease (13, 19, 47). Analogously, antibiotic

363 consumption often exacerbates dysbiosis, seen as a reduced species richness and the bloom of

pathogens (e.g. *Clostridium difficile*) in the gut microbiome (46). In addition, administration of

antibiotics not only leads to shifts in taxonomic composition, but also induces the lateral transfer

and spread of antibiotic resistance genes in a microbial ecosystem (38).

367 Notably, the quantification of antibiotic resistance genes in the microbiomes of the present cohort 368 revealed no significant difference in the relative abundance of these genes between IBD patients 369 and their healthy siblings, and similar retrospective assessments of antibiotic intake in both groups. 370 In other words, while it seems unlikely the IBD discordance within the sib pairs can be explained by differences between siblings in antibiotic exposure, the possibility remains that the families 371 372 themselves differ from other families in both antibiotic exposure and IBD prevalence, highlighting the inter-sibling differences in microbiome composition as rather reflecting IBD risk keeping 373 374 antibiotic exposure constant. It is further conceivable that resistant bacteria acquired from intra-host 375 adaptation to antibiotic treatment or from healthcare setting exposure may propagate between 376 family members living in close contact. In contrast, a significant shift in both IBD conditions towards a higher abundance of species potentially carrying antibiotic resistance genes was revealed. 377 378 This measure could not be reliably predicted from individual antibiotics use history within the 379 present dataset, however.

Besides antibiotic treatment, intake of IBD medications has recently been lined out as a covariate of microbiome variation in a large-scale study (7). Due to cohort size, we had not the power to detect such effects, although they need to be assumed (Table 1).

383 *Clinical implementation of study results – are siblings suitable donors in FMT?*

It has been argued whether or not siblings or near relatives are suitable choices for donors in FMT, 384 385 because they share genetic and environmental risk factors with the patients (4). A recent study on 386 the IBD microbiome in pediatric patients and their first-grade family members suggested a diseaserelated microbial and metabolomic state in some relatives, suggesting this risk may be relevant (15). 387 388 Moreover, Li et al. (24) recently outlined the importance of the compatibility of the donor and 389 recipient microbiomes to receive persistent responses from FMT treatment. They concluded that 390 each recipient will need an idiosyncratic donor to attain successful colonization by allogenic strains. 391 Donor strains will colonize more successfully when the species is already present in the recipient 392 microbiome. In the present study, gut microbial diversity (Shannon diversity index) and particularly 393 species richness was significantly diminished in IBD patients when comparing each patient with 394 their sibling. This observation of overall dysbiosis in pediatric IBD suggests that healthy siblings, 395 who have more analogy than total strangers, but still provide a healthier microbiome composition, 396 may indeed be suitable as donors from an efficacy point of view.

397 Future outlook

This study must be considered a pilot effort, by design and given the limited number of subjects included. A future study with more pediatric IBD patients and healthy siblings would yield greater statistical power and will hereby provide both further validation (esp. intra-sibling disparity) and the potential discovery of novel associations, which was very limited at the present time for gut microbial taxonomic correlates of IBD, as our research was mainly based on previous 16S rRNA findings (Appendix Table 1). Likewise, it would be very interesting to further employ direct sib pair comparisons, e.g. regarding the analyses of virulence and resistance genes. However, in the present

405 study the efficacy of direct sib pair comparison was limited for reasons of statistical power, and 406 most analysis therefore relied on aggregated analysis (comparing the sets of all control samples to 407 the samples in the UC/CD-cohorts), obscuring intra-sibling disparity. The additional analysis of 408 tissue samples should be considered in the future, as recent research has demonstrated a discrepancy 409 between luminal and mucosal samples in microbial composition (35) and as deep sequencing of 410 bioptic samples is becoming more feasible. Today, a crucial limitation inherent to all functional 411 metagenomic studies, excluding those using direct selection, is that only known gene families can 412 be quantified for generation of functional profiles. Consequently, it is possible that results are 413 reflecting research biases e.g. virulence factors to be better known in some taxa than others. With 414 databases of curated resistance determinants growing in scope, and with technologies for large-scale 415 functional screen metagenomics gradually maturing, these difficulties should decrease in coming 416 years. We anticipate further studies building on top of what is reported here.

417

418 Conclusion

419 Our observations of dysbiosis, higher abundance of virulence factors and a shift towards gut 420 bacterial taxa with known resistance gene carrying strains in the IBD population provide evidence 421 for siblings as appropriate potential stool donors for FMT treatment of afflicted children. Pediatric 422 IBD patients differ from their healthy siblings in several regards that can be linked to the disease. Employing such siblings as donors would further facilitate the possibility of "home-done" 423 424 transplantation. Furthermore, the ethical, esthetic and clinical barriers against stool transplants 425 likely are easier to overcome with respect to a close relative donor than in the case of an outside / 426 adult stool donor. The same holds regarding considerations of the potential transmission of 427 infectious diseases and the adaptations of the microflora to dietary habits, where siblings dwelling 428 in the same home already should be similar.

- 429 Finally, our observations suggest utility of taking metagenomic measurements of microbial
- 430 dysbiosis in both donor and recipient pre- and post-procedure in order to correlate FMT outcome
- 431 with microbiome characteristics.

432 Appendix

433 Appendix Table 1: Bacteria found associated with inflammatory bowel diseases by previous work groups = literature selection.

434 \uparrow/\downarrow = increase/decrease in patients with IBD in comparison with healthy controls; $\uparrow CD /\downarrow CD$ = increase/decrease in patients with Crohn's Disease; $\uparrow UC /\downarrow UC$ = increase/decrease in patients with Ulcerative Colitis; 435 Superscripted numbers are referring to the authors, see reference table below.

Phylum	Class	Order	Family	Genus	Species
Bacteroidetes ↓ ² ↑ ^{4,8} ↑CD ⁵	Bacteroidetes	Bacteroidales ↓CD ⁶	Porphyromonadaceae	Odoribacter ↓ ¹ Parabacteroides ↓CD ⁶	
			Bacteroidaceae	Bacteroides ↑ ⁸ ↓ ¹⁷ ↓CD ⁶	B. thetaiotaomicron \downarrow^2 B. vulgatus $\downarrow CD^6$ B. caccae $\downarrow CD^6$
			Prevotellaceae	Prevotella ↓UC ³	
			Rikenellaceae ↓CD ⁶	Alistipes	
Firmicutes ↓ ^{2,4} ↓UC ¹⁴	utes ↓ ^{2,4} ↓UC ¹⁴ Clostridia ↓UC ¹⁴ ↓CD ^{3,17} Clostridiales ↓CD ^{3,6}	Clostridiales ↓CD ^{3,6}	Lachnospiraceae↓ ^{1, 2} ↓CD ⁶	Roseburia ↓ ¹ ↓CD ^{3,6}	R. hominis ↓UC ′ R. faecis ↓CD ⁵ R. intestinalis ↓CD ⁶ s
				Coprococcus ↓CD ⁶	C. eutactus ↓CD⁵ C. comes ↓CD ⁶ s
			Clostridiaceae	Clostridium ↓ ⁸	C. leptum↓ ⁹ C. nexile↓CD ⁶ s C. bolteae ↓CD ⁶ s
				Blautia ↓CD ⁶ s	B. coccoides↓ ⁹ B. hanseni↓CD ⁶
				Dorea ↓CD ⁶	
				Butyricicoccus	B. pullicaecorum ¹ ↓ ¹⁶
		Ruminococcaceae	Acetivibrio ¹		
			↓CD ^{1,3,5,6}	Ruminococcus ↓CD ¹ ↓CD ⁶	<i>R. gnavus</i> ↑CD ³ ↓CD ⁶ <i>R. torques</i> ↓CD ⁶
				Faecalibacterium↓ ¹ ↑CD ¹⁰ ↓CD ^{3,6,11,17,19} ↓UC ¹²	<i>F. prausnitzii</i> ↓UC ′↓CD ⁶ ↓CD ⁹
				Oscillospira ↓CD ^{5,6}	
				Subdoligranulum ↓CD⁵	
			Peptococcaceae↓CD ³	Peptococcus $\downarrow CD^3$	
				Phascolactobacterium ↓ ¹	

Phylum	Class	Order	Family	Genus	Species
			Eubacteriaceae	Eubacterium	<i>E.</i> rectale ↓CD ⁶
	Bacilli ↑CD ³	Lactobacillales↓ ¹⁷ ↑CD ³	Leuconostocaceae ↓UC ¹ ↑CD ³		
			Lactobacillaceae	Lactobacillus ↑ ¹³ ↑ CD ^{3,6}	
			Streptococcaceae↓UC ³	Streptococcus↑CD ⁶ ↓UC ³	
			Enterococcaceae	Enterococcus ↑ CD ⁶	
		Gemellales	Gemellaceae ↑ CD ⁶	Gemella	G. morbillorum ↑ CD ⁶
	Erysipelotrichi ↑UC ¹⁴	Erysipelotrichiales	Erysipelotrichiaceae ↓CD ⁶ ↑ CD ⁶ s	Catenibacterium ↓UC ³	
	Negativicutes	Selenomonadales	Acidaminococcaceae	Acidaminococcus ↑CD ³	
	_		Veillonellaceae↑ CD ⁶	Veillonella ↑CD ³	V. parvula ↑ CD ⁶
				Dialister ↓CD ⁶	
Actinobacteria ↑ ²	Actinobacteridae	Bifidobacteriales	Bifidobacteriaceae↓CD ⁶ ↑CD ⁶	Bifidobacterium ↓ ^{1,11} ↑ ¹³	B. bifidum $\downarrow CD^6$ B. longum $\downarrow CD^6$ B. adolescentis $\downarrow CD^6$ B. dentum $\downarrow CD^6$ B. infantis
	Coriobacteridae	Coribacteriales	Coriobacteriaceae ¹		
Proteobacteria ↑ ² ↑UC14 ↑CD ^{3,5}	Gammaproteobacteria ↑UC ¹⁴ ↑CD ³	Enterobacteriales ↑ ¹⁸ ↑CD ³	Enterobacteriaceae ↑CD ^{3,4,6}	Escherichia ↑ ¹ ↑CD ¹⁹	<i>E. coli</i> ↑CD ⁶ s ↑ ^{9,11} ↑UC ¹⁴ AIEC↑ 1↑CD ¹⁵
				Shigella ↑ ¹ ↑CD ^{3,19}	
		Aermonadales	Aeromonadaceae ↑CD ³	Aeromonas ↑CD ³	
		Pasteurellales	Pasteurellaceae↑ CD ⁶	Haemophilus (spp.) ↑ CD ⁶	<i>H. parainfluenzae</i> ↑ CD ⁶
	Betaproteobacteria	Neisseriales	Neisseriaceae ↑CD ⁶	Eikenella	<i>E.</i> corrodens ↑ CD ⁶
		Burkholderiales	Sutterellaceae	Sutterella ↓CD ⁶	
	Deltaproteobacteria	Desulfovibrionales	Desulfovibrionaceae	Bilophila ↓CD ⁶	<i>B.</i> wadsworthia \downarrow^{20}
				Desulfovibrio	
	Alphaproteobacteria	Rhizobiales ↑CD ⁵	Bradyrhizobiaceae↑CD⁵		
Fusobacteria ↑CD ³ ↑UC ¹⁴	Fusobacteria ↑CD³	Fusobacteriales ↑CD ³	Fusobacteriaceae ↑CD ^{3,6} ↓CD ⁶	Fusobacterium ↑CD ³	<i>F. nucleatum</i> ↑ CD ^⁰
Spirochaetae	Spirochaetes ↑UC ¹⁴	Spirochaetales			
Verrucomicrobia↓UC ^{14,18}	Verrucomicrobiae ↓UC ¹⁸	Verrucomicrobiales ↓UC ¹⁸	Verrucomicrobiaceae ↓UC ¹⁸		
Lentisphaerae ↓UC ¹⁴					
Tenericutes \downarrow^3	Mollicutes ↓ ³	Anaeroplasmatales 1 ³	Anaeroplasmataceae \downarrow^3	Asteroleplasma \downarrow^3	

Ref-Nr.	Author	Methods	Study cohort	
1	Morgan et al., 2012	 16S rRNA-sequencing WGS of 11 fecal samples 	 121 CD 75 UC 27 Controls Age: 13-45 y 	
2	Frank et al., 2007	Q-PCR -rRNA sequencing	• 190 CD, UC, Controls	
3	Willing et al., 2010	16S rRNA-sequencing	 29 CD 16 UC 35 Controls Age: 40-67 y 	
4	Walker et al., 2011	16S rRNA- sequencing	 6 CD 6 UC 5 Controls Age: 24-73 y 	
5	Kaakoush et al., 2012	16S rRNA- sequencing	 19 CD (n.o.) 21 Controls Age: 5-15 y 	
6	Gevers et al., 2014	16S rRNA-sequencingWGS of 43 Fecal samples	 447 CD (n.o.) 221 Controls Age: 3-17 y 	
7	Machiels et al., 2013	16S rRNA sequencing	127 UC 87 Controls	
8	Andoh et al., 2011	16S rRNA sequencing	 31CD 31 UC 30 Controls 	
9	Duboc et al., 2013	16S rRNA-sequencing	 12 CD 30 UC 29 Controls Age: 20-60 y 	
10	Hansen et al., 2012	16S rRNA-sequencing	 13 CD 12 UC 12 Controls Age: Children 	
11	Schwiertz et al., 2010	16S rRNA-sequencing	 69 IBD 25 Controls Age: 1-20 	
12	Varela et al., 2013	• qRT-PCR	 116 UC patients 29 first degree relatives 31 Controls Age 18–75 y 	
13	Wang et al., 2014	16S rRNA-sequencing	 36 CD 63 UC 21 Controls 	
14	Michail et al., 2012	16S rRNA-sequencing	 27 UC 26 Controls Mean Age: 13,5 y 	
15	Martinez-Medina et al., 2009	 Colony dependent Rep-PCR Pulsed field gel electrophoresis Adhesion and invasion assays 	20 CD 28 Controls	
16	Eeckhaut et al., 2013	16S rRNA-sequencing	 51 CD 91 UC 88 Controls Mean Age: 40 y 	
17	Aomatsu et al., 2012	16S rRNA gene sequencingT-RFLP- analysis	 10 CD 14 UC 27 Controls 	

Ref-Nr.	Author	Methods	Study cohort
			• Age: 1-18 y
18	Papa et al., 2012	 16S rRNA-sequencing 	• 23 CD
			• 43 UC
			24 Controls
			Mean Age: 13 y
19	Thorkildsen et al.,	 16S rRNA-sequencing 	• 30 CD
	2013		• 33 UC
			33 Controls
			 Mean Age: 33 y
20	Jia W et al., 2012	PCR of the dsrAB gene	• 20 CD
			• 14 UC
			18 Controls

436

437 References for Appendix Table 1

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Appendix Figure 1: Antibiotic resistance potential for the different classes of antibiotics which have been used by the study population. The antibiotic resistance potential is shown as box plots. No significant difference in antibiotic resistance potential was observed.

494 Acknowledgements

495 This publication comprises results from R. L. Knoll's medical doctor thesis.

496 Grants

- 497 This work was supported by the "Naturwissenschaftlich-medizinsches Forschungszentrum (NMFZ)",
- 498 University Medical Centre of the Johannes Gutenberg University, Mainz, Germany; and FP7: MetaCardis,
- 499 grant agreement [HEALTH-2012-305312]. The funding bodies had no direct role in the design or conduct of

the study, collection, management, analysis or interpretation of the data, or preparation, review or approval of

the manuscripts.

502 **Disclosures**

503 No conflicts of interest, financial or otherwise, are declared by the authors.

504 Author Contributions

505 R.L.K.: Study concept and design; collection, analysis and interpretation of data; drafting of the

506 manuscript. K.F.: Study concept and design, analysis and interpretation of data; drafting of the

507 manuscript; critical revision of the manuscript for important intellectual content. J.R.K. and S.S.:

analysis of data; critical revision of the manuscript for important intellectual content. U.K.: Study

509 design; acquisition of data, critical revision of the manuscript for important intellectual content.

510 C.U.M.: contributed to the design of the study, critical revision of the manuscript for important

511 intellectual content. P.B.: Study concept and design; critical revision of the manuscript; obtained

512 funding; S.G.: Study concept and design; acquisition of data; critical revision of the manuscript for

513 important intellectual content; obtained funding. All authors approved the final version of the

514 manuscript.

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660 Figure Captions

- 661 <u>Figure 1:</u> Shannon diversity index, species richness and evenness in comparison between groups (CTRL,
- 662 UC, CD). Boxplots show all samples contrasted with MWU tests, showing significances as +: FDR < 0.1, *:
- FDR < 0.05. Scatterplots show each sib pair, revealing significantly higher diversity of each type in controls
- 664 than in siblings with IBD.

665 *Figure 2:*

- 666 A) Taxonomic distribution of bacterial taxa in relation to their relative abundance compared between the
- 667 control cohort and both IBD populations (UC = Ulcerative colitis; CD = Crohn's disease). Nodes represent

taxa. Node color indicates direction of associations, with white nodes marking cases where an association

- 669 from Appendix Table 1 could not be validated in the novel dataset (see bottom of figure for colour key).
- 670 Coloured nodes with a narrow edge represent associations from the literature set (Appendix Table 1) which
- approached significance (MWU FDR < 0.1) when testing only hypotheses from this set. Coloured nodes with
- a bold edge represent such associations which also approached significance (MWU or KW FDR < 0.1)
- 673 without restricting the hypothesis space. Coloured nodes with a bold, dashed outer edge represent IBD
- associations approaching significance (MWU or KWFDR < 0.1) when testing all possible associations,
- 675 which are novel relative to the set of previous literature-derived findings. Detailed FDR scores and results
- 676 from sibpair testing are given in Supplementary Table 1. Abbreviations: E. rectale = Eubacterium rectale, R.
- 677 gnavus = Ruminococcus gnavus, F. prausnitzii = Faecalibacterium prausnitzii, C. ramosum = Clostridium
- 678 *ramosum, F. nucleatum = Fusobacterium nucleatum, E. coli = Escherichia coli, B. wadsworthia = Bilophila*
- 679 *wadsworthia*.
- 680 B) Box plots of relative abundance of species in % (square root scale for visibility) for which differences in
- 681 abundance approached significance (* indicates MWU FDR < 0.1) in this cohort, comparing the sets of
- 682 UC or CD samples to the set of Controls. Detailed FDR scores and results from sibpair testing are given in
- 683 Supplementary Table 1. The observed species-level increase of C. ramosum in UC compared to controls is
- 684 *novel relative to the set of literature-derived findings.*
- 685 <u>Figure 3:</u> Correlation of species abundance with the abundance of virulence genes significantly increased
- 686 *in the microbiomes of UC patients.* Dark colored = positive correlation of species abundance and the
- abundance of the corresponding virulence gene (SCC > 0.65, FDR < 0.1), red = increase of the species

- 688 abundance in UC patients (MWU, FDR < 0.1), blue = no significant difference of abundance of species
- 689 *between UC and Control.*
- 690 <u>Figure 4:</u> Mean lifetime number of antibiotic courses in comparison between Control, Ulcerative colitis
- 691 (UC) and Crohn's disease (CD). Bar charts are divided into segments representing the different antibiotic
- 692 *classes covered. For the most frequently used antibiotics, mean lifetime number of therapies are indicated.*
- 693 *Figure 5: Fraction of potential carrier species:* For relative abundance of potentially resistant species,
- 694 significant difference was observed for each antibiotic class between control and Ulcerative colitis (UC) (BY
- 695 FDR = 0.062) and control and Crohn's disease (BY FDR = 0.019).

Table 1: Cohort characteristics

Characteristics	UC	CD	Control
n =	6	6	12
Demographics			
male: female	2:4	3:3	6:6
Age (years)			
Median ± SD	13 ± 2.7	14 ± 2.0	12.5 ± 3.6
Range	10 - 17	11 - 16	8 – 20
BMI (z-score)			
Median ± SD	-0.09 ± 0.73	-0.10 ± 1.09	0.26 ± 1.10
< -1 (%)	0	34	25
> +1 (%)	17	0	34
Age at onset (years)			
Median ± SD	9.5 ± 2.8	10 ± 1.8	
Range	4 - 12	9 - 14	
Disease duration (months)			
Median ± SD	22.5 ± 54.4	29 ± 31.6	
Range	13 - 144	0 - 82	
Disease activity			
inactive	3	1	
mild	1	2	
moderate-severe	2	3	
Medications (%)			
Steroids	67	50	
Anti-TNF	17	50	
Azathioprine	50	34	
Mesalazine	50	17	
TGF-β2	0	34	
UDCA	34	0	
Colchizine	17	0	

UC = Ulcerative colitis, CD = Crohn's disease; % = per group (UC, CD, Control); Disease activity based on PUCAI, PCDAI, respectively; Anti-TNF = infliximab/adalimumab; TGF- β 2 = transforming growth factor beta 2 nutritional support formula; UDCA = ursodeoxycholic acid









